

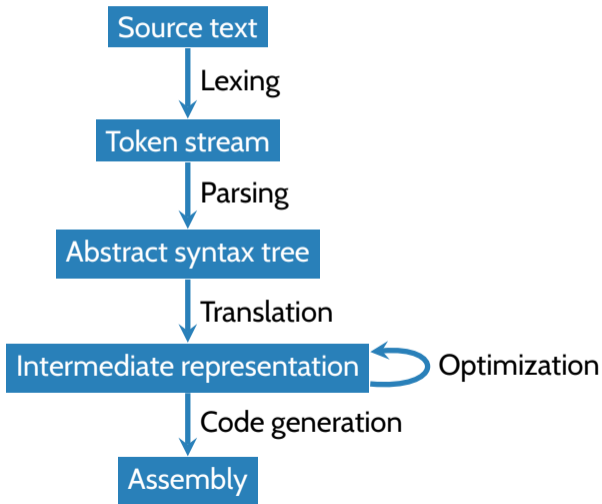
COS320: Compiling Techniques

Zak Kincaid

March 26, 2024

Analysis and Optimization

Compiler phases (simplified)



Optimization

- Optimization operates as a sequence of IR-to-IR transformations. Each transformation is expected to:
 - *improve performance (time, space, power)*
 - *not change the high-level (defined) behavior of the program*
- Each optimization pass does something small and simple.
 - *Combination of passes can yield sophisticated transformations*

Optimization

- Optimization operates as a sequence of IR-to-IR transformations. Each transformation is expected to:
 - *improve performance* (time, space, power)
 - *not change the high-level (defined) behavior of the program*
- Each optimization pass does something small and simple.
 - *Combination* of passes can yield sophisticated transformations
- Optimization simplifies compiler writing
 - More modular: can translate to IR in a simple-but-inefficient way, then optimize
- Optimization simplifies programming
 - Programmer can spend less time thinking about low-level performance issues
 - More portable: compiler can take advantage of the characteristics of a particular machine

Algebraic simplification

Idea: replace complex expressions with simpler / cheaper ones

$$e * 1 \rightarrow e$$

$$0 + e \rightarrow e$$

$$2 * 3 \rightarrow 6$$

$$-(-e) \rightarrow e$$

$$e * 4 \rightarrow e \ll 2$$

...

Loop unrolling

- Idea: avoid branching by trading space for time.
- Can expose opportunities for using SIMD instructions

```
long array_sum (long *a, long n) {  
    long i;  
    long sum = 0;  
    for (i = 0; i < n; i++) {  
        sum += *(a + i);  
    }  
    return sum;  
}
```



```
long array_sum (long *a, long n) {  
    long i;  
    long sum = 0;  
    for (i = 0; i < n % 4; i++) {  
        sum += *(a + i);  
    }  
    for (; i < n; i += 4) {  
        sum += *(a + i);  
        sum += *(a + i + 1);  
        sum += *(a + i + 2);  
        sum += *(a + i + 3);  
    }  
    return sum;  
}
```

Strength reduction

Idea: replace expensive operation (e.g., multiplication) w/ cheaper one (e.g., addition).

```
long trace (long *m, long n) {  
    long i;  
    long result = 0;  
    for (i = 0; i < n; i++) {  
        result += *(m + i*n + i);  
    }  
    return result;  
}
```

→

```
long trace (long *m, long n) {  
    long i;  
    long result = 0;  
    long *next = m;  
    for (i = 0; i < n; i++) {  
        result += *next;  
        next += n + 1;  
    }  
    return result;  
}
```

Optimization and Analysis

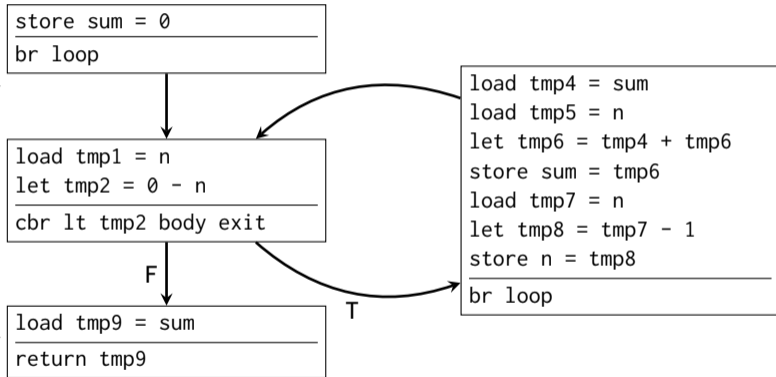
- *Program analysis*: conservatively approximate the run-time behavior of a program at compile time.
 - Type inference: find the type of value each expression will evaluate to at run time. *Conservative* in the sense that the analysis will abort if it cannot find a type for a variable, even if one exists.
 - Constant propagation: if a variable only holds on value at run time, find that value. *Conservative* in the sense that analysis may fail to find constant values for variables that have them.

