

# Modern Expander-Based Error-Correcting Codes



Pedro Paredes

Rutgers/DIMACS Theory of Computing Seminar

December 4, 2024

# Part I: Coding Theory

# • Why codes? •

Sending a message through a noisy channel



# • Why codes? •

Sending a message through a noisy channel

#### Storing data on a noisy medium



### **Definition: Error-Correcting Code**

A (binary) code  $C \subseteq \{0,1\}^n$  is a subset of length-*n* strings

### Definition: Error-Correcting Code

A (binary) code  $C \subseteq \{0,1\}^n$  is a subset of length-*n* strings

- **Blocklength:** *n* (the size of encoded messages)
- ▶ **Dimension/Rate:** dim(C) (the efficiency of the code)
- **Distance:**  $\Delta(C)$  (the amount of errors we can correct)

### Definition: Error-Correcting Code

A (binary) code  $C \subseteq \{0,1\}^n$  is a subset of length-*n* strings

- **Blocklength:** *n* (the size of encoded messages)
- ▶ **Dimension/Rate:** dim(C) (the efficiency of the code)
- **Distance:**  $\Delta(C)$  (the amount of errors we can correct)

#### Definition: Dimension/Rate

$$\lim(C) = \log_2 |C| \qquad R = \frac{\dim(C)}{n}$$

### Definition: Error-Correcting Code

A (binary) code  $C \subseteq \{0,1\}^n$  is a subset of length-*n* strings

- **Blocklength:** *n* (the size of encoded messages)
- ▶ **Dimension/Rate:** dim(C) (the efficiency of the code)
- **Distance:**  $\Delta(C)$  (the amount of errors we can correct)

#### Definition: Dimension/Rate

$$\lim(C) = \log_2 |C| \qquad R = \frac{\dim(C)}{n}$$

#### **Definition: Distance**

$$\Delta(x, y) = \frac{1}{n} \sum_{i} \mathbb{1}[x_i \neq y_i] \qquad \Delta(C) = \min_{x, y \in C, x \neq y} \Delta(x, y)$$

### • Linear Codes •

**Definition: Linear Code** 

A code  $C \subseteq \mathbb{F}_2^n$  is **linear** if it forms a subspace of  $\mathbb{F}_2^n$ .

### • Linear Codes •

#### **Definition: Linear Code**

A code  $C \subseteq \mathbb{F}_2^n$  is **linear** if it forms a subspace of  $\mathbb{F}_2^n$ .

**Definition: Hamming Weight** 

$$|x| = \frac{1}{n} \sum_{i} \mathbb{1}[x_i = 1]$$

### • Linear Codes •

#### **Definition: Linear Code**

A code  $C \subseteq \mathbb{F}_2^n$  is **linear** if it forms a subspace of  $\mathbb{F}_2^n$ .

**Definition: Hamming Weight** 

$$x| = \frac{1}{n} \sum_{i} \mathbb{1}[x_i = 1]$$

# Proposition: Linear Code Distance

If C is a linear code then 
$$\Delta(C) = \min_{\substack{c \in C \\ c \neq 0}} |c|$$

Pf. Say  $\Delta(x, y) = \Delta(C)$ , then  $x + y \in C$  and  $\Delta(x, y) = |x + y|$ .

### Linear Codes

#### **Definition: Linear Code**

A code  $C \subseteq \mathbb{F}_2^n$  is **linear** if it forms a subspace of  $\mathbb{F}_2^n$ .

**Definition: Hamming Weight** 

$$|x| = \frac{1}{n} \sum_{i} \mathbb{1}[x_i = 1]$$

**Proposition: Linear Code Distance** 

If C is a linear code then 
$$\Delta(C) = \min_{\substack{c \in C \\ c \neq 0}} |c|$$

Pf. Say  $\Delta(x, y) = \Delta(C)$ , then  $x + y \in C$  and  $\Delta(x, y) = |x + y|$ .

# Definition: Parity Check Matrix

Matrix  $H \in \mathbb{F}_2^{(n-k) \times n}$  such that  $H \cdot c^{\top} = 0$  for all  $c \in C$ .

#### **Definition: Vertex Expander**

A  $(d_1, d_2)$ -regular graph (L, R, E) is a (1-sided)  $(\gamma, \epsilon)$ -vertex expander if:

$$\forall S \subseteq L, |S| \leqslant \gamma |L| \quad |\mathsf{N}(S)| \geqslant \epsilon |S|.$$

2-sided expansion means the same for  ${\it R}$ 

#### **Definition: Vertex Expander**

A  $(d_1, d_2)$ -regular graph (L, R, E) is a (1-sided)  $(\gamma, \epsilon)$ -vertex expander if:

$$\forall S \subseteq L, |S| \leqslant \gamma |L| \quad |\mathsf{N}(S)| \geqslant \epsilon |S|.$$

#### 2-sided expansion means the same for ${\it R}$

#### **Definition: Lossless Expanders**

A  $(d_1, d_2)$ -regular graphs is a *lossless expander* if it is a  $(\gamma, (1 - \epsilon)d_1)$ -vertex expanders, for some constant  $\gamma$  and  $\epsilon$  (which depends on  $\gamma$ ).

