

Proving the Equivalence of Two Modules

COS 326

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Abstraction

```
module type SET =  
  sig  
    type `a set  
    val empty : `a set  
    val mem : `a -> `a set -> bool  
    ...  
  end
```

- When explaining our modules to clients, we would like to explain them in terms of *abstract values*
 - *sets*, not the lists (or maybe trees) that implement them
- From a client's perspective, operations act on abstract values
- Signature comments, specifications, preconditions and post-conditions should be defined in terms of those abstract values
- *How are these abstract values connected to the implementation?*

Abstraction

user's view:

sets of integers

{1, 2, 3}

{4, 5}

{}

implementation
view:

[1; 1; 2; 3; 2; 3]

[]

[4, 5]

[4, 5, 5]

[1; 2; 3]

[5, 4]

lists of
integers

Abstraction

user's view:

sets of integers

{1, 2, 3}

{4, 5}

{}

implementation
view:

[1; 1; 2; 3; 2; 3]

[1; 2; 3]

[]

[4, 5]

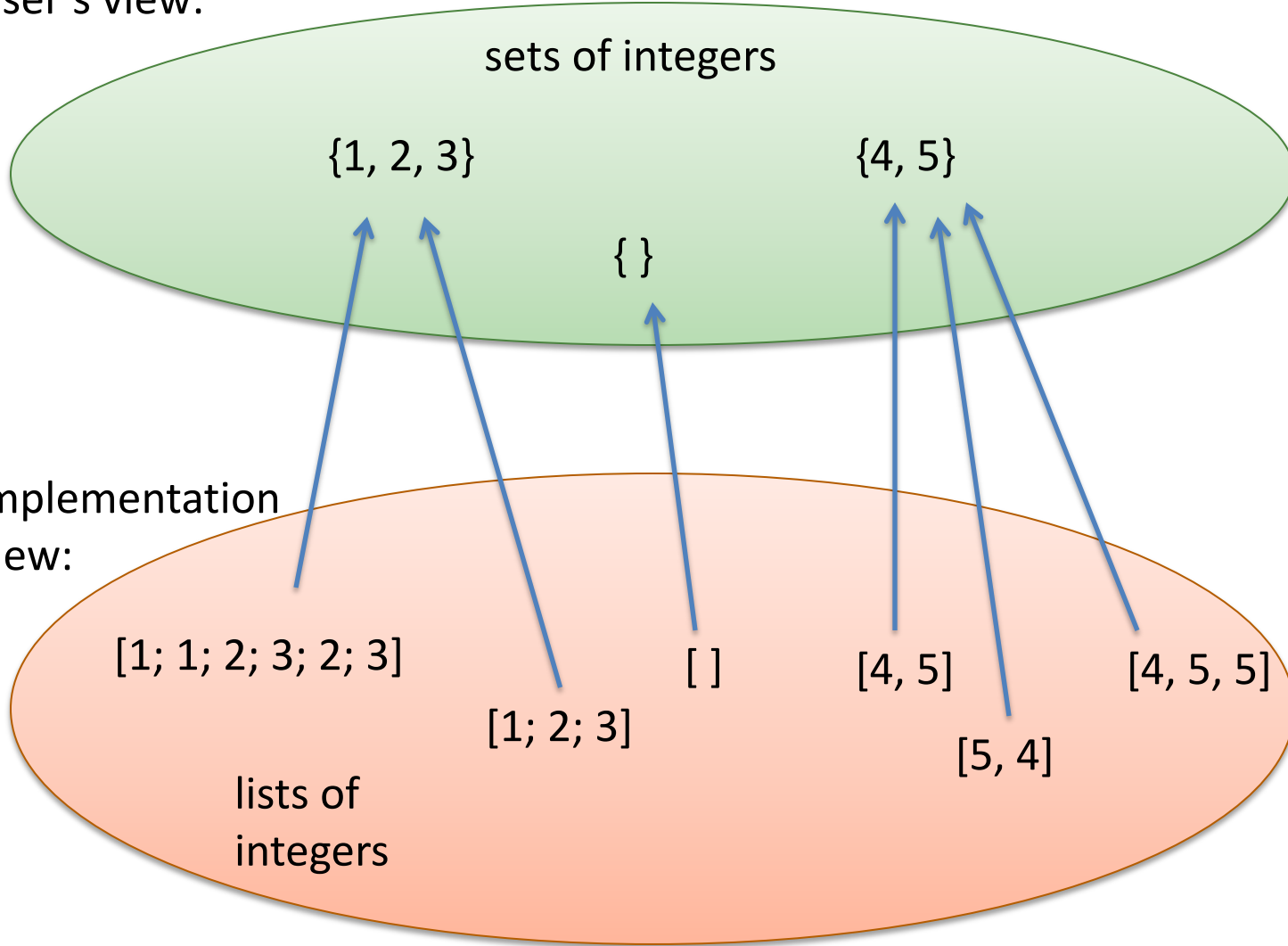
[5, 4]

[4, 5, 5]

lists of
integers

there's a
relationship
here,
of course!

we are
trying to
implement
the
abstraction



Abstraction

user's view:

sets of integers

{1, 2, 3}

{4, 5}

{ }

implementation
view:

[1; 1; 2; 3; 2; 3]

[1; 2; 3]

[]

[4, 5]

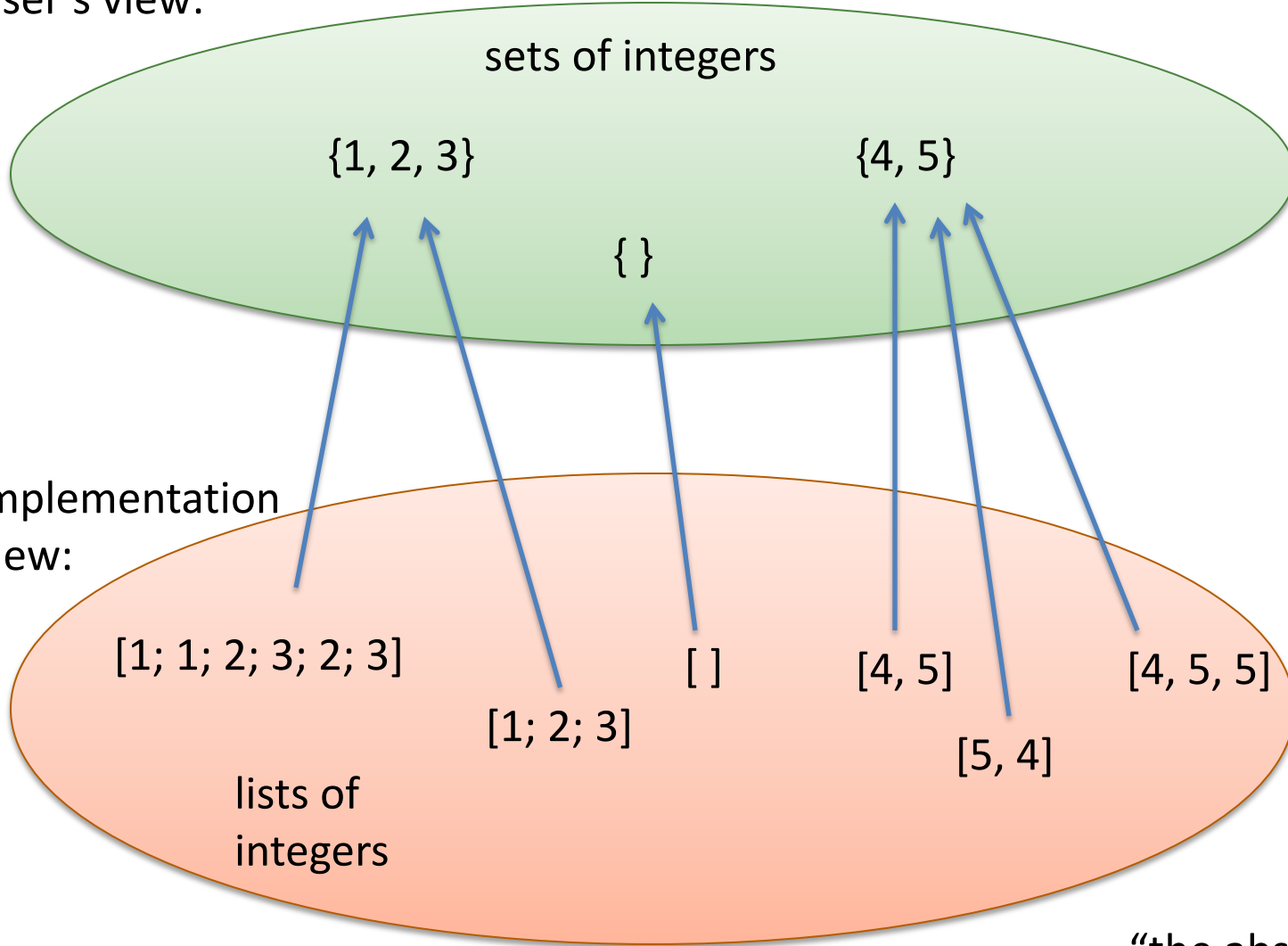
[5, 4]

[4, 5, 5]

lists of
integers

this
relationship
is a
function:
*it converts
concrete
values to
abstract
ones*

function called
"the abstraction function"



Abstraction

user's view:

sets of integers

{1, 2, 3}

{4, 5}

{}

implementation
view:

[1; 1; 2; 3; 2; 3]

[]

[4, 5]