Optimization and Analysis

- *Program analysis*: conservatively approximate the run-time behavior of a program at compile time.
 - Type inference: find the type of value each expression will evaluate to at run time. *Conservative* in the sense that the analysis will abort if it cannot find a type for a variable, even if one exists.
 - Constant propagation: if a variable only holds on value at run time, find that value. *Conservative* in the sense that analysis may fail to find constant values for variables that have them.
- Optimization passes are typically informed by analysis
 - Analysis lets us know which transformations are safe
 - Conservative analysis \Rightarrow never perform an unsafe optimization, but may miss some safe optimizations.

Control Flow Graphs (CFG)

```
int sum_upto(int n) {  
  int sum = 0;  
  while (n > 0) {  
    sum += n;  
    n--;  
  }  
  return sum;  
}
```



- Control flow graphs are one of the basic data structures used to represent programs in many program analyses
- Recall: A *control flow graph* (CFG) for a procedure P is a directed, rooted graph $G = (N, E, r)$ where
 - The nodes are basic blocks of P
 - There is an edge $n_i \rightarrow n_j \in E$ iff n_j may execute immediately after n_i
 - There is a distinguished entry block r where the execution of the procedure begins

Simple imperative language

- Suppose that we have the following language:

$\langle \text{instr} \rangle ::= \langle \text{var} \rangle = \text{add} \langle \text{opn} \rangle, \langle \text{opn} \rangle$

$\quad \quad \quad | \langle \text{var} \rangle = \text{mul} \langle \text{opn} \rangle, \langle \text{opn} \rangle$

$\quad \quad \quad | \langle \text{var} \rangle = \text{opn}$

$\langle \text{opn} \rangle ::= \langle \text{int} \rangle | \langle \text{var} \rangle$

$\langle \text{block} \rangle ::= \langle \text{instr} \rangle \langle \text{block} \rangle | \langle \text{term} \rangle$

$\langle \text{term} \rangle ::= \text{blez} \langle \text{opn} \rangle, \langle \text{label} \rangle, \langle \text{label} \rangle$

$\quad \quad \quad | \text{return } \langle \text{opn} \rangle$

$\langle \text{program} \rangle ::= \langle \text{program} \rangle \langle \text{label} \rangle : \langle \text{block} \rangle | \langle \text{block} \rangle$

- Note: no uids, no SSA
 - We'll take a look at how SSA affects program analysis later

Constant propagation

- The goal of constant propagation: determine at each instruction I a *constant environment*
 - A **constant environment** is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x 's value is n whenever the program is at I)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time – I is unreachable)
- Motivation: can evaluate expressions at compile time to save on run time

```
x = add 1, 2
y = mul x, 11
z = add x, y
```

Constant propagation

- The goal of constant propagation: determine at each instruction I a *constant environment*
 - A **constant environment** is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x 's value is n whenever the program is at I)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time - I is unreachable)
- Motivation: can evaluate expressions at compile time to save on run time

$\{x \mapsto \top, y \mapsto \top, z \mapsto \top\}$

$x = \text{add } 1, 2$
 $y = \text{mul } x, 11$
 $z = \text{add } x, y$

Constant propagation

- The goal of constant propagation: determine at each instruction I a *constant environment*
 - A **constant environment** is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x 's value is n whenever the program is at I)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time - I is unreachable)
- Motivation: can evaluate expressions at compile time to save on run time

$\{x \mapsto \top, y \mapsto \top, z \mapsto \top\}$

$\{x \mapsto 3, y \mapsto \top, z \mapsto \top\}$

$x = \text{add } 1, 2$
 $y = \text{mul } x, 11$
 $z = \text{add } x, y$

Constant propagation

- The goal of constant propagation: determine at each instruction I a *constant environment*
 - A **constant environment** is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x 's value is n whenever the program is at I)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time - I is unreachable)
- Motivation: can evaluate expressions at compile time to save on run time