So lossless expanders are optimal vertex expanders.

#### **Definition: Unique-Neighborhood**

Given a graph G = (V, E) and a set  $S \subseteq V$ , U(S) is the set of neighbors of S adjacent to exactly one vertex in S

#### **Definition: Unique-Neighborhood**

Given a graph G = (V, E) and a set  $S \subseteq V$ , U(S) is the set of neighbors of S adjacent to exactly one vertex in S

#### **Definition: Unique-Neighbor Expander**

A  $(d_1, d_2)$ -regular graph (L, R, E) is a (1-sided)  $(\gamma, \epsilon)$ -unique-neighbor expander if:

 $\forall S \subseteq L, |S| \leqslant \gamma |L| \quad |\mathsf{U}(S)| \geqslant \epsilon |S|.$ 

#### **Definition: Vertex Expander**

A  $(d_1, d_2)$ -regular graph (L, R, E) is a (1-sided)  $(\gamma, \epsilon)$ -vertex expander if:

$$\forall S \subseteq L, |S| \leqslant \gamma |L| \quad |\mathsf{N}(S)| \geqslant \epsilon |S|.$$

2-sided expansion means the same for  ${\it R}$ 



#### **Definition: Vertex Expander**

A  $(d_1, d_2)$ -regular graph (L, R, E) is a (1-sided)  $(\gamma, \epsilon)$ -vertex expander if:

```
\forall S \subseteq L, |S| \leq \gamma |L| \quad |\mathsf{N}(S)| \geq \epsilon |S|.
```

2-sided expansion means the same for  ${\it R}$ 



#### **Definition: Unique-Neighbor Expander**

A  $(d_1, d_2)$ -regular graph (L, R, E) is a (1-sided)  $(\gamma, \epsilon)$ -unique-neighbor expander if:

 $\forall S \subseteq L, |S| \leqslant \gamma |L| \quad |\mathsf{U}(S)| \geqslant \epsilon |S|.$ 



#### **Definition: Graph Code**

Given a bipartite graph G = (L, R, E), we can define a linear code  $C_G \subseteq \mathbb{F}_2^{|L|}$  as:

$$c \in C_G : \forall w \in R, \bigoplus_{v \sim w} c_v = 0.$$

In other words, the parity check matrix  $H \in \mathbb{F}_2^{|L| imes |R|}$  is such that  $H_{u,v} = 1$  is  $u \sim v$ .

#### **Definition: Graph Code**

Given a bipartite graph G = (L, R, E), we can define a linear code  $C_G \subseteq \mathbb{F}_2^{|L|}$  as:

$$c \in C_G : \forall w \in R, \bigoplus_{v \sim w} c_v = 0.$$

In other words, the parity check matrix  $H \in \mathbb{F}_2^{|L| \times |R|}$  is such that  $H_{u,v} = 1$  is  $u \sim v$ .



#### **Proposition: Graph Code Dimension**

```
\dim(C_G) \geqslant |L| - |R|.
```

Pf. |R| linear constraints on a space of dimension |L|.

#### **Proposition: Graph Code Dimension**

```
\dim(C_G) \geqslant |L| - |R|.
```

Pf. |R| linear constraints on a space of dimension |L|.

#### **Theorem: Expander Codes**

Suppose G is a  $(\gamma, \epsilon)$ -unique-neighbor expander (where  $\epsilon > 0$ ), then  $\Delta(C_G) \ge \gamma$ .

#### **Proposition: Graph Code Dimension**

```
\dim(C_G) \geqslant |L| - |R|.
```

Pf. |R| linear constraints on a space of dimension |L|.

#### **Theorem: Expander Codes**

Suppose G is a  $(\gamma, \epsilon)$ -unique-neighbor expander (where  $\epsilon > 0$ ), then  $\Delta(C_G) \ge \gamma$ .

Pf. Suppose  $c \in C_G$  is such that  $|c| < \gamma$ . Let  $S \subseteq L$  be the set of indices corresponding to 1s in c. Then  $U(S) \ge c|S| > 0$ , which means there is some parity check (in R) that is adjacent to exactly one bit (in L) from c. But then this parity check isn't satisfied, which is a contradiction.

#### **Theorem: Expander Codes**

Suppose G is a  $(\gamma, \epsilon)$ -unique-neighbor expander (where  $\epsilon > 0$ ), then  $\Delta(C_G) \ge \gamma$ .

Pf. Suppose  $c \in C_G$  is such that  $|c| < \gamma$ . Let  $S \subseteq L$  be the set of indices corresponding to 1s in c. Then  $U(S) \ge \epsilon |S| > 0$ , which means there is some parity check (in R) that is adjacent to exactly one bit (in L) from c. But then this parity check isn't satisfied, which is a contradiction.