[4, 5, 5]

lists of
integers

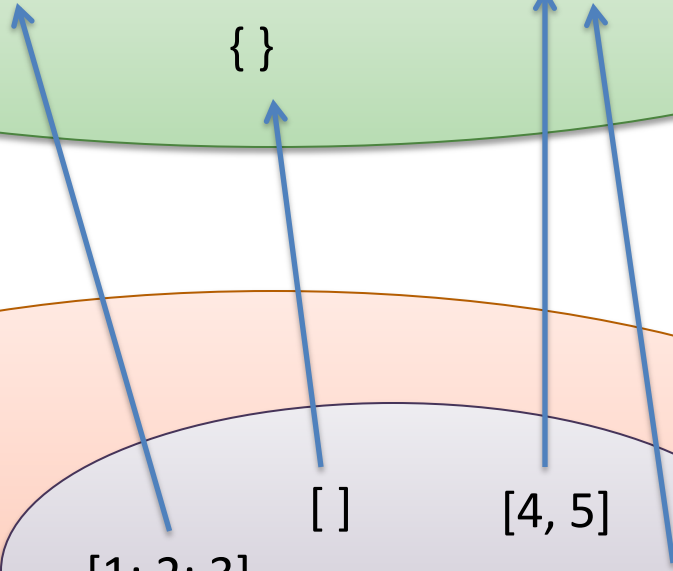
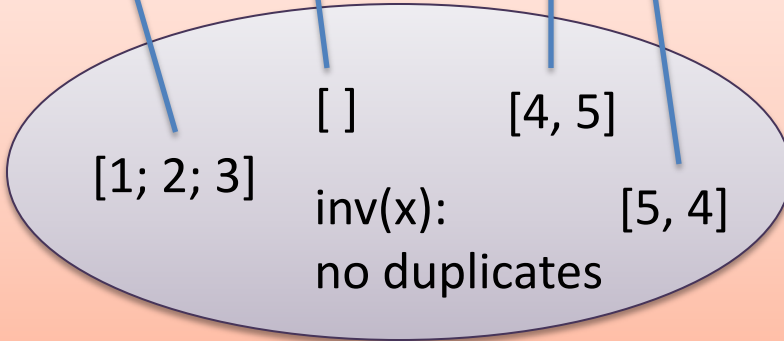
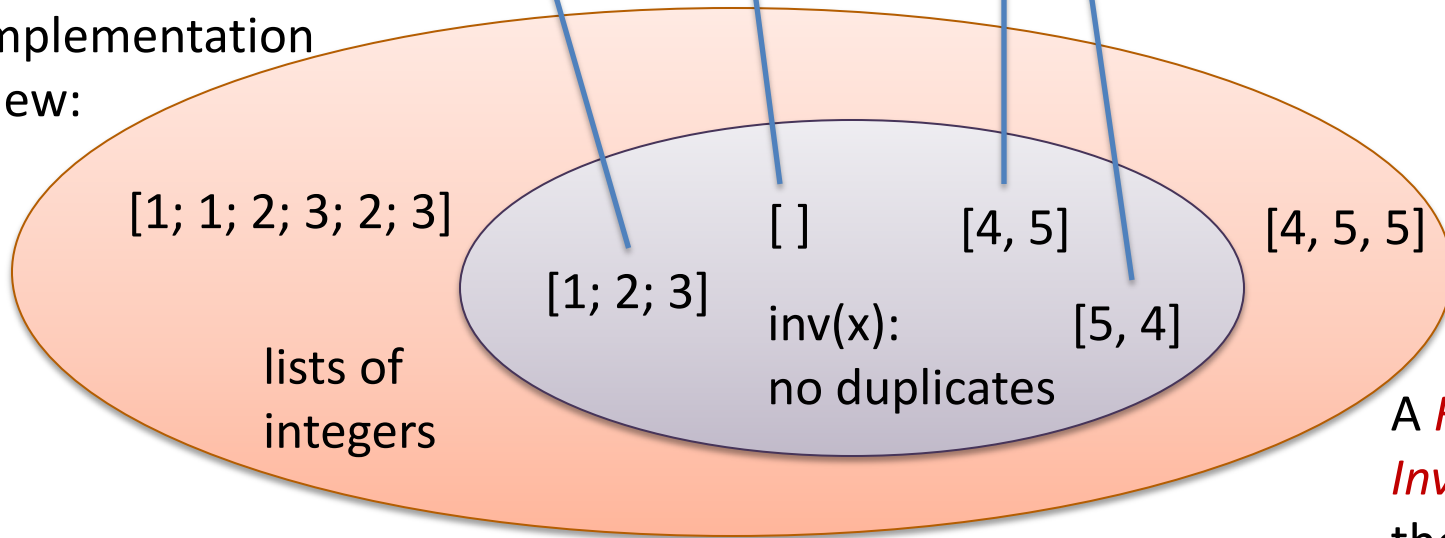
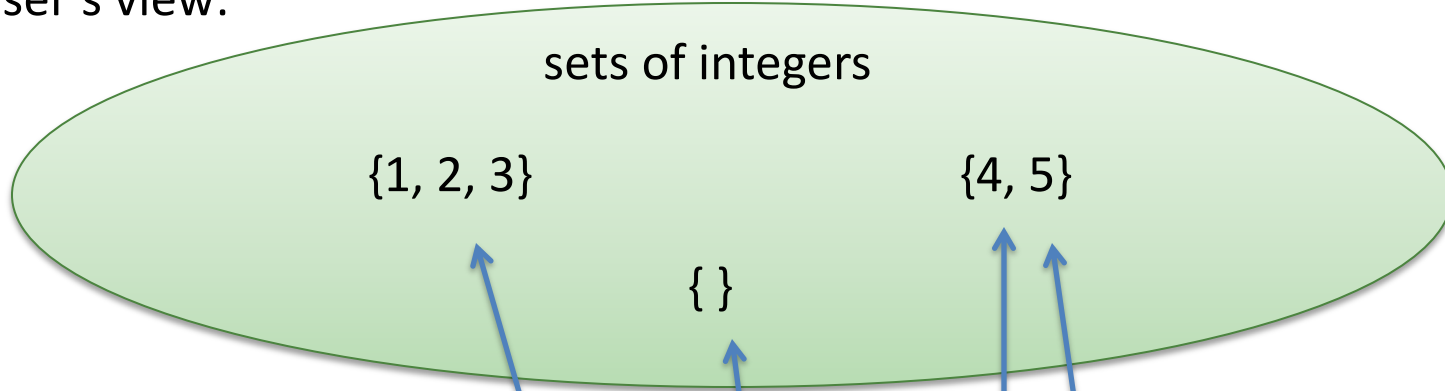
[1; 2; 3]

inv(x):
no duplicates

[5, 4]

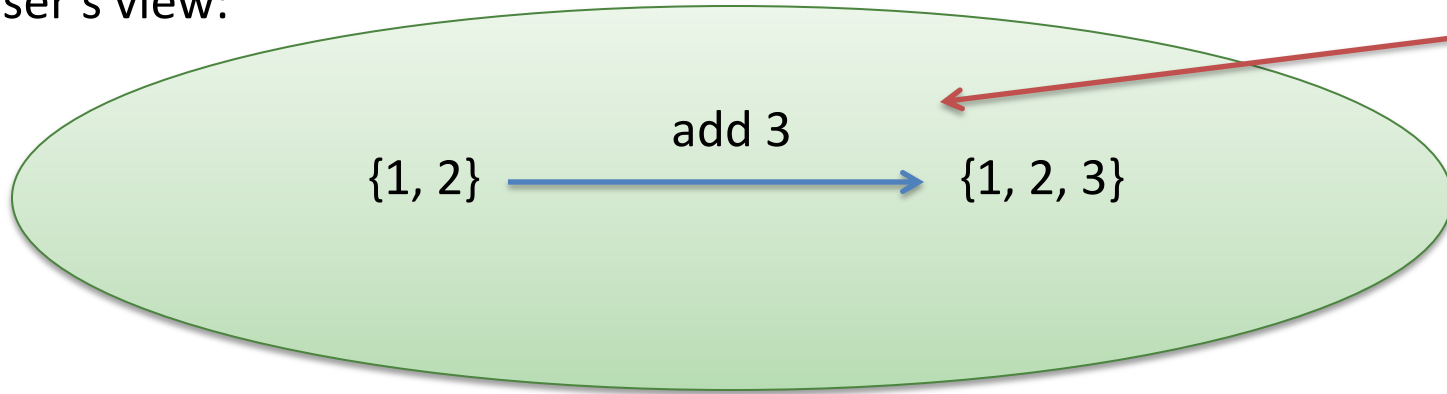
abstraction
function

A *Representation Invariant* cuts down the domain of the abstraction function



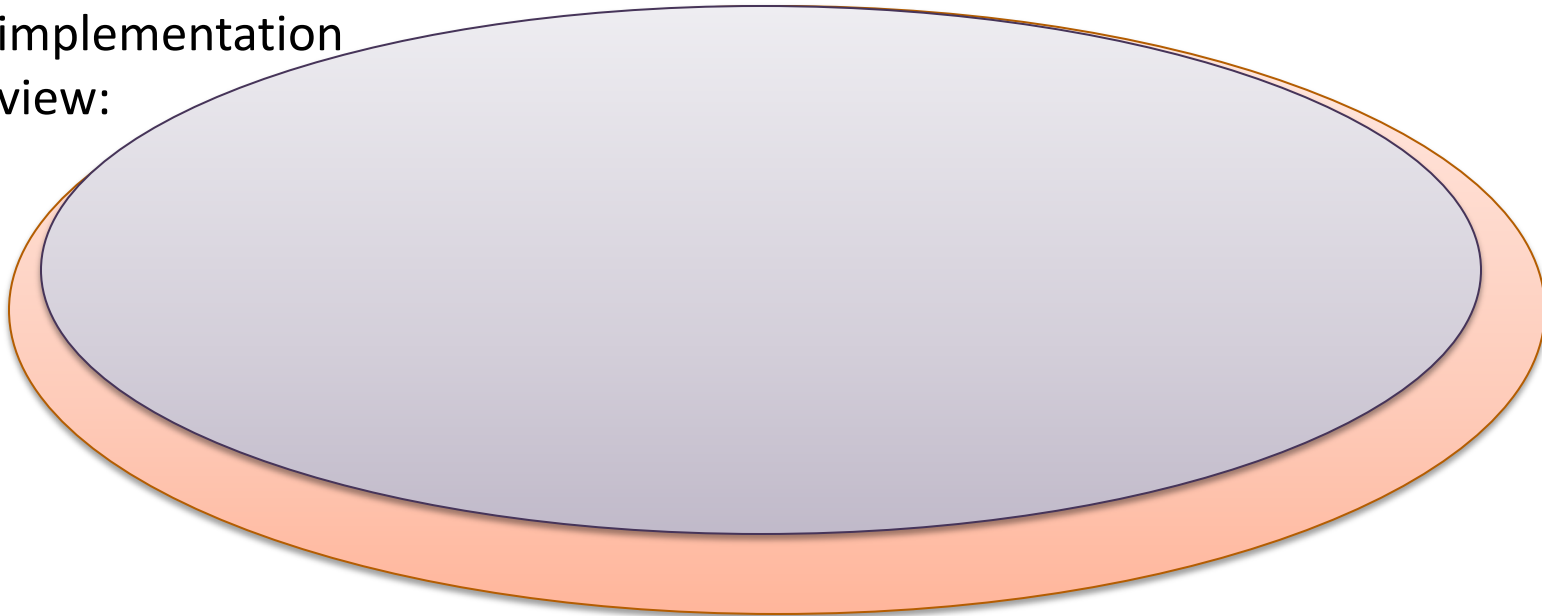
Specifications

user's view:



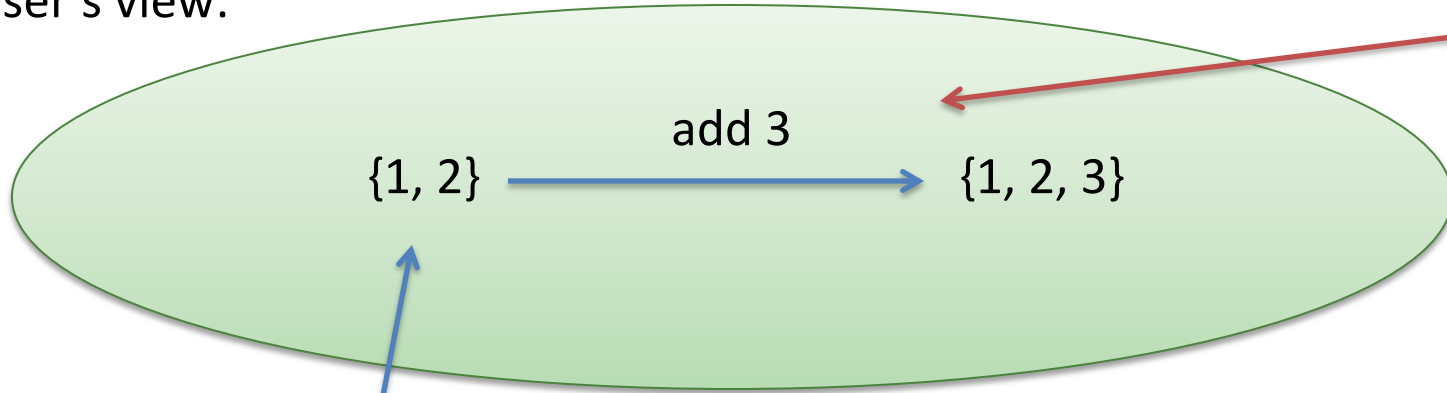
a specification tells us what operations on abstract values do

implementation view:



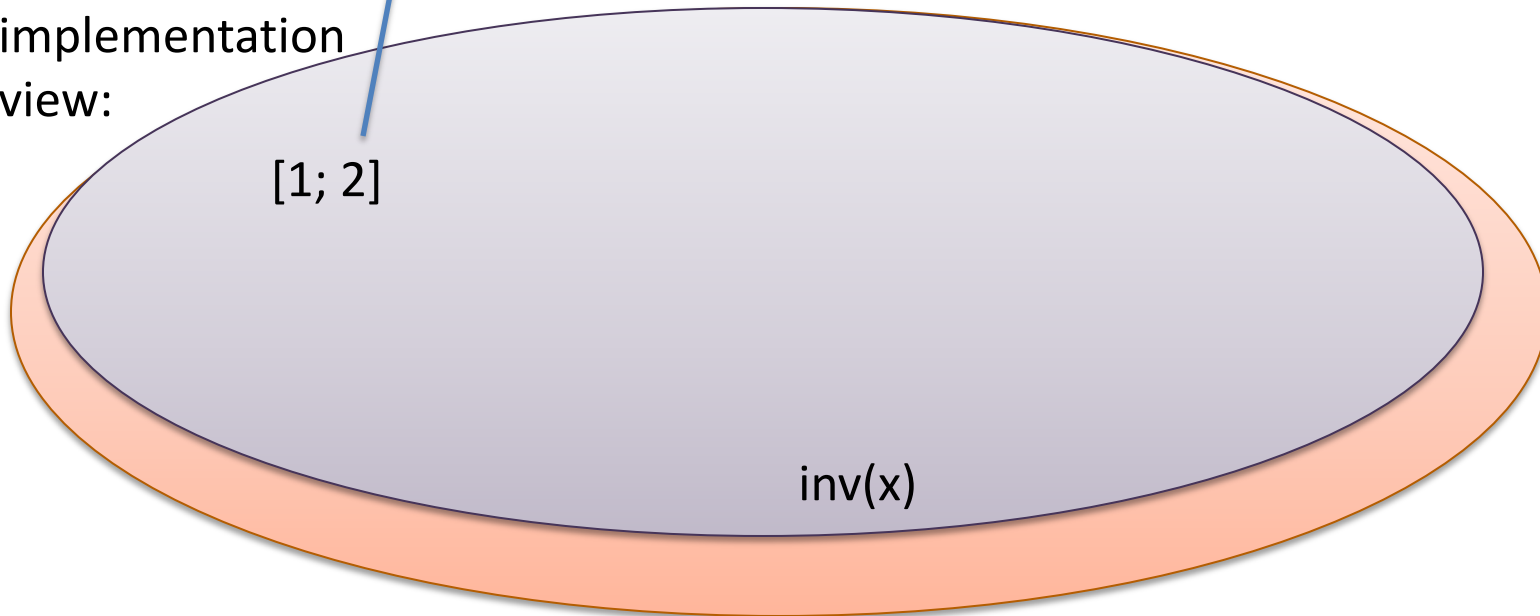
Specifications

user's view:



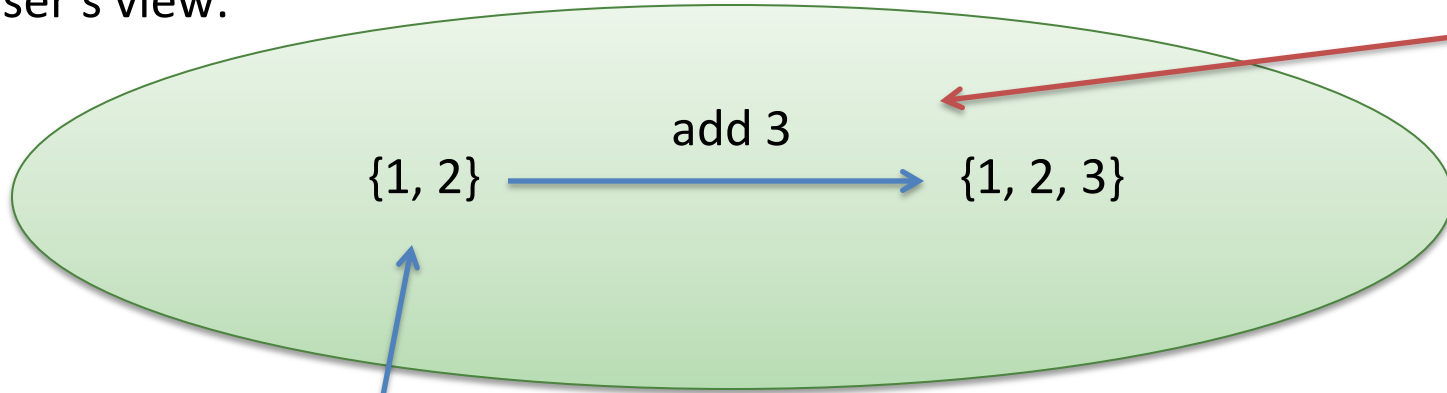
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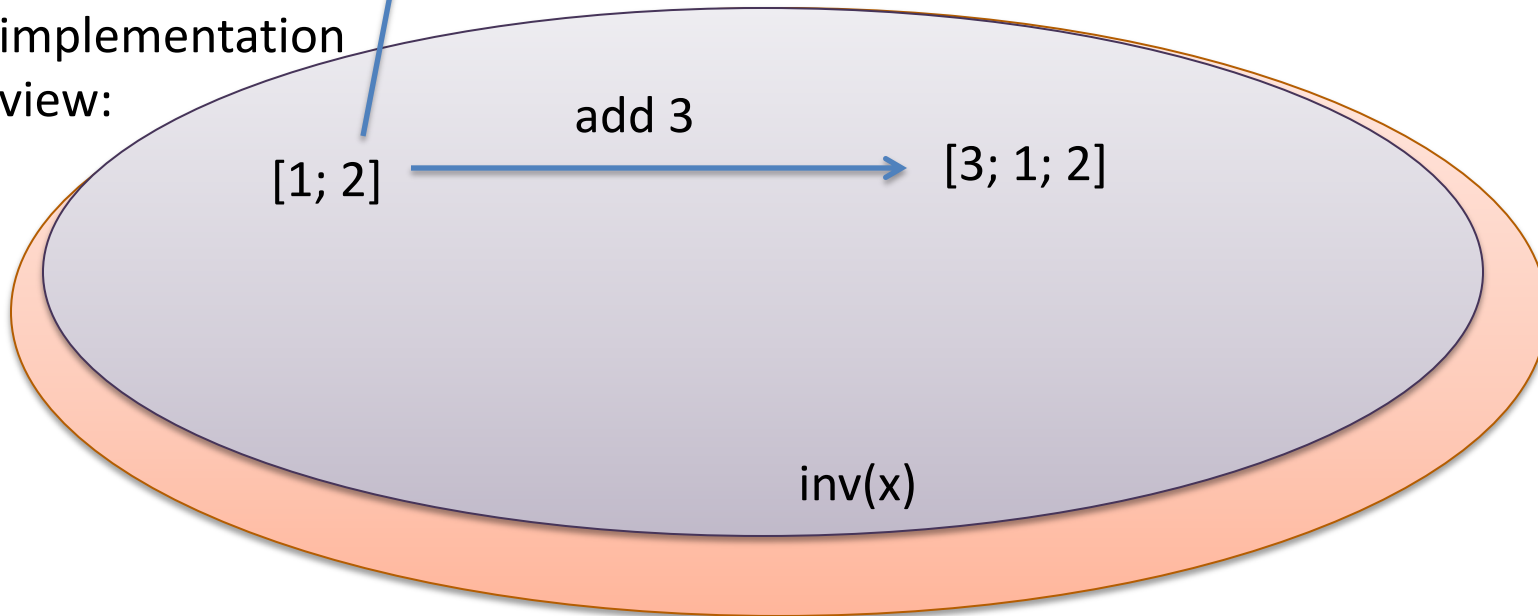
Specifications

user's view:



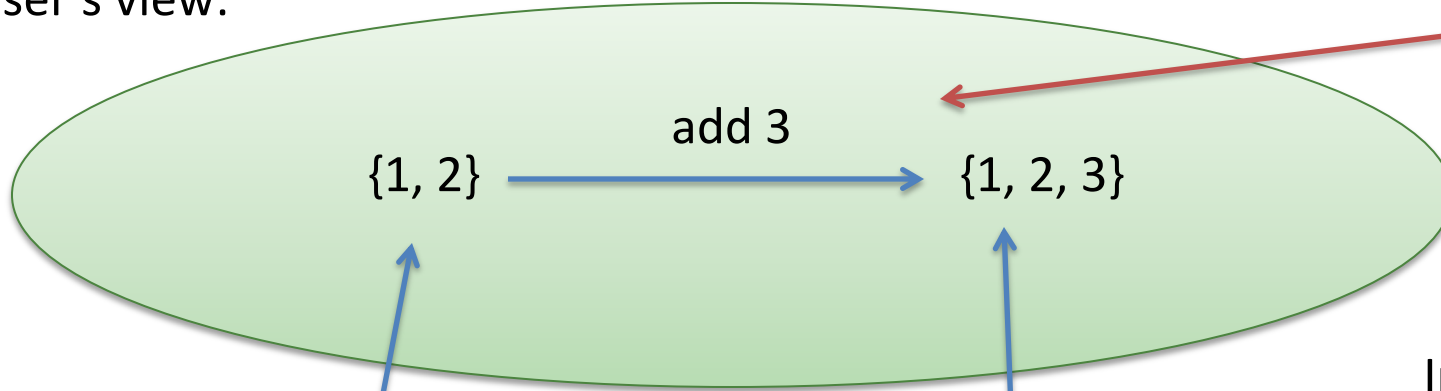
a specification tells us what operations on abstract values do

implementation view:



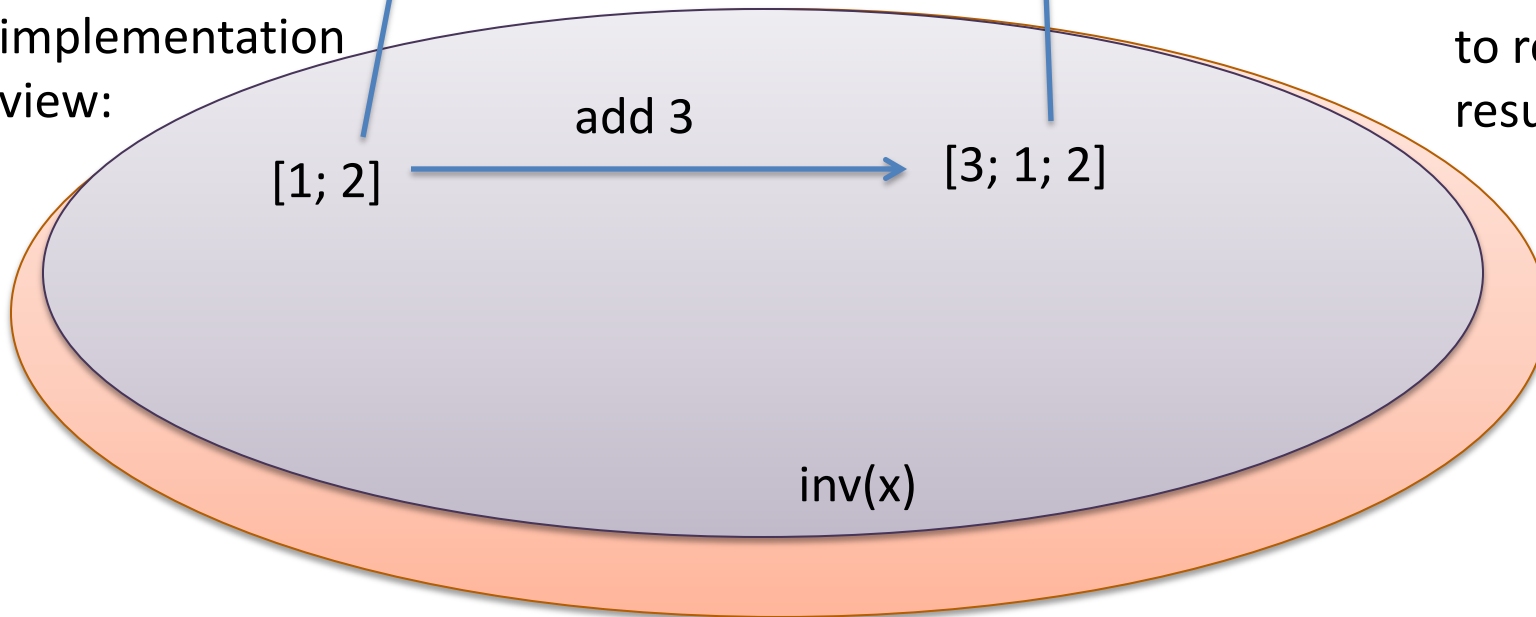
Specifications

user's view:



a specification tells us what operations on abstract values do

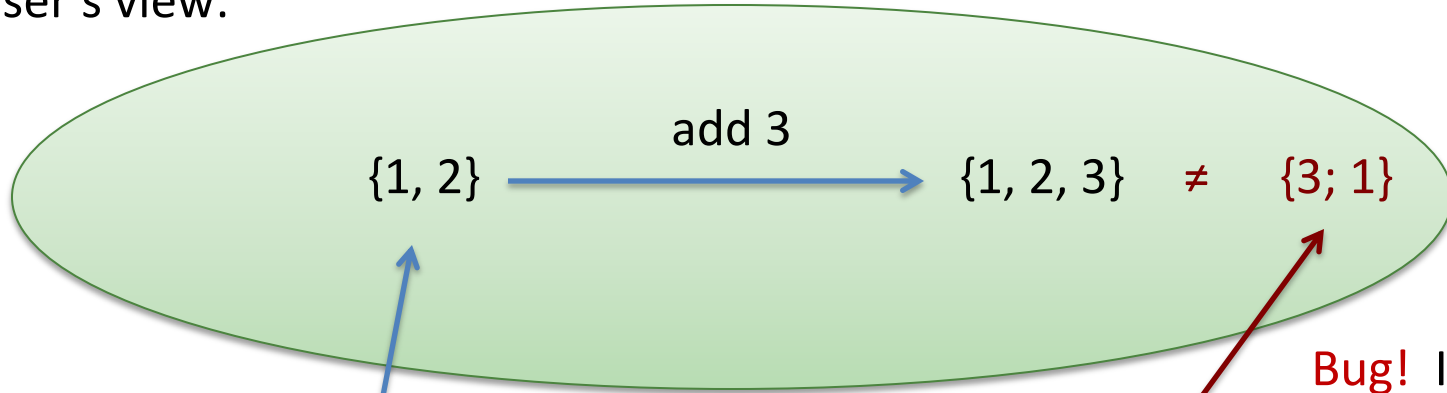
implementation view:



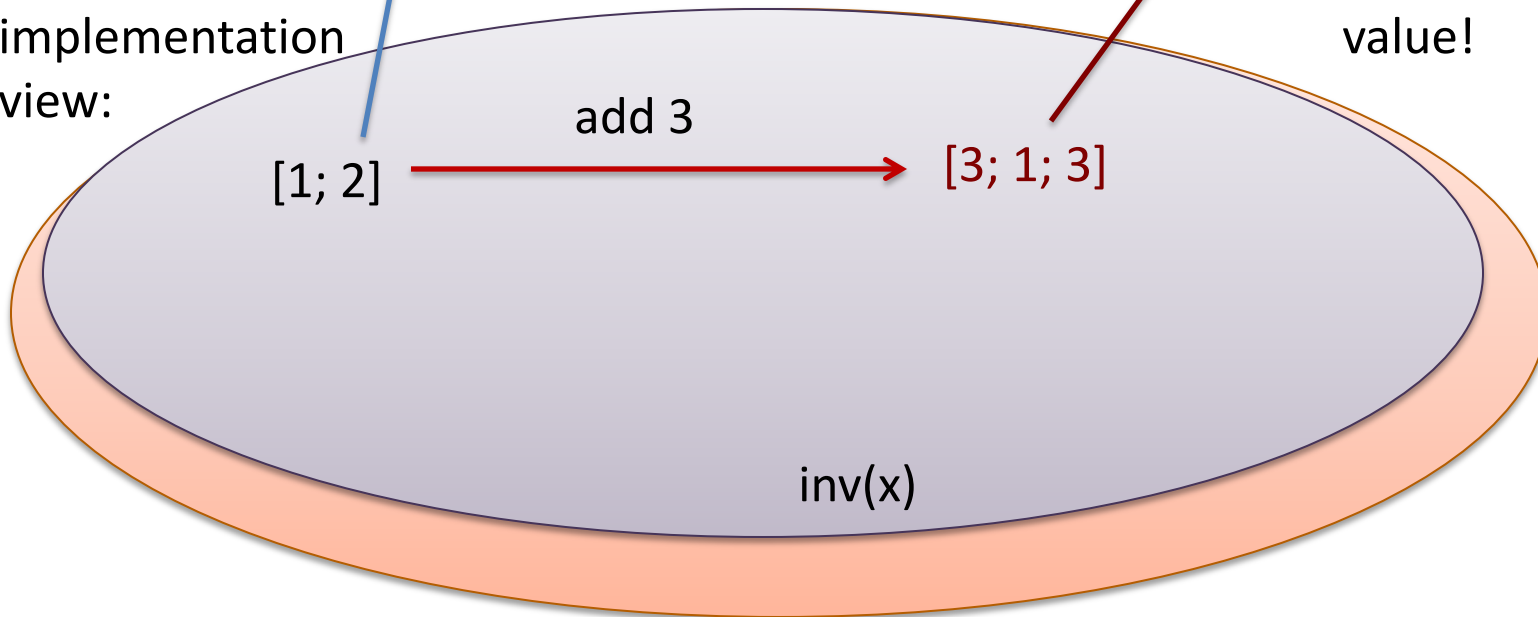
In general: related arguments are mapped to related results