$\{x \mapsto \top, y \mapsto \top, z \mapsto \top\}$

$\{x \mapsto 3, y \mapsto \top, z \mapsto \top\}$

$\{x \mapsto 3, y \mapsto 33, z \mapsto \top\}$

$x = \text{add } 1, 2$

$y = \text{mul } x, 11$

$z = \text{add } x, y$

Constant propagation

- The goal of constant propagation: determine at each instruction I a *constant environment*
 - A **constant environment** is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x 's value is n whenever the program is at I)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time - I is unreachable)
- Motivation: can evaluate expressions at compile time to save on run time

$\{x \mapsto \top, y \mapsto \top, z \mapsto \top\}$

$\{x \mapsto 3, y \mapsto \top, z \mapsto \top\}$

$\{x \mapsto 3, y \mapsto 33, z \mapsto \top\}$

$x = 3$

$y = \text{mul } x, 11$

$z = \text{add } x, y$

Constant propagation

- The goal of constant propagation: determine at each instruction I a *constant environment*
 - A **constant environment** is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x 's value is n whenever the program is at I)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time - I is unreachable)
- Motivation: can evaluate expressions at compile time to save on run time

$\{x \mapsto \top, y \mapsto \top, z \mapsto \top\}$

$\{x \mapsto 3, y \mapsto \top, z \mapsto \top\}$

$x = 3$

$\{x \mapsto 3, y \mapsto 33, z \mapsto \top\}$

$y = 33$

$z = \text{add } x, y$

Constant propagation

- The goal of constant propagation: determine at each instruction I a *constant environment*
 - A **constant environment** is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x 's value is n whenever the program is at I)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time - I is unreachable)
- Motivation: can evaluate expressions at compile time to save on run time

$\{x \mapsto \top, y \mapsto \top, z \mapsto \top\}$

$\{x \mapsto 3, y \mapsto \top, z \mapsto \top\}$

$x = 3$

$\{x \mapsto 3, y \mapsto 33, z \mapsto \top\}$

$y = 33$

$z = 36$

Propagating constants through instructions

- Goal: given a constant environment C and an instruction
 - $x = \text{add } opn_1, opn_2$
 - $x = \text{mul } opn_1, opn_2$
 - $x = opn$

Assuming that constant environment C holds *before* the instruction, what is the constant environment *after* the instruction?

Propagating constants through instructions

- Goal: given a constant environment C and an instruction

- $x = \text{add } opn_1, opn_2$
- $x = \text{mul } opn_1, opn_2$
- $x = opn$

Assuming that constant environment C holds *before* the instruction, what is the constant environment *after* the instruction?

- Define an evaluator for operands:

$$\text{eval}(opn, C) = \begin{cases} C(opn) & \text{if } opn \text{ is a variable} \\ opn & \text{if } opn \text{ is an int} \end{cases}$$

Propagating constants through instructions

- Goal: given a constant environment C and an instruction

- $x = \text{add } opn_1, opn_2$
- $x = \text{mul } opn_1, opn_2$
- $x = opn$

Assuming that constant environment C holds *before* the instruction, what is the constant environment *after* the instruction?

- Define an evaluator for operands:

$$\text{eval}(opn, C) = \begin{cases} C(opn) & \text{if } opn \text{ is a variable} \\ opn & \text{if } opn \text{ is an int} \end{cases}$$

- Define an evaluator for instructions:

$$\text{post}(instr, C) = \begin{cases} \perp & \text{if } C \text{ is } \perp \\ C\{x \mapsto \text{eval}(opn, C)\} & \text{if instr is } x = opn \\ C\{x \mapsto \top\} & \text{if } \text{eval}(opn_1, C) = \top \vee \text{eval}(opn_2, C) = \top \\ C\{x \mapsto \text{eval}(opn_1, C) + \text{eval}(opn_2, C)\} & \text{if instr is } x = \text{add } opn_1, opn_2 \\ C\{x \mapsto \text{eval}(opn_1, C) * \text{eval}(opn_2, C)\} & \text{if instr is } x = \text{mul } opn_1, opn_2 \end{cases}$$

Propagating constants through basic blocks

- How do we propagate a constant environment through a basic block?