#### **Theorem: Expander Codes**

Suppose G is a  $(\gamma, \epsilon)$ -unique-neighbor expander (where  $\epsilon > 0$ ), then  $\Delta(C_G) \ge \gamma$ .

Pf. Suppose  $c \in C_G$  is such that  $|c| < \gamma$ . Let  $S \subseteq L$  be the set of indices corresponding to 1s in c. Then  $U(S) \ge \epsilon |S| > 0$ , which means there is some parity check (in R) that is adjacent to exactly one bit (in L) from c. But then this parity check isn't satisfied, which is a contradiction.



A non-exhaustive list of modern problems:

► Local Testability and Decodability

- ► Local Testability and Decodability
- Quantum Codes

- Local Testability and Decodability
- Quantum Codes
- Efficient Decoding Algorithms

- Local Testability and Decodability
- Quantum Codes
- Efficient Decoding Algorithms
- ► (Efficient) List Decoding

- Local Testability and Decodability
- Quantum Codes
- Efficient Decoding Algorithms
- ► (Efficient) List Decoding

A non-exhaustive list of modern problems:

- Local Testability and Decodability
- Quantum Codes
- Efficient Decoding Algorithms
- ► (Efficient) List Decoding

#### **Definition: Local Testability**

Given a code  $C \subseteq \mathbb{F}_2^n$ , a local tester is an oracle tester T that given some word  $x \in \mathbb{F}_2^n$  as input:

It makes a constant number of queries to indices of x

A non-exhaustive list of modern problems:

- Local Testability and Decodability
- Quantum Codes
- Efficient Decoding Algorithms
- (Efficient) List Decoding

#### **Definition: Local Testability**

Given a code  $C \subseteq \mathbb{F}_2^n$ , a local tester is an oracle tester T that given some word  $x \in \mathbb{F}_2^n$  as input:

- It makes a constant number of queries to indices of x
- If  $x \in C$ , then  $\Pr[T(x) = 1] = 1$

A non-exhaustive list of modern problems:

- Local Testability and Decodability
- Quantum Codes
- Efficient Decoding Algorithms
- (Efficient) List Decoding

#### **Definition: Local Testability**

Given a code  $C \subseteq \mathbb{F}_2^n$ , a local tester is an oracle tester T that given some word  $x \in \mathbb{F}_2^n$  as input:

It makes a constant number of queries to indices of x

• If 
$$x \in C$$
, then  $\Pr[T(x) = 1] = 1$ 

• If  $x \notin C$ , then  $\Pr[T(x) \neq 1] = \Omega(\min_{c \in C} \Delta(x, c))$ 

# • Locally Testable Codes •

#### The Problem

Are there explicit codes with constant distance, constant rate and locally testable? (aka LTCs)

# • Locally Testable Codes •

#### The Problem

Are there explicit codes with constant distance, constant rate and locally testable? (aka LTCs)

#### Theorem: LTCs exist [DELLM'22]

LTCs exist!
# • Locally Testable Codes •

#### The Problem

Are there explicit codes with constant distance, constant rate and locally testable? (aka LTCs)

Theorem: LTCs exist [DELLM'22]

LTCs exist!

Theorem: LTCs exist [HH'22]

Given a 1-sided lossless expander, LTCs exist.

#### **Definition: A Natural Tester**

Given a  $(\gamma, \epsilon)$ -unique-neighbor expander *G* consider its expander code  $C_G$ . A natural local tester would:

• Pick O(1) parity checks uniformly at random

#### **Definition: A Natural Tester**

Given a  $(\gamma, \epsilon)$ -unique-neighbor expander *G* consider its expander code  $C_G$ . A natural local tester would:

- Pick O(1) parity checks uniformly at random
- Accept is all of the parity checks are satisfied

#### **Definition: A Natural Tester**

Given a  $(\gamma, \epsilon)$ -unique-neighbor expander *G* consider its expander code  $C_G$ . A natural local tester would:

- Pick O(1) parity checks uniformly at random
- Accept is all of the parity checks are satisfied

#### **Definition: A Natural Tester**

Given a  $(\gamma, \epsilon)$ -unique-neighbor expander *G* consider its expander code  $C_G$ . A natural local tester would:

- Pick O(1) parity checks uniformly at random
- Accept is all of the parity checks are satisfied

#### Theorem: Expander Codes Aren't LTC

There exist expander graphs G for which the above natural tester fails, i.e. given some  $x \notin C(G)$  but close to C(G),  $\Pr[T(x) \neq 1] = O(1/n)$ .

#### **Definition: A Natural Tester**

Given a  $(\gamma, \epsilon)$ -unique-neighbor expander *G* consider its expander code  $C_G$ . A natural local tester would:

- Pick O(1) parity checks uniformly at random
- Accept is all of the parity checks are satisfied

#### Theorem: Expander Codes Aren't LTC

There exist expander graphs G for which the above natural tester fails, i.e. given some  $x \notin C(G)$  but close to C(G),  $\Pr[T(x) \neq 1] = O(1/n)$ .