Specifications

user's view:



implementation view:

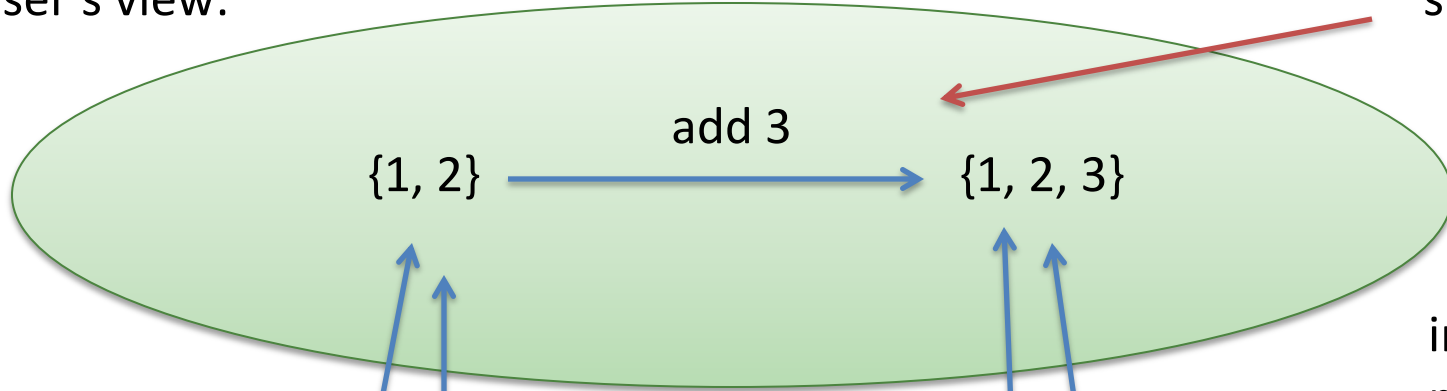


Bug! Implementation does not correspond to the correct abstract value!

Specifications

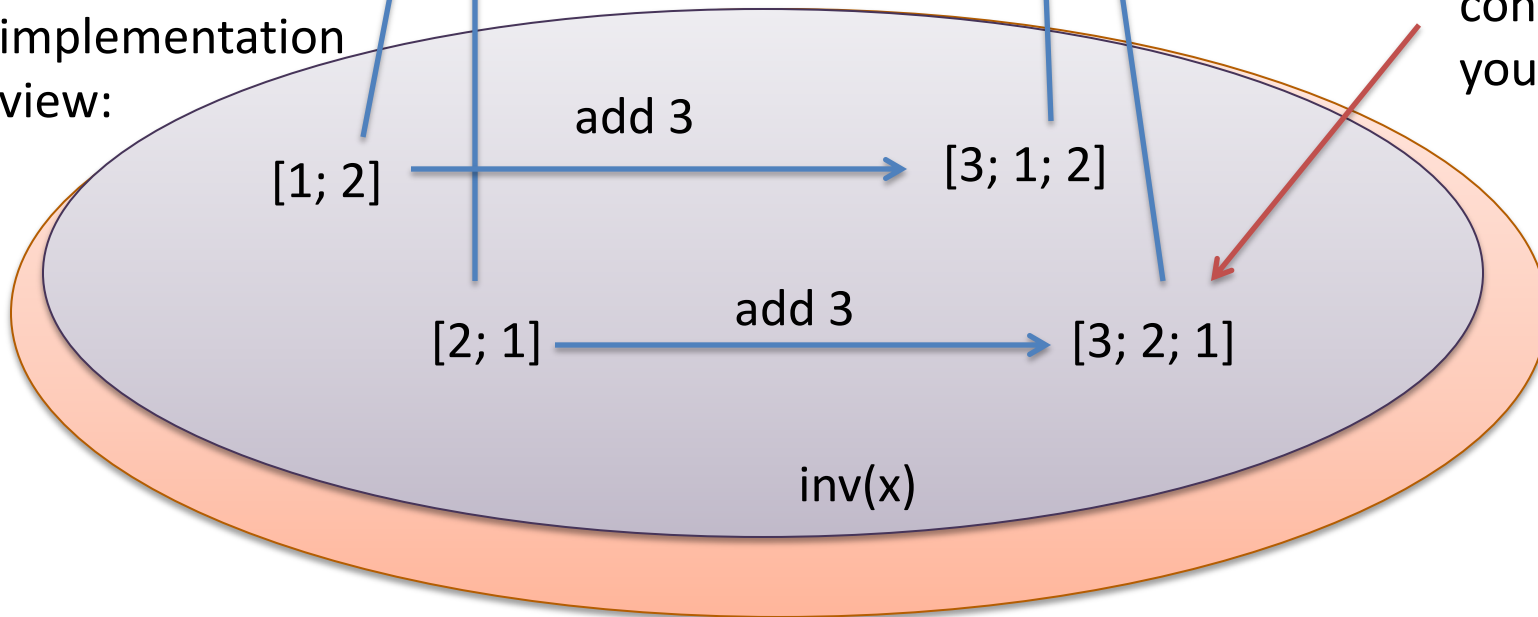
user's view:

specification

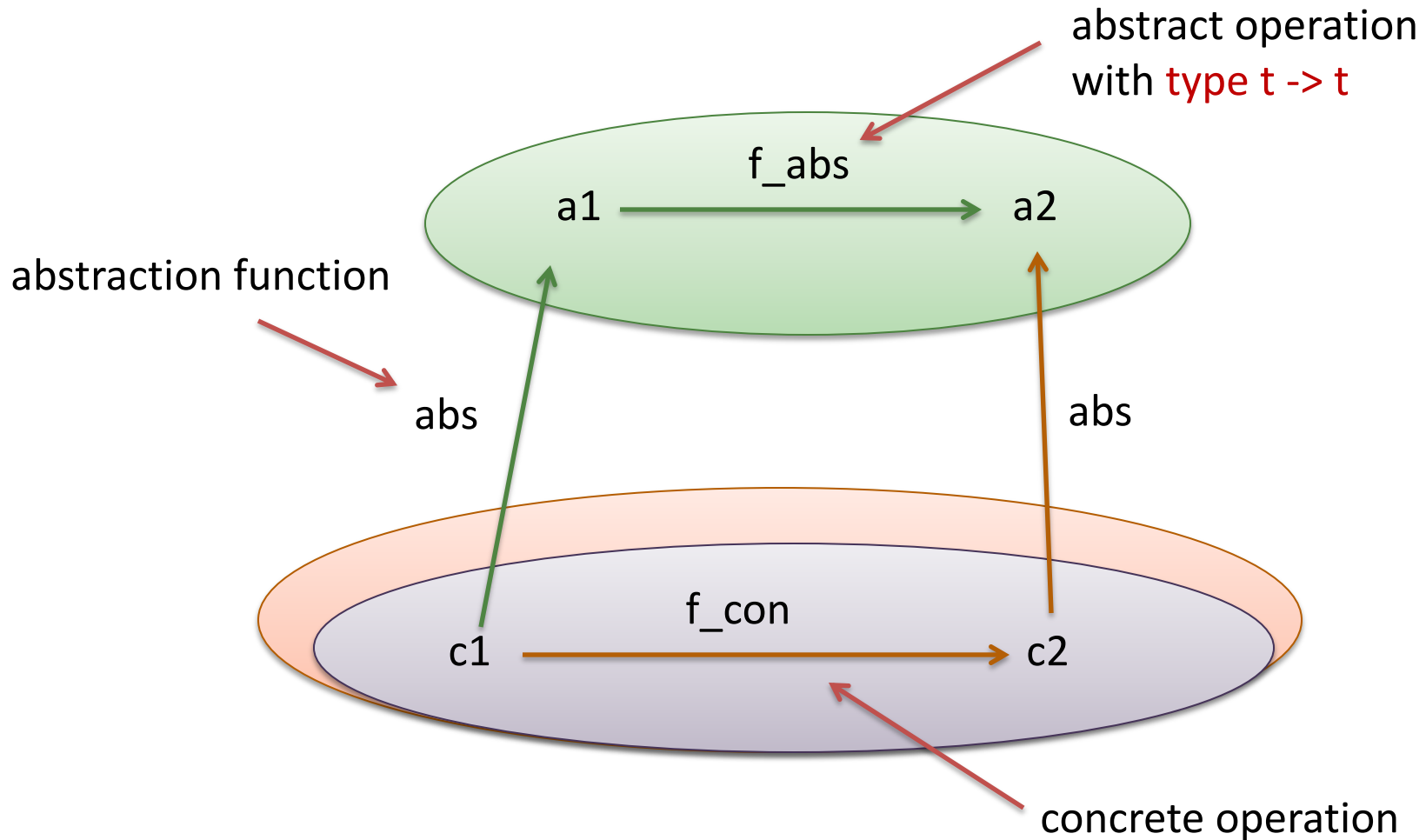


implementation view:

implementation must correspond no matter which concrete value you start with



A more general view



to prove:

for all $c_1:t$, if $inv(c_1)$ then $f_abs (abs\ c_1) == abs (f_con\ c_1)$

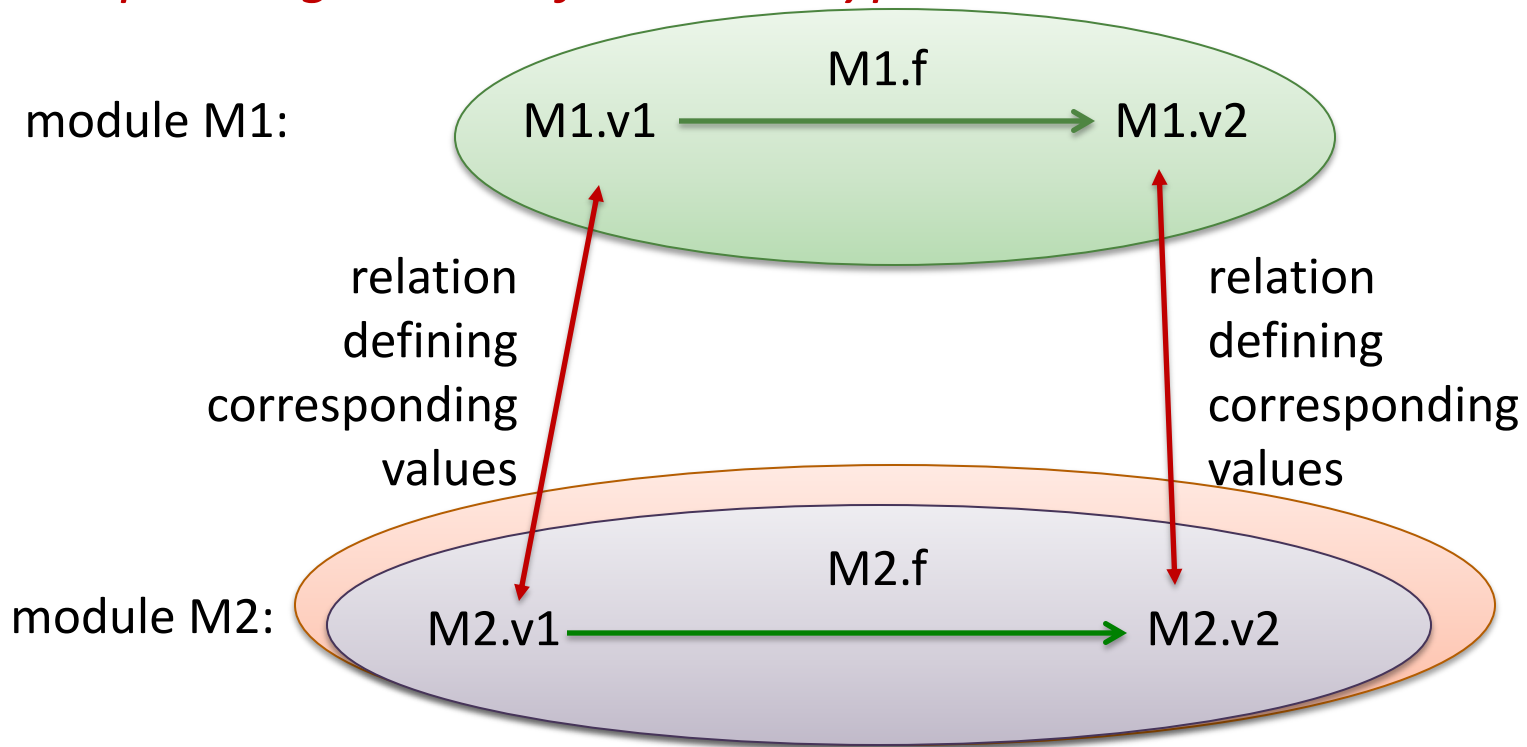
abstract then apply the abstract op == apply concrete op then abstract

Another Viewpoint

A specification is really just another implementation (in this viewpoint)

– but it's often simpler (“more abstract”)

We can use similar ideas to compare *any two implementations of the same signature*. Just come up with a relation between corresponding values of abstract type.



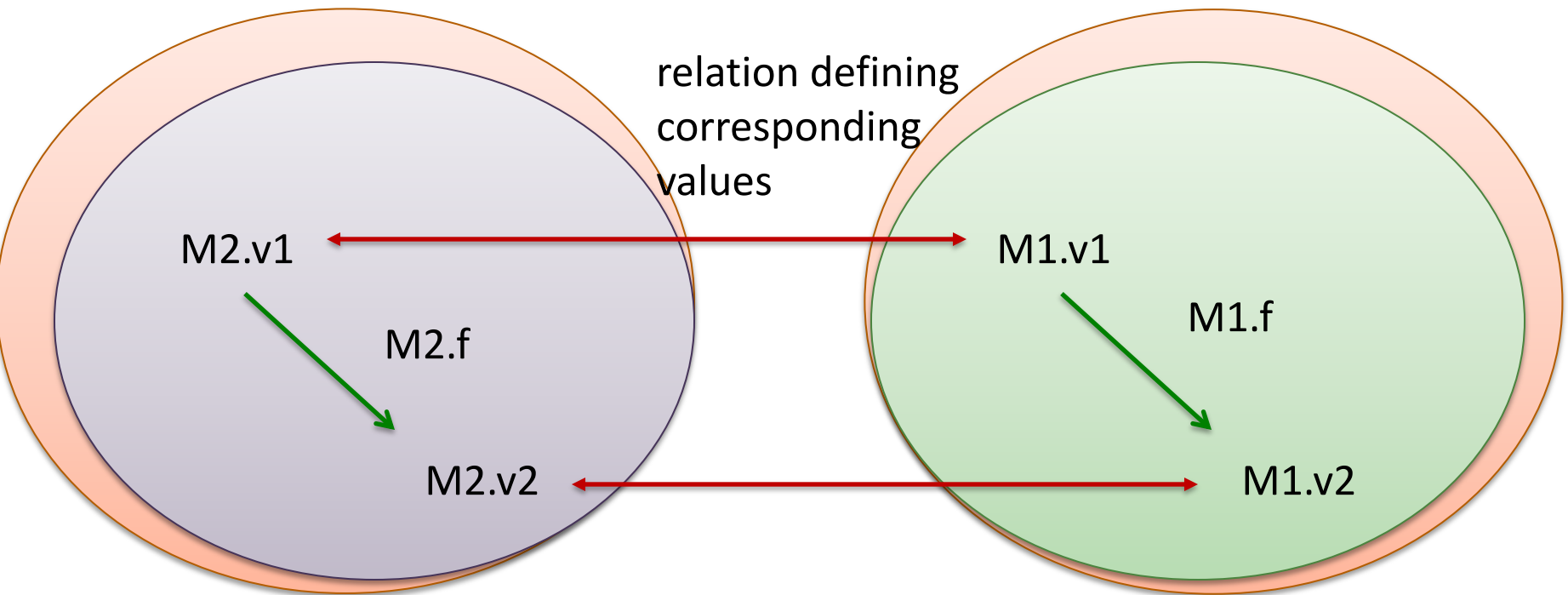
We ask: Do operations like f take related arguments to related results?

What is a specification?

It is really just another implementation

- but it's often simpler (“more abstract”)

We can use similar ideas to compare *any two implementations of the same signature*. *Just come up with a relation between corresponding values of abstract type.*



One Signature, Two Implementations

```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Consider a client that might use the module:

```
let x1 = M1.bump (M1.bump (M1.zero))
```

```
let x2 = M2.bump (M2.bump (M2.zero))
```

What is the relationship?

```
is_related (x1, x2) =  
  x1 == x2/2 - 1
```

And it persists: Any sequence of operations produces related results from M1 and M2!

One Signature, Two Implementations

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module type S =  
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module M2 : S =  
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    type t = int  
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    let reveal n = n/2 - 1  
  end
```

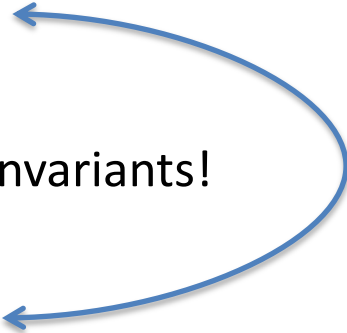
Recall: A representation invariant is a property that holds for all values of abs. type:

- if **M.v** has **abstract type t**,
 - we want **inv(M.v)** to be true

Inter-module relations are a lot like representation invariants!