Propagating constants through basic blocks

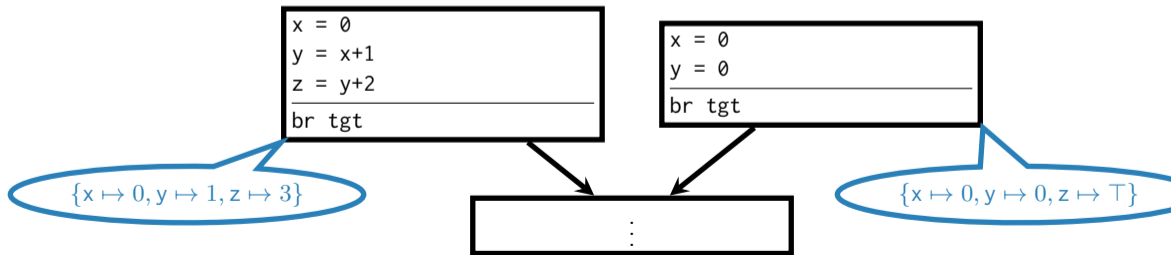
- How do we propagate a constant environment through a basic block?
- Block takes the form $instr_1, \dots, instr_n, term$.
take $post(block, C) = post(instr_n, \dots post(instr_1, C) \dots)$

Propagating constants across edges

- If a block has exactly one predecessor: constant environment at entry is constant environment at exit of predecessor

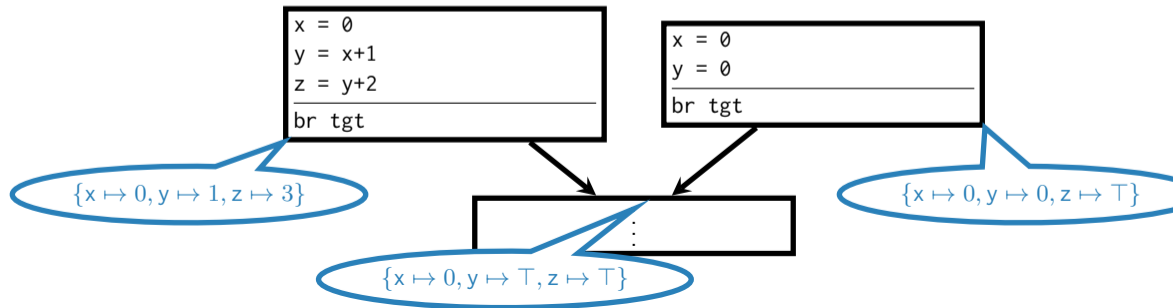
Propagating constants across edges

- If a block has exactly one predecessor: constant environment at entry is constant environment at exit of predecessor
- If a block has multiple predecessors, must combine constant environments of both:



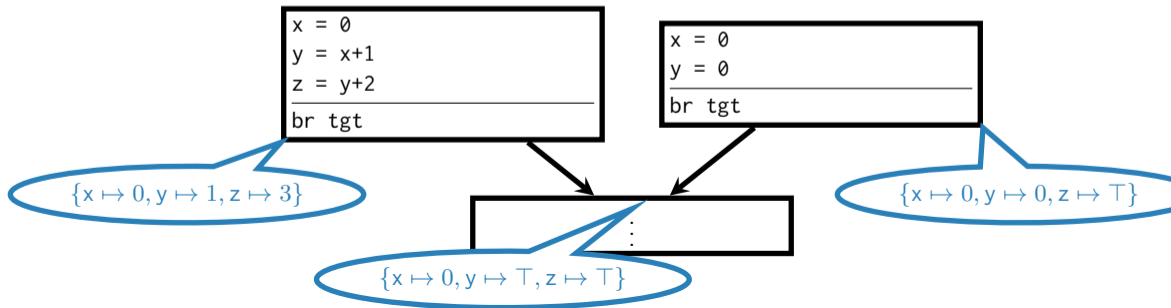
Propagating constants across edges

- If a block has exactly one predecessor: constant environment at entry is constant environment at exit of predecessor
- If a block has multiple predecessors, must combine constant environments of both:



Propagating constants across edges

- If a block has exactly one predecessor: constant environment at entry is constant environment at exit of predecessor
- If a block has multiple predecessors, must combine constant environments of both:
- Merge operator \sqcup defined as:
 - $e \sqcup \perp = \perp \sqcup e = e$
 - $(e_1 \sqcup e_2)(x) = \begin{cases} e_1(x) & \text{if } e_1(x) = e_2(x) \\ \top & \text{otherwise} \end{cases}$



Propagating constants through control flow graphs

- For *acyclic graphs*:

Propagating constants through control flow graphs

- For *acyclic graphs*: topologically sort basic blocks, propagate constant environments forward
 - Constant environment for entry node maps each variable to \top

Propagating constants through control flow graphs

- For *acyclic graphs*: topologically sort basic blocks, propagate constant environments forward
 - Constant environment for entry node maps each variable to \top
- What about loops?