Pf sketch. This is true for a random graph G.

#### **Definition: A Natural Tester**

Given a  $(\gamma, \epsilon)$ -unique-neighbor expander *G* consider its expander code  $C_G$ . A natural local tester would:

- Pick O(1) parity checks uniformly at random
- Accept is all of the parity checks are satisfied

#### Theorem: Expander Codes Aren't LTC

There exist expander graphs G for which the above natural tester fails, i.e. given some  $x \notin C(G)$  but close to C(G),  $\Pr[T(x) \neq 1] = O(1/n)$ .

Pf sketch. This is true for a random graph G.

• Consider G' by removing a random parity check from G

#### **Definition: A Natural Tester**

Given a  $(\gamma, \epsilon)$ -unique-neighbor expander *G* consider its expander code  $C_G$ . A natural local tester would:

- Pick O(1) parity checks uniformly at random
- Accept is all of the parity checks are satisfied

#### Theorem: Expander Codes Aren't LTC

There exist expander graphs G for which the above natural tester fails, i.e. given some  $x \notin C(G)$  but close to C(G),  $\Pr[T(x) \neq 1] = O(1/n)$ .

Pf sketch. This is true for a random graph G.

- Consider G' by removing a random parity check from G
- There are  $x \in C(G') \setminus C(G)$  which are far from C(G)

#### **Definition: A Natural Tester**

Given a  $(\gamma, \epsilon)$ -unique-neighbor expander *G* consider its expander code  $C_G$ . A natural local tester would:

- Pick O(1) parity checks uniformly at random
- Accept is all of the parity checks are satisfied

#### Theorem: Expander Codes Aren't LTC

There exist expander graphs G for which the above natural tester fails, i.e. given some  $x \notin C(G)$  but close to C(G),  $\Pr[T(x) \neq 1] = O(1/n)$ .

Pf sketch. This is true for a random graph G.

- Consider G' by removing a random parity check from G
- There are  $x \in C(G') \setminus C(G)$  which are far from C(G)
- These words only fail a single parity check of C(G), so probability of the tester picking it is low

# • Quantum Codes •

### The Problem

Are there explicit quantum codes with constant distance and constant rate?

# Quantum Codes

#### The Problem

Are there explicit quantum codes with constant distance and constant rate?

#### Theorem: Good Quantum Codes [PK'22]

Good Quantum Codes exist!

# Quantum Codes

#### The Problem

Are there explicit quantum codes with constant distance and constant rate?

#### Theorem: Good Quantum Codes [PK'22]

Good Quantum Codes exist!

#### Theorem: Good Quantum Codes [HH'22]

Given a 2-sided lossless expander, good quantum codes exist.

# Part II: Expanders

#### **Definition: Vertex Expander**

A  $(d_1, d_2)$ -regular graph (L, R, E) is a  $(\gamma, \epsilon)$ -vertex expander if:

 $\forall S \subseteq L, |S| \leqslant \gamma |L| \quad : \quad |\mathsf{N}(S)| \geqslant \epsilon |S|.$ 

#### **Definition: Vertex Expander**

A  $(d_1, d_2)$ -regular graph (L, R, E) is a  $(\gamma, \epsilon)$ -vertex expander if:

$$\forall S \subseteq L, |S| \leqslant \gamma |L| \quad : \quad |\mathsf{N}(S)| \geqslant \epsilon |S|.$$

#### **Definition: Spectral Expander**

A graph G is a  $\lambda$ -spectral expander if  $\lambda_2(G) \leq \lambda$ , where  $\lambda_2(G)$  is the second largest eigenvalue of the adjacency matrix of G.

#### **Definition: Vertex Expander**

A  $(d_1, d_2)$ -regular graph (L, R, E) is a  $(\gamma, \epsilon)$ -vertex expander if:

$$\forall S \subseteq L, |S| \leqslant \gamma |L| \quad : \quad |\mathsf{N}(S)| \geqslant \epsilon |S|.$$

#### **Definition: Spectral Expander**

A graph G is a  $\lambda$ -spectral expander if  $\lambda_2(G) \leq \lambda$ , where  $\lambda_2(G)$  is the second largest eigenvalue of the adjacency matrix of G.

**Definition:** Ramanujan Graph (Optimal Spectral Expander) A  $(d_1, d_2)$ -regular graph is a Ramanujan graph if it is a  $(\sqrt{d_1 - 1} + \sqrt{d_2 - 2})$ -spectral expander.

#### Proposition: Vertex to Unique-Neighbor Expanders

Suppose G is a  $(d_1, d_2)$ -regular graph. If G is a  $(\gamma, d_1 \epsilon)$ -vertex expander then it is a  $(\gamma, d_1(2\epsilon - 1))$ -unique-neighbor expander.

