

**Precept Outline**

- Review of Lectures 5 and 6:
  - Comparators and Comparables
  - Elementary sorts
  - Mergesort

**Relevant Book Sections**

- Book chapters: 2.1, 2.2 and 2.5

**A. Review:  $O/\Omega$  Notation + Elementary Sorts + Mergesort + Comparable/Comparator**

Your preceptor will briefly review key points of this week's lectures. They may refer to the warm-up exercise and the code snippet shown below.

**Warm-up:** Let  $f(n) = 3n + 4n \log_2 n + 8\sqrt{n} \log_2 n$ . Select all that apply.

- $f(n) = O(n)$
- $f(n) = \Omega(n)$
- $f(n) = O(\sqrt{n} \log n)$
- $f(n) = \Omega(\sqrt{n} \log n)$
- $f(n) = O(n \log n)$
- $f(n) = \Omega(n \log n)$
- $f(n) = O(n^2)$
- $f(n) = \Omega(n^2)$
- $f(n) = O(\log n)$
- $f(n) = \Omega(\log n)$
- $f(n) = O(2^n)$
- $f(n) = \Omega(2^n)$

```
1 public class YourClass implements Comparable<YourClass> {
2     public int compareTo(YourClass that) {
3         // returns int > 0 if this > that
4         // returns int < 0 if this < that
5         // returns 0 otherwise
6     }
7
8     private static class YourComparator implements Comparator<YourClass> {
9         public int compare(YourClass obj1, YourClass obj2) {
10            // returns int > 0 if obj1 > obj2
11            // returns int < 0 if obj1 < obj2
12            // returns 0 otherwise
13        }
14    }
15    public static Comparator<YourClass> yourComparison() {
16        return new YourComparator();
17    }
18    ...
19 }
```

## B. Comparable & Comparator

The code snippet below shows the instance variables of a class `Movie`, and partially filled instance methods that should support comparing elements of this class in three ways:

- by alphabetical order of `title` (the default order);
- by release `year`; and
- by `rating` (0-5 stars).

Fill in the blanks numbered 1 to 6.

```
1 public class Movie implements _____(1)_____ {
2     private String title;
3     private int year;
4     private int rating;
5
6     public int compareTo(Movie m) {
7         return _____(2)_____;
8     }
9
10    public static Comparator<Movie> byYear() {
11        return new YearComparator();
12    }
13
14    private static class YearComparator implements _____(3)_____ {
15        public int compare(Movie m1, Movie m2) {
16            return _____(4)_____;
17        }
18    }
19
20    public static Comparator<Movie> byRating() {
21        return new RatingComparator();
22    }
23
24    private static class RatingComparator implements _____(5)_____ {
25        public int compare(Movie m1, Movie m2) {
26            return _____(6)_____;
27        }
28    }
29    ...
30 }
```

## C. Sorting Algorithms

### Part 1: Spring'24 Midterm Problem

Given two integer arrays, `a[]` and `b[]`, the *symmetric difference* between `a[]` and `b[]` is the set of elements that appear in exactly one of the arrays. Design an algorithm that receives two *sorted arrays*, each consisting of  $n$  *distinct elements*, and outputs the size of their symmetric difference.

For full credit, it must use  $\Theta(1)$  extra memory and its running time must be  $\Theta(n)$  in the worst case (the arrays `a[]` and `b[]` should not be modified). A solution with  $O(n \log n)$  runtime and  $O(n)$  extra memory that does not satisfy the full credit performance requirements receives partial (at least half) credit.

### Part 2: Sorting Lower Bounds

Imagine you are given unlimited access to call a method (say, via “the cloud”) which costs your program *constant time* in order to help sort an array.

- (a) Suppose the method is `sum(int[] a, int i, int j)`, which, given two indices  $0 \leq i \leq j < n$ , returns the sum  $\sum_{k=i}^j a[k]$ . Can you use it to implement a (comparison-based) sorting algorithm with  $O(n)$  running time? If so, how? If not, why not?

- (b) Suppose the method is `min(int[] a, int i)`, which returns  $\min_{i \leq k < n} \{a[k]\}$ . Can you use it to implement a (comparison-based) sorting algorithm with  $O(n)$  running time? If so, how? If not, why not?

### Part 3: Equality of Histograms

The *histogram* of an array `s[]` of samples is the set of pairs  $(i, f_i)$ , where  $f_i$  is the number of indices  $j$  such that the  $j^{\text{th}}$  sample `s[j]` has value  $i$ . (That is,  $f_i = |\{j : s[j] = i\}|$ .)

Let `a[]` and `b[]` be integer arrays representing sample sequences. Design an algorithm with  $O(n \log n)$  worst-case running time that identifies whether the histograms of `a` and `b` are equal (i.e., if, for all  $i$ , the frequency of  $i$  is the same in `a` and `b`).

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### D. Assignment Overview: Autocomplete

Your preceptor will introduce and give an overview of your [third assignment](#). Don't hesitate to ask questions! Summary of the assignment:

- Implement a `Term` class, which stores a word (as a string) and a numeric weight, and also implements comparators for comparing terms in natural order, in decreasing order of weight, and lexicographically based on the first  $r$  characters.
- Create a data type `Autocomplete` that initializes with given arrays of terms and weights, and supports methods to return the weight of a term, the top matching term, and the top  $k$  matching terms in descending order of weight.
- Implement a `BinarySearchDeluxe` class, which should use binary search to find the first and last index of a given key in a sorted array (these are important primitives to the `Autocomplete` class).

## E. Optional Bonus Problems

### Part 1: Three-way Mergesort

(Two-way) Mergesort is quite a simple algorithm to describe: to sort  $n$  elements, divide the array in half, (recursively) sort each then merge the two halves together. In this exercise, we will study a variant of it: in three-way Mergesort, we divide an array of length  $n$  into 3 subarrays of length  $\frac{n}{3}$ , sort each of them and then perform a 3-way merge.

Given 3 **sorted** subarrays of size  $\frac{n}{3}$ , how many comparisons are needed (in the worst case) to merge them to a sorted array of size  $n$ ? Provide your answer in tilde notation.

What is the order of growth of the number of compares in 3-way Mergesort as a function of the array size  $n$ ? (Here we're counting the total number, including all recursive calls.)

Given a choice, would you choose 3-way or 2-way mergesort? Justify your answer.

### Part 2: (Challenge) Counting Inversions

In an array  $h$  of  $n$  numbers, an *inversion* is a pair of elements that isn't sorted; that is, two indices  $i$  and  $j$  such that  $i < j$  and  $h[i] > h[j]$ .

Describe an algorithm to compute the total number of inversions of an array of length  $n$  in time  $\Theta(n \log n)$ . *Hint: think about how you can modify mergesort to achieve this.*