- Recall: a partial order \sqsubseteq is a binary relation that is
 - Reflexive: $a \sqsubseteq a$
 - Transitive: $a \sqsubseteq b$ and $b \sqsubseteq c$ implies $a \sqsubseteq c$
 - Antisymmetric: $a \sqsubseteq b$ and $b \sqsubseteq a$ implies $a = b$
- Examples: the subset relation, the divisibility relation on the naturals, ...

- Recall: a partial order \sqsubseteq is a binary relation that is
 - Reflexive: $a \sqsubseteq a$
 - Transitive: $a \sqsubseteq b$ and $b \sqsubseteq c$ implies $a \sqsubseteq c$
 - Antisymmetric: $a \sqsubseteq b$ and $b \sqsubseteq a$ implies $a = b$
- Examples: the subset relation, the divisibility relation on the naturals, ...
- Place a partial order on $\mathbb{Z} \cup \{\perp, \top\}$: $\perp \sqsubseteq n \sqsubseteq \top$ (most information to least information)

- Recall: a partial order \sqsubseteq is a binary relation that is
 - Reflexive: $a \sqsubseteq a$
 - Transitive: $a \sqsubseteq b$ and $b \sqsubseteq c$ implies $a \sqsubseteq c$
 - Antisymmetric: $a \sqsubseteq b$ and $b \sqsubseteq a$ implies $a = b$
- Examples: the subset relation, the divisibility relation on the naturals, ...
- Place a partial order on $\mathbb{Z} \cup \{\perp, \top\}$: $\perp \sqsubseteq n \sqsubseteq \top$ (most information to least information)
- Lift the ordering to constant environments: $f \sqsubseteq g$ iff $f(x) \sqsubseteq g(x)$ for all x
 - $f \sqsubseteq g$: f is a “better” constant environment than g
 - f sends x to \top implies g sends x to \top

- Recall: a partial order \sqsubseteq is a binary relation that is
 - Reflexive: $a \sqsubseteq a$
 - Transitive: $a \sqsubseteq b$ and $b \sqsubseteq c$ implies $a \sqsubseteq c$
 - Antisymmetric: $a \sqsubseteq b$ and $b \sqsubseteq a$ implies $a = b$
- Examples: the subset relation, the divisibility relation on the naturals, ...
- Place a partial order on $\mathbb{Z} \cup \{\perp, \top\}$: $\perp \sqsubseteq n \sqsubseteq \top$ (most information to least information)
- Lift the ordering to constant environments: $f \sqsubseteq g$ iff $f(x) \sqsubseteq g(x)$ for all x
 - $f \sqsubseteq g$: f is a “better” constant environment than g
 - f sends x to \top implies g sends x to \top
- The merge operation \sqcup is the *least upper bound* in this order:
 - $f_1 \sqsubseteq (f_1 \sqcup f_2)$ and $f_2 \sqsubseteq (f_1 \sqcup f_2)$
 - For any f' such that $f_1 \sqsubseteq f'$ and $f_2 \sqsubseteq f'$, we have $(f_1 \sqcup f_2) \sqsubseteq f'$

Constant propagation as a constraint system

- Let $G = (N, E, s)$ be a control flow graph.
- For each basic block $bb \in N$, associate two constant environments $\mathbf{IN}[bb]$ and $\mathbf{OUT}[bb]$
 - $\mathbf{IN}[bb]$ is the constant environment at the *entry* of bb
 - $\mathbf{OUT}[bb]$ is the constant environment at the *exit* of bb

Constant propagation as a constraint system

- Let $G = (N, E, s)$ be a control flow graph.
- For each basic block $bb \in N$, associate two constant environments $\text{IN}[bb]$ and $\text{OUT}[bb]$
 - $\text{IN}[bb]$ is the constant environment at the *entry* of bb
 - $\text{OUT}[bb]$ is the constant environment at the *exit* of bb
- Say that the assignment IN, OUT is **conservative** if

① $\text{IN}[s]$ assigns each variable \top

② For each node $bb \in N$,

$$\text{OUT}[bb] \sqsupseteq \text{post}(bb, \text{IN}[bb])$$

③ For each edge $src \rightarrow dst \in E$,

$$\text{IN}[dst] \sqsupseteq \text{OUT}[src]$$

Constant propagation as a constraint system

- Let $G = (N, E, s)$ be a control flow graph.
- For each basic block $bb \in N$, associate two constant environments $\text{IN}[bb]$ and $\text{OUT}[bb]$
 - $\text{IN}[bb]$ is the constant environment at the *entry* of bb
 - $\text{OUT}[bb]$ is the constant environment at the *exit* of bb
- Say that the assignment IN, OUT is **conservative** if