#### **Proposition: Vertex to Unique-Neighbor Expanders**

Suppose G is a  $(d_1, d_2)$ -regular graph. If G is a  $(\gamma, d_1\epsilon)$ -vertex expander then it is a  $(\gamma, d_1(2\epsilon - 1))$ -unique-neighbor expander.

#### **Proposition: Random Graphs**

Random  $(d_1, d_2)$ -regular graphs are 2-sided *lossless expanders* with constant probability, i.e.  $(\gamma, (1 - \epsilon)d_1)$ -vertex expanders, for any constant  $\gamma$  and  $\epsilon$  (which depends on  $\gamma$ ).

Pf. Union bound over all subsets of vertices.

### • The Problem •

Can we construct explicit  $(d_1, d_2)$ -regular  $(O(1), O(1)d_1)$ -unique-neighbor expanders for any constant  $d_1, d_2$ ?



### Theorem: Ramanujan to Vertex Expander [Kahale'95,HMMP'24]

A  $(d_1, d_2)$ -regular Ramanujan graph is a 2-sided  $(\gamma, d_1/2(1 - O(1/\log(1/\gamma))))$ -vertex expander for any  $\gamma$ .

**Theorem:** Ramanujan to Vertex Expander [Kahale'95,HMMP'24] A  $(d_1, d_2)$ -regular Ramanujan graph is a 2-sided  $(\gamma, d_1/2(1 - O(1/\log(1/\gamma))))$ -vertex expander for any  $\gamma$ .

# **Theorem:** 1-sided Expanders [CRVW'02,CRTS'23,Golowich'23] For any constant $d_1$ , $d_2$ , there exist 1-sided explicit $(d_1, d_2)$ -regular lossless expanders (i.e. $(\gamma, (1 - \epsilon)d_1)$ -vertex expanders).

**Theorem: Ramanujan to Vertex Expander [Kahale'95,HMMP'24]** A  $(d_1, d_2)$ -regular Ramanujan graph is a 2-sided  $(\gamma, d_1/2(1 - O(1/\log(1/\gamma))))$ -vertex expander for any  $\gamma$ .

**Theorem:** 1-sided Expanders [CRVW'02,CRTS'23,Golowich'23] For any constant  $d_1$ ,  $d_2$ , there exist 1-sided explicit  $(d_1, d_2)$ -regular lossless expanders (i.e.  $(\gamma, (1 - \epsilon)d_1)$ -vertex expanders).

#### Theorem: 2-sided Expanders [HMMP'24] $\leftarrow$ today

For any constant  $d_1$ ,  $d_2$ , there exist 2-sided explicit  $(d_1, d_2)$ -regular  $(O(1), O(1)d_1)$ -unique-neighbor expanders.

**Theorem: Ramanujan to Vertex Expander [Kahale'95,HMMP'24]** A  $(d_1, d_2)$ -regular Ramanujan graph is a 2-sided  $(\gamma, d_1/2(1 - O(1/\log(1/\gamma))))$ -vertex expander for any  $\gamma$ .

**Theorem:** 1-sided Expanders [CRVW'02,CRTS'23,Golowich'23] For any constant  $d_1$ ,  $d_2$ , there exist 1-sided explicit  $(d_1, d_2)$ -regular lossless expanders (i.e.  $(\gamma, (1 - \epsilon)d_1)$ -vertex expanders).

**Theorem: 2-sided Expanders [HMMP'24]**  $\leftarrow$  today For any constant  $d_1$ ,  $d_2$ , there exist 2-sided explicit  $(d_1, d_2)$ -regular  $(O(1), O(1)d_1)$ -unique-neighbor expanders.

**Theorem: 2-sided Expanders [HLMOZ'24] (recent follow up)** For any constant  $d_1$ ,  $d_2$ , there exist 2-sided explicit  $(d_1, d_2)$ -regular  $(O(1), 3/5d_1)$ -unique-neighbor expanders.

#### **Definition: Tripartite Line Product**

Let  $G = (L, M, R, E_1 \cup E_2)$  be a tripartite graph consisting of a  $(k_1, d_1)$ -regular graph  $(L, M, E_1)$ , and a  $(d_2, k_2)$ -regular graph  $(M, R, E_2)$ -regular graph. Let  $H = (L_H, R_H)$  be a bipartite graph with  $|L_H| = d_1$  and  $|R_H| = d_2$ . The **tripartite line product**  $G \diamond H$  is the bipartite graph on  $L \cup R$  and edges obtained by placing a copy of H on the neighbors of v for each  $v \in M$ .

#### **Definition: Tripartite Line Product**

Let  $G = (L, M, R, E_1 \cup E_2)$  be a tripartite graph consisting of a  $(k_1, d_1)$ -regular graph  $(L, M, E_1)$ , and a  $(d_2, k_2)$ -regular graph  $(M, R, E_2)$ -regular graph. Let  $H = (L_H, R_H)$  be a bipartite graph with  $|L_H| = d_1$  and  $|R_H| = d_2$ . The **tripartite line product**  $G \diamond H$  is the bipartite graph on  $L \cup R$  and edges obtained by placing a copy of H on the neighbors of v for each  $v \in M$ .