- if **M1.v** and **M2.v** have **abstract type t**,
 - we want **is_related(M1.v, M2.v)** to be true

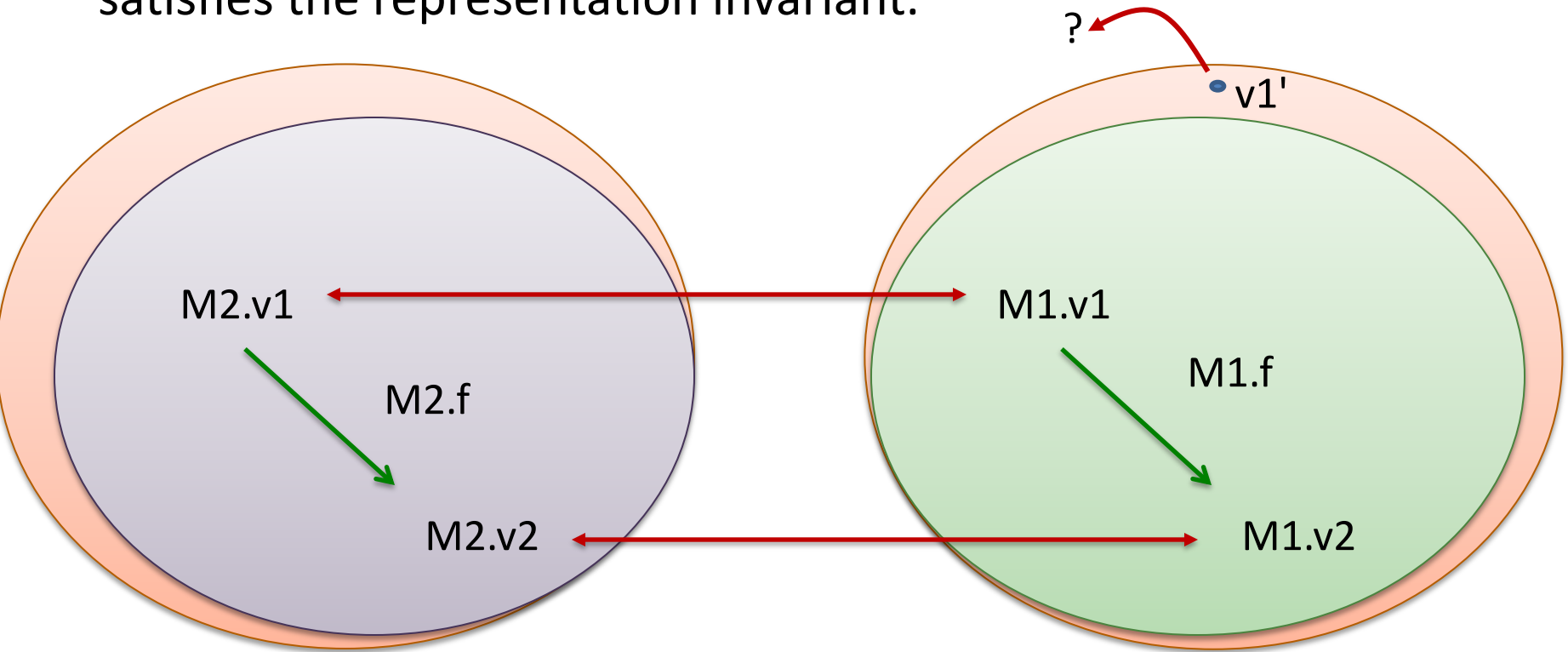
It's just
a relation
between
two modules
instead of
one



Relations may imply the Rep Inv

When defining our relation, we will often do so in a way that implies the representation invariant.

ie: a value in M1 will not be related to any value in M2 unless it satisfies the representation invariant.



One Signature, Two Implementations

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  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

```
is_related (x1, x2) =  
  (x1 == x2/2 - 1) && x1 >= 0 && even x2
```

```
is_related (x1, x2) implies x1 >= 0
```

rep inv for M1

```
is_related (x1, x2) implies even x2 && x2 > 0
```

rep inv for M2

One Signature, Two Implementations

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module type S =  
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    type t  
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    val bump : t -> t  
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module M1 : S =  
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    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

But For Now:

```
is_related (x1, x2) =  
  (x1 == x2/2 - 1)
```

One Signature, Two Implementations

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module type S =  
  sig  
    type t  
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    val bump : t -> t  
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  end
```

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module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Consider zero, which has abstract type t.

Must prove: `is_related (M1.zero, M2.zero)`

Equivalent to proving: `M1.zero == M2.zero/2 - 1`

Proof:

```
M1.zero  
== 0                (substitution)  
== 2/2 - 1         (math)  
== M2.zero/2 - 1  (substitution)
```

```
is_related (x1, x2) =  
  x1 == x2/2 - 1
```

One Signature, Two Implementations

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  sig  
    type t  
    val zero : t  
    val bump : t -> t  
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  end
```

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module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Consider bump, which has abstract type $t \rightarrow t$.

Must prove for all $v1:int, v2:int$

if $is_related(v1,v2)$ then $is_related(M1.bump\ v1, M2.bump\ v2)$

$is_related(x1, x2) =$
 $x1 == x2/2 - 1$

Proof:

(1) Assume $is_related(v1, v2)$.

(2) $v1 == v2/2 - 1$ (by def)

Next, prove:

$(M2.bump\ v2)/2 - 1 == M1.bump\ v1$

$(M2.bump\ v2)/2 - 1$

$== (v2 + 2)/2 - 1$

$== (v2/2 - 1) + 1$

$== v1 + 1$

$== M1.bump\ v1$

(eval)

(math)

(by 2)

(eval, reverse)

One Signature, Two Implementations

```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Consider reveal, which has abstract type $t \rightarrow \text{int}$.

Must prove for all $v1:\text{int}, v2:\text{int}$

if $\text{is_related}(v1, v2)$ then $\text{M1.reveal } v1 == \text{M2.reveal } v2$

$\text{is_related}(x1, x2) =$
 $x1 == x2/2 - 1$

Proof:

(1) Assume $\text{is_related}(v1, v2)$.

(2) $v1 == v2/2 - 1$ (by def)

Next, prove:

$\text{M2.reveal } v2 == \text{M1.reveal } v1$

$\text{M2.reveal } v2$

$== v2/2 - 1$

$== v1$

$== \text{M1.reveal } v1$

(eval)

(by 2)

(eval, reverse)

Summary of Proof Technique

To prove $M1 == M2$ relative to signature S ,

- Start by defining a relation “**is_related**”:
 - **is_related** ($v1, v2$) should hold for values with abstract type t when $v1$ comes from module $M1$ and $v2$ comes from module $M2$
- Extend “**is_related**” to types other than just abstract t . For example:
 - if $v1, v2$ have type **int**, then they must be exactly the same
 - ie, we must prove: $v1 == v2$
 - if $v1, v2$ have type **$s1 \rightarrow s2$** then we consider $arg1, arg2$ such that:
 - if **is_related**($arg1, arg2$) **at type $s1$** then we prove
 - **is_related**($v1\ arg1, v2\ arg2$) **at type $s2$**
 - if $v1, v2$ have type **$s\ option$** then we must prove:
 - $v1 == None$ and $v2 == None$, or
 - $v1 == Some\ u1$ and $v2 == Some\ u2$ and **is_related**($u1, u2$) **at type s**
- For each **val $v:s$** in S , prove **is_related**($M1.v, M2.v$) **at type s**

MODULES WITH DIFFERENT IMPLEMENTATION TYPES

One Signature, Two Implementations

```
module type S =  
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    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Different representation types

```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump x = x + 1  
    let reveal x = x  
  end
```

```
module M2 : S =  
  struct  
    type t = Zero | S of t  
    let zero = Zero  
    let bump x = S x  
    let rec reveal x =  
      match x with  
      | Zero -> 0  
      | S x -> 1 + reveal x  
    end
```

The Same Principle Applies!

Two modules with abstract type t will be declared equivalent if:

- one can *define a relation between corresponding values of type t*
- one can show that *the relation is preserved by all operations*

If we do indeed show the relation is “preserved” by operations of the module (an idea that depends crucially on the *signature* of the module) then *no client will ever be able to tell the difference between the two modules even though their data structures are implemented by completely different types!*

Different Representation Types

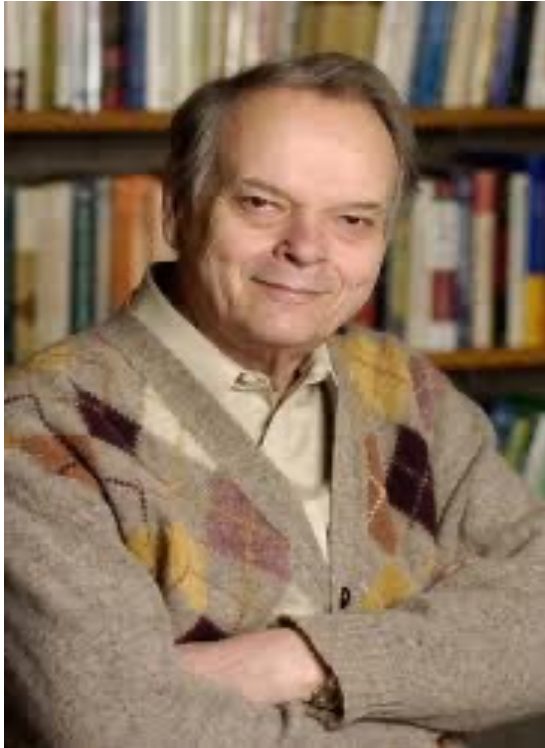
```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump x = x + 1  
    let reveal x = x  
  end
```

```
module M2 : S =  
  struct  
    type t = Zero | S of t  
    let zero = Zero  
    let bump x = S x  
    let rec reveal x =  
      match x with  
      | Zero -> 0  
      | S x -> 1 + reveal x  
    end
```

```
is_related (x1, x2) =  
  x1 == M2.reveal x2
```

Module Abstraction



John Reynolds, 1935-2013

Discovered the polymorphic lambda calculus (first polymorphic type system).

Developed ***Relational Parametricity***: A technique for proving the equivalence of modules.