① $\text{IN}[s]$ assigns each variable \top

② For each node $bb \in N$,

$$\text{OUT}[bb] \sqsupseteq \text{post}(bb, \text{IN}[bb])$$

③ For each edge $src \rightarrow dst \in E$,

$$\text{IN}[dst] \sqsupseteq \text{OUT}[src]$$

- Fact: if IN, OUT is conservative, then
 - If $\text{IN}[bb](x) = n$, then whenever program execution reaches bb entry, the value of x is n
 - If $\text{IN}[bb](x) = \perp$, then program execution cannot reach bb
 - Similarly for OUT

- Think of $\text{IN}[bb]$ and $\text{OUT}[bb]$ as *variables* in a constraint system.
- The constraints may have multiple solutions
 - Recall: when constant environment sends a variables x to a constant (not \top), can replace reads to x with that constant
 - More constant assignments \Rightarrow more optimization

- Think of $\text{IN}[bb]$ and $\text{OUT}[bb]$ as *variables* in a constraint system.
- The constraints may have multiple solutions
 - Recall: when constant environment sends a variables x to a constant (not \top), can replace reads to x with that constant
 - More constant assignments \Rightarrow more optimization
- Want *least* conservative assignment
 - 1 IN, OUT is conservative
 - 2 If IN', OUT' is a conservative assignment, then for any bb we have
 - $\text{IN}[bb] \sqsubseteq \text{IN}'[bb]$
 - $\text{OUT}[bb] \sqsubseteq \text{OUT}'[bb]$

Computing the least conservative assignment of constant environments

- Initialize $\mathbf{IN}[s]$ to the constant environment that sends every variable to \top and $\mathbf{OUT}[s]$ to the constant environment that sends every variable to \perp .
- Initialize $\mathbf{IN}[bb]$ and $\mathbf{OUT}[bb]$ to the constant environment that sends every variable to \perp for every other basic block

Computing the least conservative assignment of constant environments

- Initialize $\mathbf{IN}[s]$ to the constant environment that sends every variable to \top and $\mathbf{OUT}[s]$ to the constant environment that sends every variable to \perp .
- Initialize $\mathbf{IN}[bb]$ and $\mathbf{OUT}[bb]$ to the constant environment that sends every variable to \perp for every other basic block
- Choose a constraint that is *not* satisfied by \mathbf{IN} , \mathbf{OUT}
 - If there is basic block bb with $\mathbf{OUT}[bb] \not\sqsupseteq \text{post}(bb, \mathbf{IN}[bb])$, then set

$$\mathbf{OUT}[bb] := \text{post}(bb, \mathbf{IN}[bb])$$

- If there is an edge $src \rightarrow dst \in E$ with $\mathbf{IN}[dst] \not\sqsupseteq \mathbf{OUT}[src]$, then set

$$\mathbf{IN}[dst] := \mathbf{IN}[dst] \sqcup \mathbf{OUT}[src]$$

- Terminate when all constraints are satisfied.

Computing the least conservative assignment of constant environments

- Initialize $\mathbf{IN}[s]$ to the constant environment that sends every variable to \top and $\mathbf{OUT}[s]$ to the constant environment that sends every variable to \perp .
- Initialize $\mathbf{IN}[bb]$ and $\mathbf{OUT}[bb]$ to the constant environment that sends every variable to \perp for every other basic block
- Choose a constraint that is *not* satisfied by \mathbf{IN} , \mathbf{OUT}
 - If there is basic block bb with $\mathbf{OUT}[bb] \not\sqsupseteq \text{post}(bb, \mathbf{IN}[bb])$, then set

$$\mathbf{OUT}[bb] := \text{post}(bb, \mathbf{IN}[bb])$$

- If there is an edge $src \rightarrow dst \in E$ with $\mathbf{IN}[dst] \not\sqsupseteq \mathbf{OUT}[src]$, then set

$$\mathbf{IN}[dst] := \mathbf{IN}[dst] \sqcup \mathbf{OUT}[src]$$

- Terminate when all constraints are satisfied.
- *This algorithm always converges on the least conservative assignment of constant environments*

Next week: *dataflow analysis*

- Framework for conservative analysis of program behavior
- *Worklist algorithm*: general algorithm for solving dataflow analysis problems