#### Theorem: Main [HMMP'24]

Let  $G_1 = (L, M, E_1)$  and  $G_2 = (M, R, E_2)$  be bipartite Ramanujan graphs, and form the tripartite graph *G* from them. Let *H* be a  $(O(1), O(1)\deg(H))$ -unique-neighbor expander. Then  $G \diamond H$  is a  $(O(1), O(1)d_1)$ -unique-neighbor expander.

#### Theorem: Main [HMMP'24]

Let  $G_1 = (L, M, E_1)$  and  $G_2 = (M, R, E_2)$  be bipartite Ramanujan graphs, and form the tripartite graph *G* from them. Let *H* be a  $(O(1), O(1)\deg(H))$ -unique-neighbor expander. Then  $G \diamond H$  is a  $(O(1), O(1)d_1)$ -unique-neighbor expander.

#### **Proof Overview**

We use known constructions of Ramanujan graphs for  $G_1$ ,  $G_2$ .

H is a constant-sized gadget, so we use the fact that random graphs are good unique-neighbor expanders and find one by brute force.

### • Proof Overview: Dream Scenario •

#### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$ .

It would be ideal if each  $v \in S$  has many unique-neighbors given by each gadget it belongs to.

### • Proof Overview: Dream Scenario •

#### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$ .

It would be ideal if each  $v \in S$  has many unique-neighbors given by each gadget it belongs to.



### • Proof Overview: Dream Scenario •

#### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$ .

It would be ideal if each  $v \in S$  has many unique-neighbors given by each gadget it belongs to.



Alas we might have collisions between gadgets...



### • Proof Overview Detour: Subgraph Density •

Theorem: Subgraph Density of Ramanujan Graphs

Let G = (L, R, E) be a  $(d_1, d_2)$ -regular  $(\sqrt{d_1 - 1} + \sqrt{d_2 - 2})(1 + O(1/d))$ -spectral expander. Then, for any  $S_1 \subseteq L$  and  $S_2 \subseteq R$  such that  $|S_1| + |S_2| = O(|L| + |R|)$ , the left  $\overline{d_L}$ and right  $\overline{d_R}$  average degrees of the induced subgraph  $G[S_1 \cup S_2]$ satisfy:

$$(\overline{d_L}-1)(\overline{d_R}-1) \leqslant O\left(\sqrt{(d_1-1)(d_2-1)}\right)$$

### • Proof Overview Detour: Subgraph Density •

#### Theorem: Subgraph Density of Ramanujan Graphs

Let G = (L, R, E) be a  $(d_1, d_2)$ -regular  $(\sqrt{d_1 - 1} + \sqrt{d_2 - 2})(1 + O(1/d))$ -spectral expander. Then, for any  $S_1 \subseteq L$  and  $S_2 \subseteq R$  such that  $|S_1| + |S_2| = O(|L| + |R|)$ , the left  $\overline{d_L}$ and right  $\overline{d_R}$  average degrees of the induced subgraph  $G[S_1 \cup S_2]$ satisfy:

$$(\overline{d_L}-1)(\overline{d_R}-1)\leqslant O\left(\sqrt{(d_1-1)(d_2-1)}\right)$$



#### Theorem: Main [HMMP'24]

Let  $G_1 = (L, M, E_1)$  be  $(k, d_1)$ -regular and  $G_2 = (M, R, E_2)$  be  $(d_2, k)$ -regular Ramanujan graphs, and form the tripartite graph G from them. Let H be a  $(1/\sqrt{d_1 + d_2}, O(1) \text{deg}(H))$ -unique-neighbor expander. Then  $G \diamond H$  is a  $(O(1), O(1)d_1)$ -unique-neighbor expander.

#### Theorem: Main [HMMP'24]

Let  $G_1 = (L, M, E_1)$  be  $(k, d_1)$ -regular and  $G_2 = (M, R, E_2)$  be  $(d_2, k)$ -regular Ramanujan graphs, and form the tripartite graph G from them. Let H be a  $(1/\sqrt{d_1 + d_2}, O(1) \text{deg}(H))$ -unique-neighbor expander. Then  $G \diamond H$  is a  $(O(1), O(1)d_1)$ -unique-neighbor expander.

#### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$  and  $N = N_G(S) \subseteq M$ .

#### Theorem: Main [HMMP'24]

Let  $G_1 = (L, M, E_1)$  be  $(k, d_1)$ -regular and  $G_2 = (M, R, E_2)$  be  $(d_2, k)$ -regular Ramanujan graphs, and form the tripartite graph G from them. Let H be a  $(1/\sqrt{d_1 + d_2}, O(1) \text{deg}(H))$ -unique-neighbor expander. Then  $G \diamond H$  is a  $(O(1), O(1)d_1)$ -unique-neighbor expander.

