# COS320: Compiling Techniques

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Compiling functional languages

# Functional languages

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  - can be passed as parameters (e.g., map)
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- First class functions: functions are values just like any other
  - can be passed as parameters (e.g., map)
  - can be returned (e.g. (+) 1)
- Functions that take functions as parameters or return functions are called *higher-order*
- A higher-order functional language is one with *nested functions* with *lexical scope*

# Scoping

- (fun  $x \rightarrow e$ ) is an expression that evaluates to a function
  - *x* is the function's parameter
  - *e* is the function's body
- Occurrences of x within e are said to be *bound* in (fun  $x \rightarrow e$ )
  - Variables are resolved to most closely containing fun.
- Occurrences of variables that are not bound are called free

(fun 
$$x \rightarrow ($$
fun  $y \rightarrow (x z) ($ fun  $x \rightarrow x) y))$ 

#### Closures

• Consider  $((\operatorname{fun} x \rightarrow (\operatorname{fun} y \rightarrow x)) \ 0) \ 1$ (1) Apply the function  $(\operatorname{fun} x \rightarrow \operatorname{fun} y \rightarrow x)$  to the argument  $O \rightsquigarrow (\operatorname{fun} y \rightarrow x)$ 

## Closures

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  - 1 Apply the function (fun  $x \rightarrow$  fun  $y \rightarrow x$ ) to the argument  $\mathbf{0} \rightsquigarrow (\text{fun } y \rightarrow x)$ 2 Apply the function (fun  $y \rightarrow x$ ) to the argument  $\mathbf{1} \rightsquigarrow ???$ 
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# Closures

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  - **1** Apply the function (fun  $x \rightarrow$  fun  $y \rightarrow x$ ) to the argument  $O \rightsquigarrow (fun y \rightarrow x)$
  - **2** Apply the function (fun  $y \rightarrow x$ ) to the argument 1  $\rightarrow$ ???
    - x is free in (fun  $y \rightarrow x$ )!
- In higher-order functional languages, a function value is a *closure*, which consists of a function pointer *and* an environment
  - Environment is used to interpret variables from enclosing scope



let compose =
 fun (f : int -> int) ->
 (fun (g : int -> int) ->
 (fun (x : int) ->
 f (g x)))
let add10 = fun (x : int) -> x + 10
let mul2 = fun (x : int) -> 2 \* x
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# Compiling closures

- Strategy: translate a language with closures to one with (just) function pointers
- *Closure conversion* transforms a program so that no function accesses free variables
- *Hoisting* transforms a closure-converted program so that all function expressions appear at the top-level
  - Function expressions can be implemented as functions

- Idea (de Bruijn): use a representation of expressions without named bound variables
  - Each variable is replaced by a number: # of enclosing scopes between occurrence & the scope it is resolved to
  - (fun  $x \rightarrow x$ )  $\rightsquigarrow$  (fn 0)
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- Environments can be implemented as lists
  - Each environment has a pointer to parent environment

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  - p represents an environment (as a list)
- Variable x (with index i)  $\rightsquigarrow$  look-up ith element of p

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Evaluation environment: index  $0 \mapsto a$ , other indices shifted

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- Flattened representation: environment is represented as an array
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  - Greater space requirement (no sharing with parent environment)
  - Can reduce space by storing only variables that are actually free

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  - No free variables  $\Rightarrow$  no need for closures
  - Function expressions simply evaluate to (C-style) function pointers
- Hoisting:
  - Gives globally unique identifiers each function expression
  - Replaces function expressions with their identifiers
  - Places definitions for the identifiers as top-level scope

# Functional optimizations

- Tail call elimination: functional languages favor recursion over loops, but loops are more efficient (need to allocate stack frame, push return address, save registers, ...)
  - Tail call elimination searches for the pattern

%x = call foo ...; ret %x

and compiles the call as a jump instead of a callq

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  - Inlining replaces function calls with their definitions to alleviate some of this burden
- Uncurrying: in some functional languages (e.g., OCaml), functions always take a single argument at a time
  - E.g., in let f x y = . . . , f takes one argument x, and returns a closure which takes a second argument y and produces the result
  - A single OCaml-level function call may result in *several* function calls and closure allocations
  - Uncurrying is an optimization that determines when a function is always called with more that one parameter (f 3 4), and compiles it as a multi-parameter function.