

# Hierarchical Volumetric Approximation for 3D Object Similarity Database Searching

[*Efficient Geometry-based Similarity Search of 3D Spatial Databases*,  
Daniel A Keim, ACM SIGMOD '99]

# Overview

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## Goal:

- Given a voxel-based query object, find ‘similar’ objects in the ‘large’ database.

## Issues:

- *Registration* - Assume this is done!
- *Speed* - Comparisons are expensive, numerous
- *Storage* – Compact search structure

## Solution:

- Hierarchical sets of volumetric approximations used as search keys into a balanced tree structure.

# Similarity?

$$\delta_{VD}(v_1, v_2) = 1 - \frac{\|v_1 \cap v_2\|}{\|v_1 \cup v_2\|}$$

Types:

- Congruent:  $\delta_{VD}(v_s, v') = 0$
- $\varepsilon$ -Similar:  $\delta_{VD}(v_s, v') \leq \varepsilon$
- NN-Similar:  $v' \mid \forall v \in DB: \delta_{VD}(v_s, v') \leq \delta_{VD}(v_s, v)$

Valid metric: Has identity-preservation, commutativity, and triangle inequality properties.

# Two Volumetric Approximations

Maximum Included Volume ( $MIV$ ):

- $MIV(v) \subseteq v$ , max. for a given type of approximation

Minimum Surrounding Volume ( $MSV$ ):

- $v \subseteq MSV(v)$ , min. for a given type of approximation



a. MIV Approximation



b. MSV Approximation

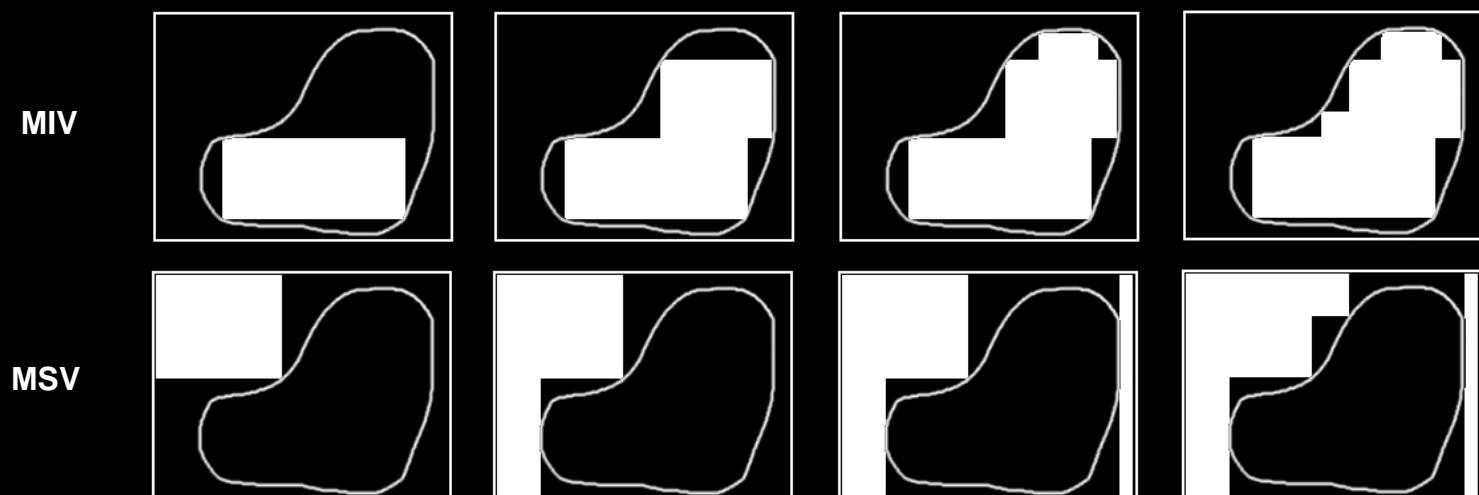
# Hierarchy Defined

Accuracy parameter,  $i$ :

- $\forall i=1\dots k: \text{MIV}_i(v) \subseteq \text{MIV}_{i+1}(v)$
- $\forall j=1\dots k: \text{MSV}_{j+1}(v) \subseteq \text{MSV}_j(v)$