#### **Proof Overview**

Let 
$$S \subseteq L(G \diamond H) = L$$
 and  $N = N_G(S) \subseteq M$ .

Step 1: "Most edges from S go into low-degree vertices in M"

▶ Partition *N* into low-degree vertices  $N_{\ell}$  (degree in  $G_1 \leq \sqrt{d_1 + d_2}$ ) and high-degree vertices  $N_h$  (degree in  $G_1 \geq \sqrt{d_1 + d_2}$ )

#### Theorem: Main [HMMP'24]

Let  $G_1 = (L, M, E_1)$  be  $(k, d_1)$ -regular and  $G_2 = (M, R, E_2)$  be  $(d_2, k)$ -regular Ramanujan graphs, and form the tripartite graph G from them. Let H be a  $(1/\sqrt{d_1 + d_2}, O(1) \text{deg}(H))$ -unique-neighbor expander. Then  $G \diamond H$  is a  $(O(1), O(1)d_1)$ -unique-neighbor expander.

#### **Proof Overview**

Let 
$$S \subseteq L(G \diamond H) = L$$
 and  $N = N_G(S) \subseteq M$ .

Step 1: "Most edges from S go into low-degree vertices in M"

- ▶ Partition *N* into low-degree vertices  $N_{\ell}$  (degree in  $G_1 \leq \sqrt{d_1 + d_2}$ ) and high-degree vertices  $N_h$  (degree in  $G_1 \geq \sqrt{d_1 + d_2}$ )
- Right average degree of  $G_1[S \cup N_h]$  is at least  $O(\sqrt{d_1 + d_2})$
### Theorem: Main [HMMP'24]

Let  $G_1 = (L, M, E_1)$  be  $(k, d_1)$ -regular and  $G_2 = (M, R, E_2)$  be  $(d_2, k)$ -regular Ramanujan graphs, and form the tripartite graph G from them. Let H be a  $(1/\sqrt{d_1 + d_2}, O(1) \text{deg}(H))$ -unique-neighbor expander. Then  $G \diamond H$  is a  $(O(1), O(1)d_1)$ -unique-neighbor expander.

#### **Proof Overview**

Let 
$$S \subseteq L(G \diamond H) = L$$
 and  $N = N_G(S) \subseteq M$ .

Step 1: "Most edges from S go into low-degree vertices in M"

- ▶ Partition *N* into low-degree vertices  $N_{\ell}$  (degree in  $G_1 \leq \sqrt{d_1 + d_2}$ ) and high-degree vertices  $N_h$  (degree in  $G_1 \geq \sqrt{d_1 + d_2}$ )
- Right average degree of  $G_1[S \cup N_h]$  is at least  $O(\sqrt{d_1 + d_2})$
- ▶ Use the Subgraph Density Theorem to show left average degree of  $G_1[S \cup N_h]$  is small  $(O(\sqrt{k_1 + k_2}))$

### Theorem: Main [HMMP'24]

Let  $G_1 = (L, M, E_1)$  be  $(k, d_1)$ -regular and  $G_2 = (M, R, E_2)$  be  $(d_2, k)$ -regular Ramanujan graphs, and form the tripartite graph G from them. Let H be a  $(1/\sqrt{d_1 + d_2}, O(1) \text{deg}(H))$ -unique-neighbor expander. Then  $G \diamond H$  is a  $(O(1), O(1)d_1)$ -unique-neighbor expander.

#### **Proof Overview**

Let 
$$S \subseteq L(G \diamond H) = L$$
 and  $N = N_G(S) \subseteq M$ .

Step 1: "Most edges from S go into low-degree vertices in M"

- ▶ Partition *N* into low-degree vertices  $N_{\ell}$  (degree in  $G_1 \leq \sqrt{d_1 + d_2}$ ) and high-degree vertices  $N_h$  (degree in  $G_1 \geq \sqrt{d_1 + d_2}$ )
- Right average degree of  $G_1[S \cup N_h]$  is at least  $O(\sqrt{d_1 + d_2})$
- ▶ Use the Subgraph Density Theorem to show left average degree of  $G_1[S \cup N_h]$  is small  $(O(\sqrt{k_1 + k_2}))$

• Conclude  $|N_h|$  is small ( $\leq .2|N|$ )

#### **Proof Overview**

Step 1: "Most edges from S go into low-degree vertices in M"

- ▶ Partition *N* into low-degree vertices  $N_{\ell}$  (degree in  $G_1 \leq \sqrt{d_1 + d_2}$ ) and high-degree vertices  $N_h$  (degree in  $G_1 \geq \sqrt{d_1 + d_2}$ )
- ▶ Right average degree of  $G_1[S \cup N_h]$  is at least  $O(\sqrt{d_1 + d_2})$
- ▶ Use the Subgraph Density Theorem to show left average degree of  $G_1[S \cup N_h]$  is small  $(O(\sqrt{k_1 + k_2}))$