Example:

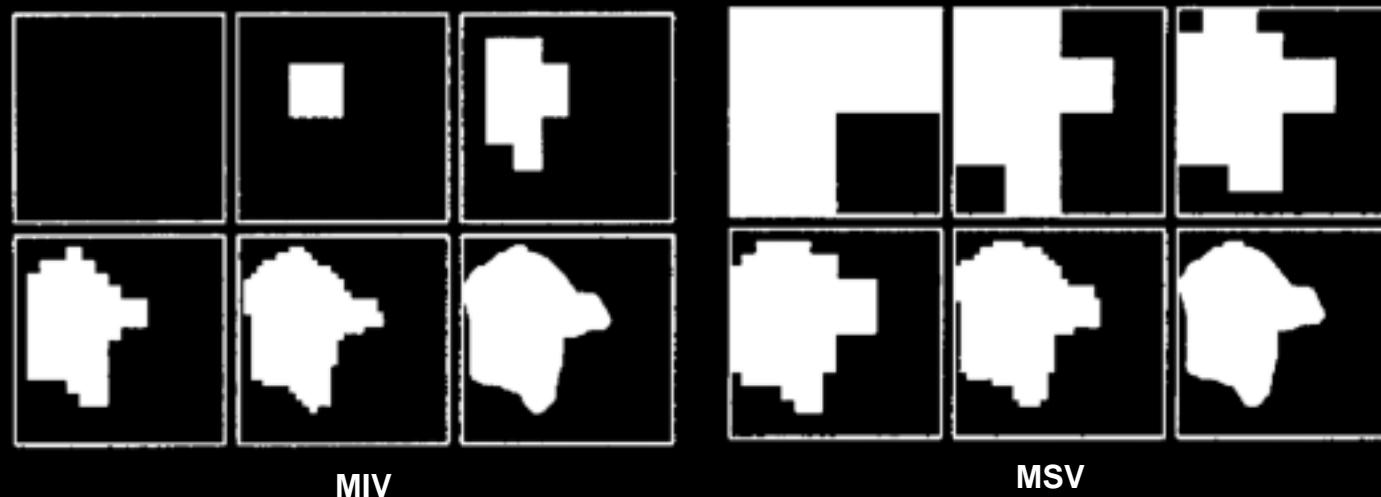
- Cuboids:



# Hierarchy Defined (con't)

Example:

- Octrees: Faster comparisons



Differences:

- Octrees: Faster comparisons
- Cuboids: Better spatial resolution

# Hierarchy Properties

Monotonicity:

- $|MIV_i(v) \cap \cup v_s| \leq |MIV_{i+1}(v) \cap \cup v_s|$
- $|MSV_j(v) \cap \cup v_s| \geq |MSV_{j+1}(v) \cap \cup v_s|$

Monotonicity of Union and Intersection:

- $\bigcap_{k=1}^n MIV_i(v_k) \subseteq \bigcap_{k=1}^n MIV_{i+1}(v_k)$
- $\bigcup_{k=1}^n MSV_{j+1}(v_k) \subseteq \bigcup_{k=1}^n MSV_j(v_k)$

# Database Structure

## Geometry-based Similarity Search Tree (GSST):

- Cluster ‘similar’ objects together in leaf nodes
- Store leaf objects as MIV, MSV approx.
- Store progressively coarse approximations for intersections/unions of child nodes’ MIVs/MSVs in the interior directory nodes.
- Use as minimally accurate approx. as possible.
- Keep the tree balanced (B-Tree)

# Database Structure Defined

## Leaf Nodes (LN):

- $\text{LN} = (e_1, e_2, \dots, e_n)$
- $e = (\text{MIV}_i, \text{MSV}_j, \text{object.ptr})$
- $i' = \min\{ i \mid \forall e_1, e_2 \in \text{LN}: e_1.\text{MIV}_i \neq e_2.\text{MIV}_i\}$
- $j' = \min\{ j \mid \forall e_1, e_2 \in \text{LN}: e_1.\text{MSV}_j \neq e_2.\text{MSV}_j\}$

# Database Structure Defined (cont'd)

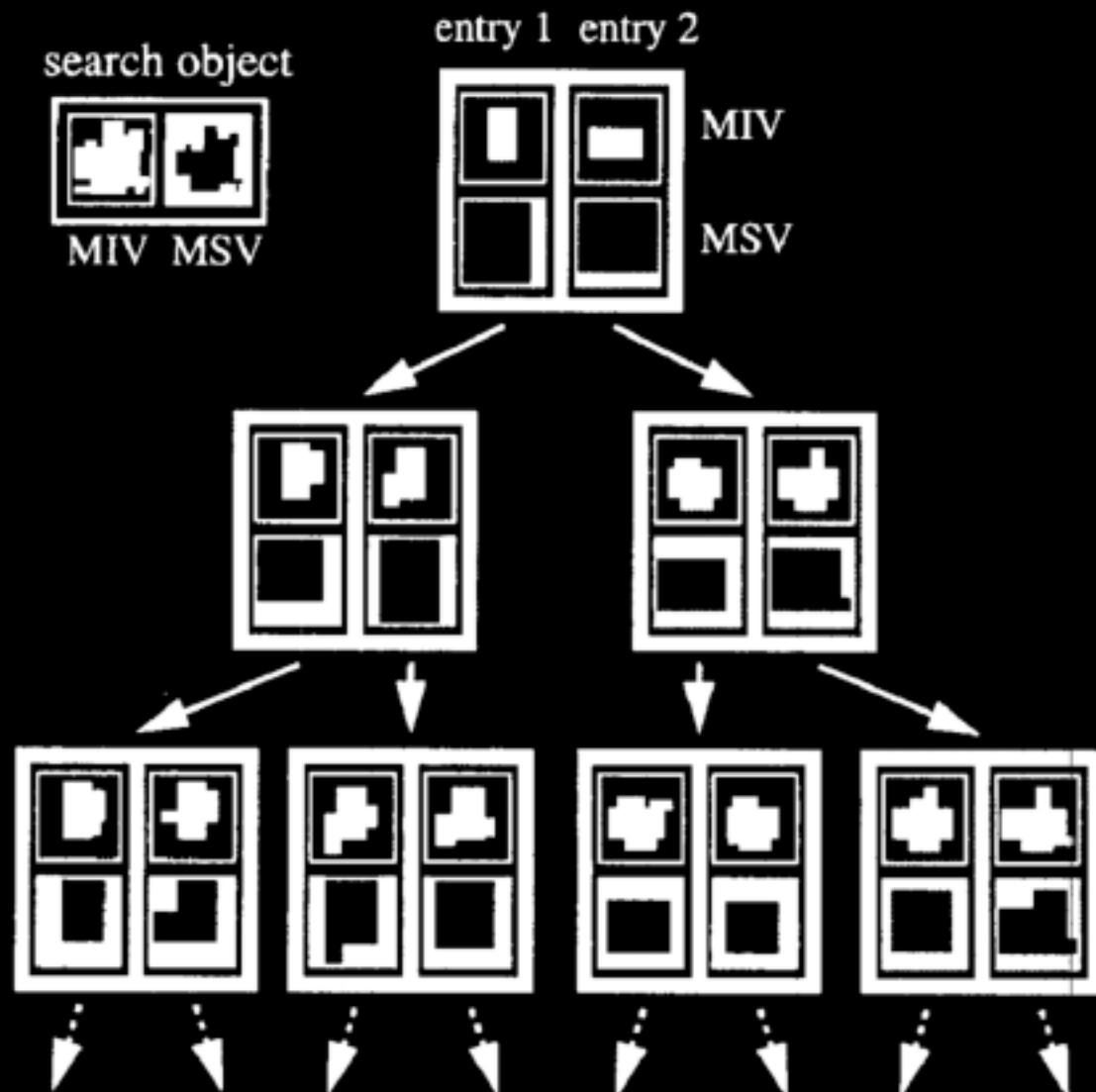
## Directory Nodes (DN):