• Conclude  $|N_h|$  is small ( $\leq .2|N|$ )

### **Proof Overview**

Step 1: "Most edges from S go into low-degree vertices in M"

- ▶ Partition *N* into low-degree vertices  $N_{\ell}$  (degree in  $G_1 \leq \sqrt{d_1 + d_2}$ ) and high-degree vertices  $N_h$  (degree in  $G_1 \geq \sqrt{d_1 + d_2}$ )
- ▶ Right average degree of  $G_1[S \cup N_h]$  is at least  $O(\sqrt{d_1 + d_2})$
- ▶ Use the Subgraph Density Theorem to show left average degree of  $G_1[S \cup N_h]$  is small  $(O(\sqrt{k_1 + k_2}))$

• Conclude  $|N_h|$  is small ( $\leq .2|N|$ )



### • Proof Overview •

### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$  and  $N = N_G(S) \subseteq M$ .

### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$  and  $N = N_G(S) \subseteq M$ . Also let T be the set of unique-neighbors of S within some gadget

### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$  and  $N = N_G(S) \subseteq M$ . Also let T be the set of unique-neighbors of S within some gadget

Step 2: "Low-degree vertices don't cause collisions"

▶ If  $v \in N_{\ell}$  is low-degree, then  $S_v = S \cap N(v)$  is small  $(|S_v| \leq \sqrt{d_1 + d_2})$ 

#### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$  and  $N = N_G(S) \subseteq M$ . Also let T be the set of unique-neighbors of S within some gadget

- ▶ If  $v \in N_{\ell}$  is low-degree, then  $S_v = S \cap N(v)$  is small  $(|S_v| \leq \sqrt{d_1 + d_2})$
- So we can use the unique-neighbor property of *H* to conclude that G<sub>2</sub>[N ∩ T] has high average left degree (a constant that depends on the expansion of *H*, d<sub>1</sub> and k)

#### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$  and  $N = N_G(S) \subseteq M$ . Also let T be the set of unique-neighbors of S within some gadget

- ▶ If  $v \in N_{\ell}$  is low-degree, then  $S_v = S \cap N(v)$  is small  $(|S_v| \leq \sqrt{d_1 + d_2})$
- So we can use the unique-neighbor property of *H* to conclude that G<sub>2</sub>[N ∩ T] has high average left degree (a constant that depends on the expansion of *H*, d<sub>1</sub> and k)
- ▶ Use the Subgraph Density Theorem to show right average degree of  $G_2[N \cup T]$  is small (≤ 1.1)

#### **Proof Overview**

Let  $S \subseteq L(G \diamond H) = L$  and  $N = N_G(S) \subseteq M$ . Also let T be the set of unique-neighbors of S within some gadget

- ▶ If  $v \in N_{\ell}$  is low-degree, then  $S_v = S \cap N(v)$  is small  $(|S_v| \leq \sqrt{d_1 + d_2})$
- So we can use the unique-neighbor property of *H* to conclude that G<sub>2</sub>[N ∩ T] has high average left degree (a constant that depends on the expansion of *H*, d<sub>1</sub> and k)
- ▶ Use the Subgraph Density Theorem to show right average degree of  $G_2[N \cup T]$  is small (≤ 1.1)
- Conclude that the number of collisions is small, i.e. large number of unique-neighbors

### **Proof Overview**

- ▶ If  $v \in N_{\ell}$  is low-degree, then  $S_v = S \cap N(v)$  is small  $(|S_v| \leq \sqrt{d_1 + d_2})$
- ▶ So we can use the unique-neighbor property of *H* to conclude that  $G_2[N \cap T]$  has high average left degree
- ▶ Use the Subgraph Density Theorem to show right average degree of  $G_2[N \cup T]$  is small (≤ 1.1)
- Conclude that the number of collisions is small

### **Proof Overview**

- ▶ If  $v \in N_{\ell}$  is low-degree, then  $S_v = S \cap N(v)$  is small  $(|S_v| \leq \sqrt{d_1 + d_2})$
- ▶ So we can use the unique-neighbor property of *H* to conclude that  $G_2[N \cap T]$  has high average left degree
- ▶ Use the Subgraph Density Theorem to show right average degree of  $G_2[N \cup T]$  is small (≤ 1.1)
- Conclude that the number of collisions is small



### **Proof Overview**

- ▶ If  $v \in N_{\ell}$  is low-degree, then  $S_v = S \cap N(v)$  is small  $(|S_v| \leq \sqrt{d_1 + d_2})$
- ▶ So we can use the unique-neighbor property of *H* to conclude that  $G_2[N \cap T]$  has high average left degree
- ▶ Use the Subgraph Density Theorem to show right average degree of  $G_2[N \cup T]$  is small (≤ 1.1)
- Conclude that the number of collisions is small



# Thanks!