- $\text{DN} = (e_1, e_2, \dots, e_n)$
- $e = (\text{MIV}^l_{i'}, \text{MSV}^l_{j'}, \text{child ptr})$
- $e.\text{MIV}^l_{i'} = \bigcap_{e' \in e.\text{child}} e'.\text{MIV}^{l+1}_{i'}$
- $e.\text{MSV}^l_{j'} = \bigcup_{e' \in e.\text{child}} e'.\text{MSV}^{l+1}_{j'}$
- $i' = \min\{ i \mid i \leq i^*, \forall e_1, e_2 \in \text{DN}: e_1.\text{MIV}^l_i \neq e_2.\text{MIV}^l_i \}$
- $j' = \min\{ j \mid j \leq j^*, \forall e_1, e_2 \in \text{DN}: e_1.\text{MSV}^l_j \neq e_2.\text{MSV}^l_j \}$
- $i^*, j^*$  are min  $i, j$  amongst DN's child node entries.

# Database Structure Defined (cont'd)

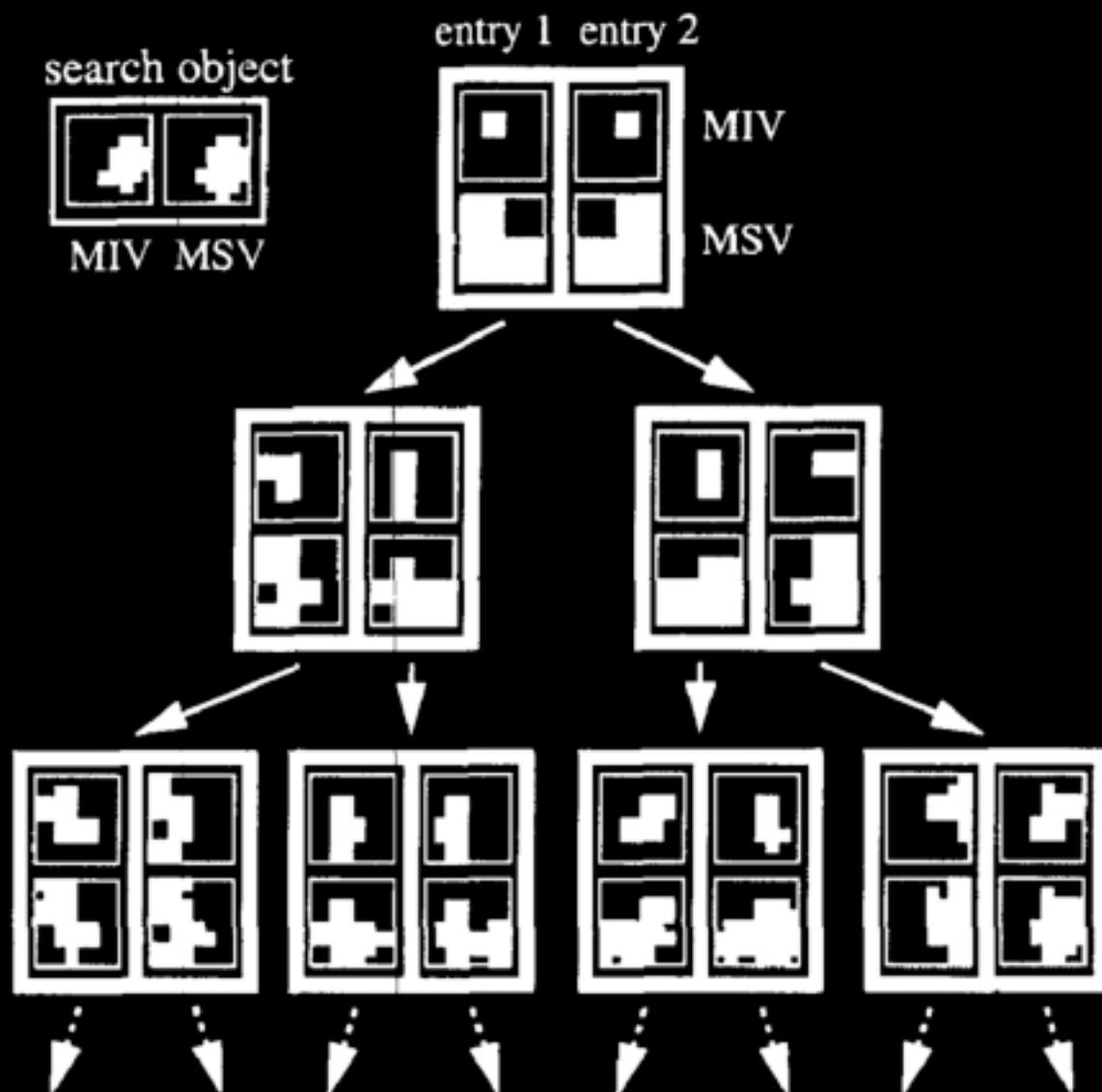
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Cuboid:



# Database Structure Defined (cont'd)

Octree:



# Similarity Metric

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$$\delta_{VD}^{\min}(v_s, e) \equiv 1 - \frac{\|v_s \cap e.MSV\|}{\|v_s \cup e.MIV\|}$$

$$\delta_{VD}^{\max}(v_s, e) \equiv 1 - \frac{\|v_s \cap e.MIV\|}{\|v_s \cup e.MSV\|}$$

## Properties:

- $\forall e' \in e.child : \delta^{\min}(v_s, e) \leq \delta^{\min}(v_s, e')$
- $\forall e' \in e.child : \delta^{\max}(v_s, e) \geq \delta^{\max}(v_s, e')$

- $\forall v \in \text{subtree}(e) : \delta^{\min}(v_s, e) \leq \delta(v_s, v) \leq \delta^{\max}(v_s, e)$

Follows from the properties of hierarchical volumes and the definition of the search tree...

# Search Algorithm

## $\varepsilon$ -Similarity:

- Start at the root level
- Compute  $\delta^{min}, \delta^{max}$  for every  $e \in DN$
- Prune subtrees with  $\delta^{min} > \varepsilon$
- Add to result list leaves in subtrees with  $\delta^{max} \leq \varepsilon$
- Move to next level and process remaining subtrees

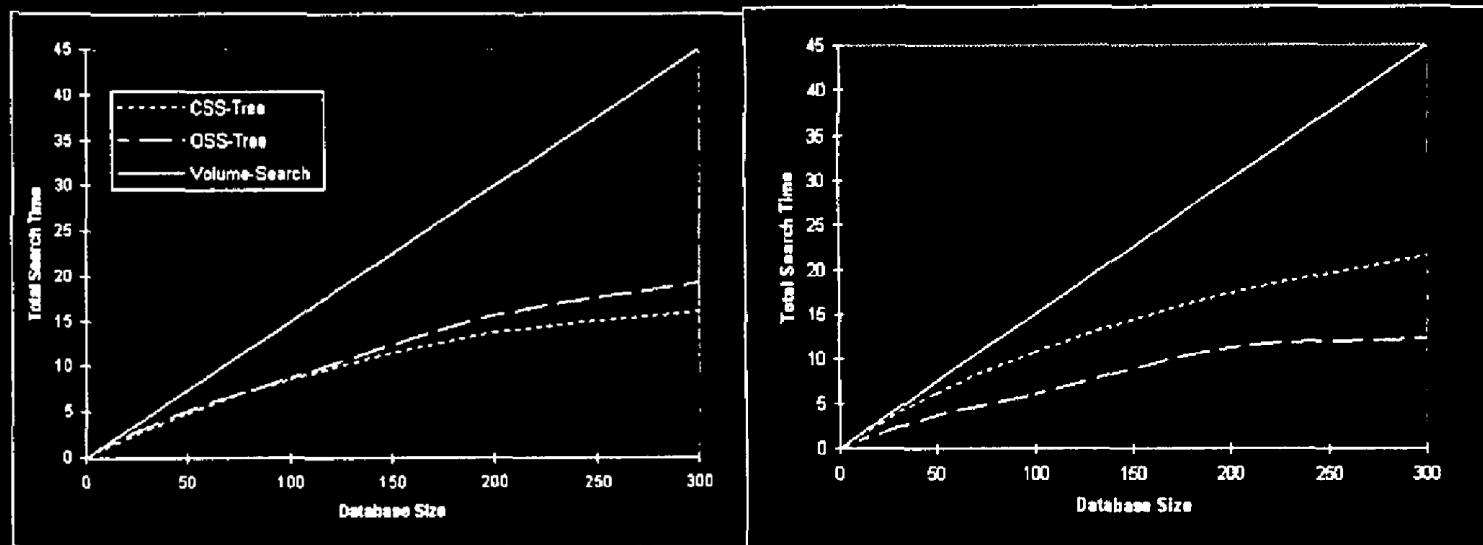
## NN-Similarity:

- Start at the root level
- Maintain smallest  $\delta^{max}$  or  $\delta(v, v_s)$  encountered,  $\delta^*$
- Prune subtrees/leaves with  $\delta^{min}/\delta > \delta^*$
- Determine ‘most promising candidate’
- Repeat until one leaf remains.

# Results

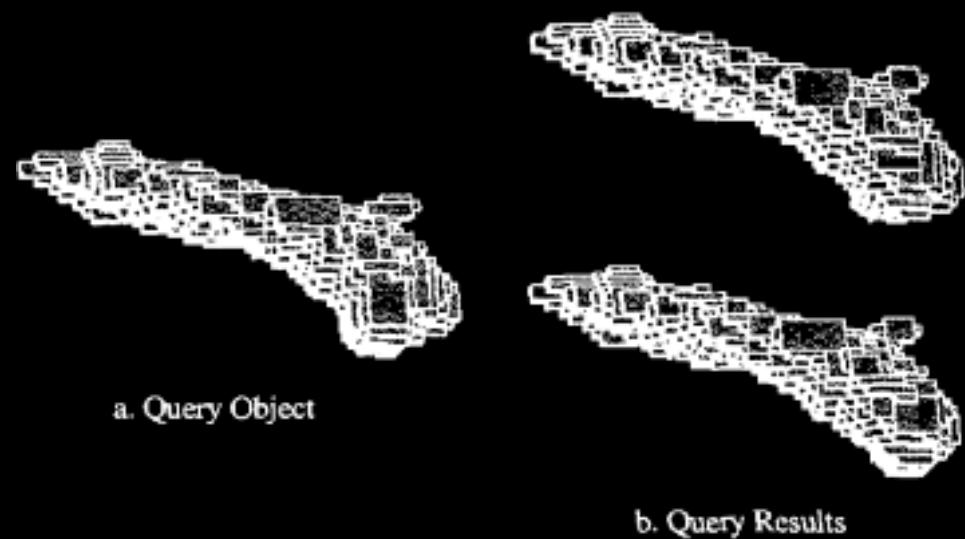
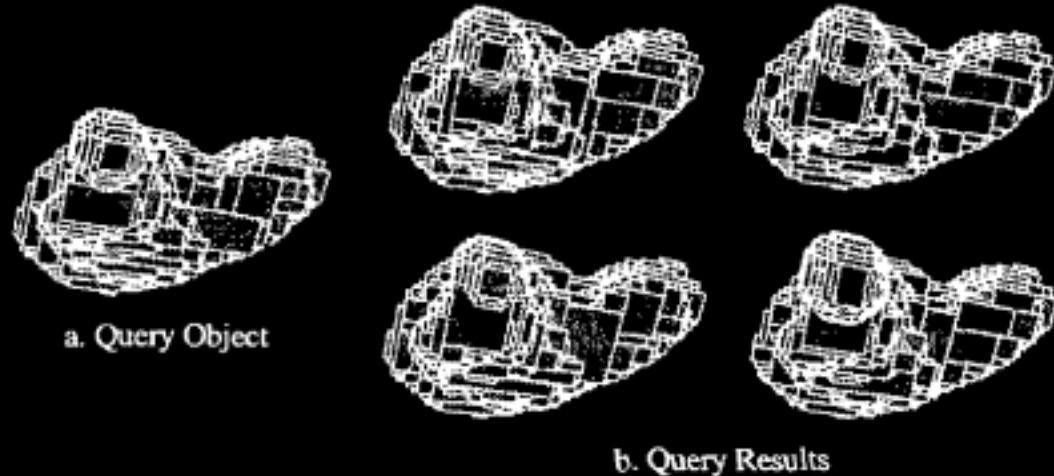
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- Search times sublinear in database size
- Can save space by saving incremental volume differences between node levels.
- Octrees incur lower CPU times – easier intersections, unions
- Cuboids incur fewer data accesses – more accurate spatially – faster pruning



# Results

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