Summary: Abstraction and Equivalence

Abstraction functions define the relationship between a concrete implementation and the abstract view of the client

- We should prove concrete operations implement abstract ones described to our customers/clients

We prove **any two modules are equivalent** by

- Defining a relation between values of the modules with abstract type
- We get to assume the relation holds on inputs; prove it on outputs

Rep invariants and “is_related” predicates are called **logical relations**

Software Verification

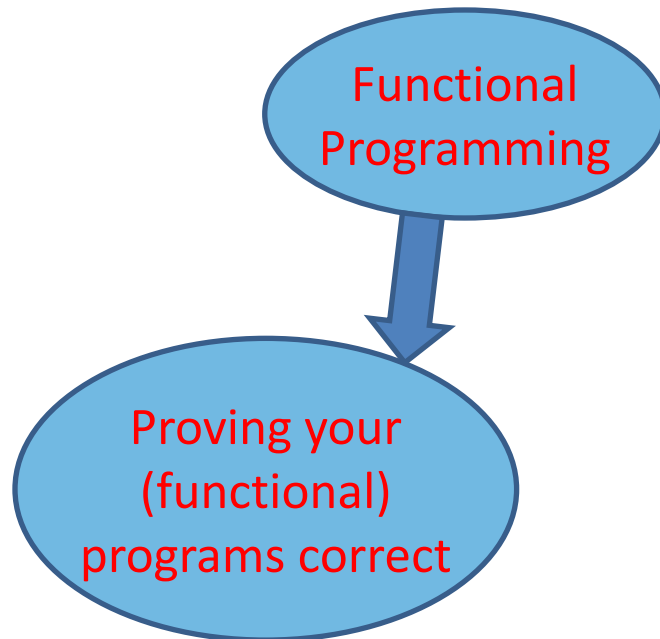
(preview of COS 510 “Programming Languages”)

Andrew W. Appel

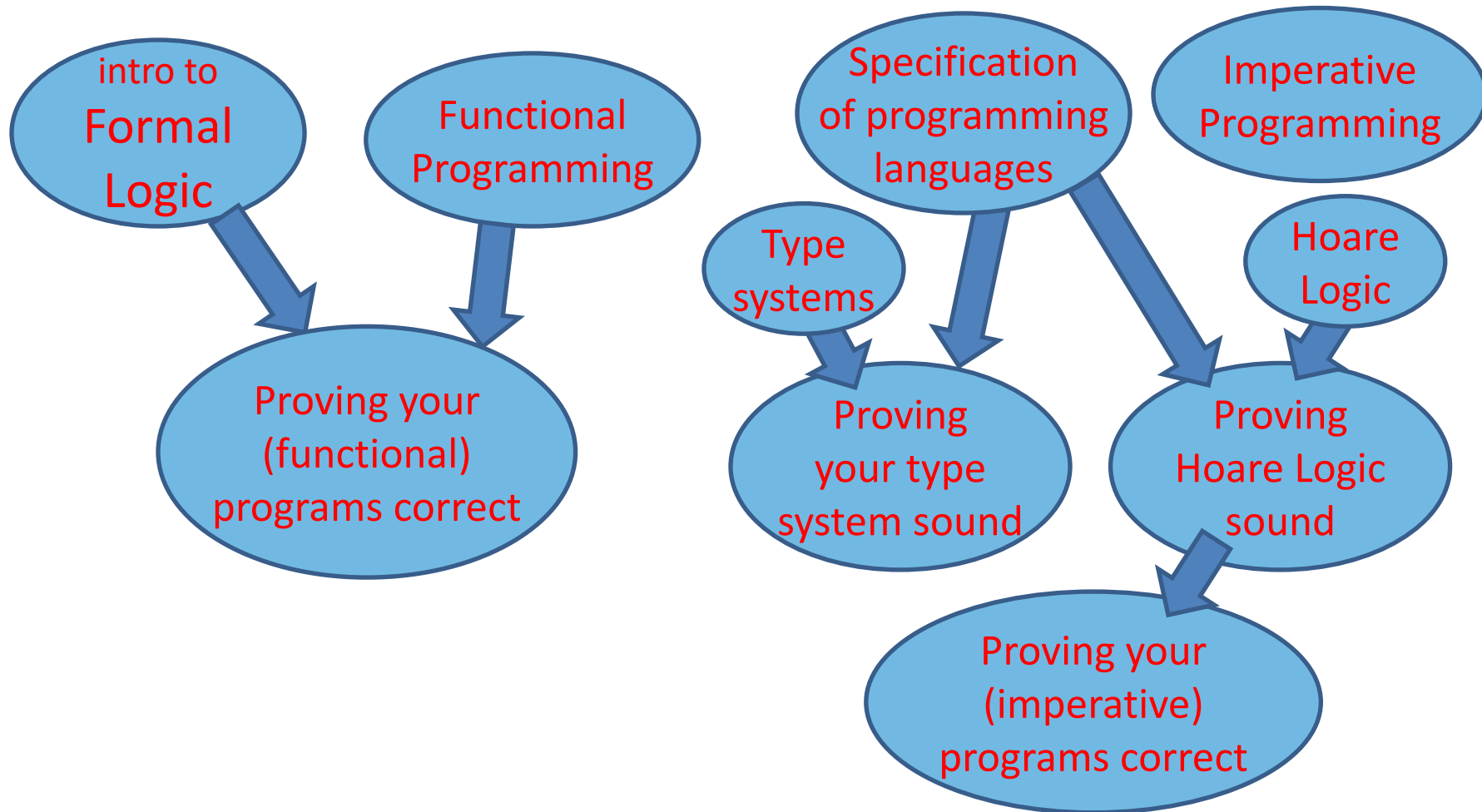


Princeton
University

Formal reasoning about programs



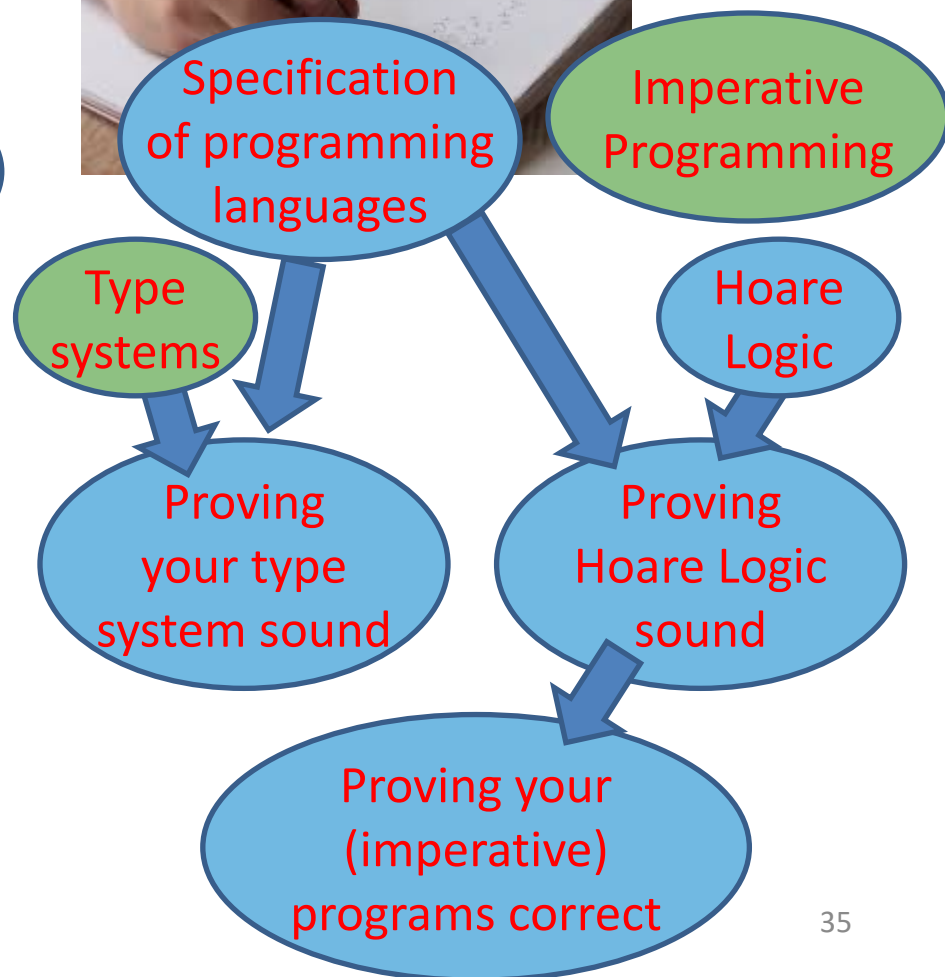
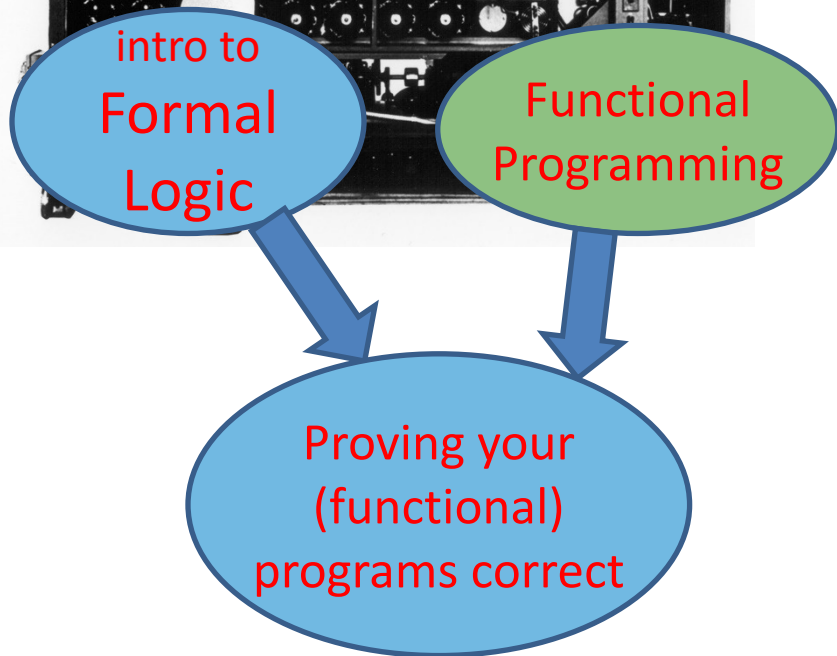
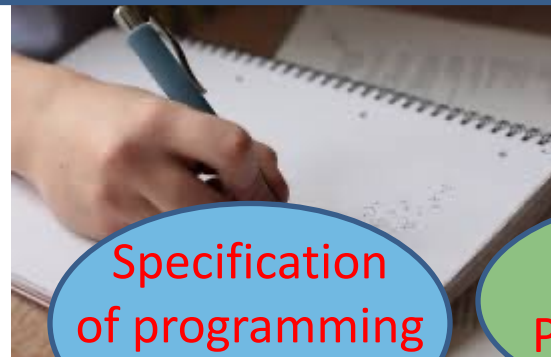
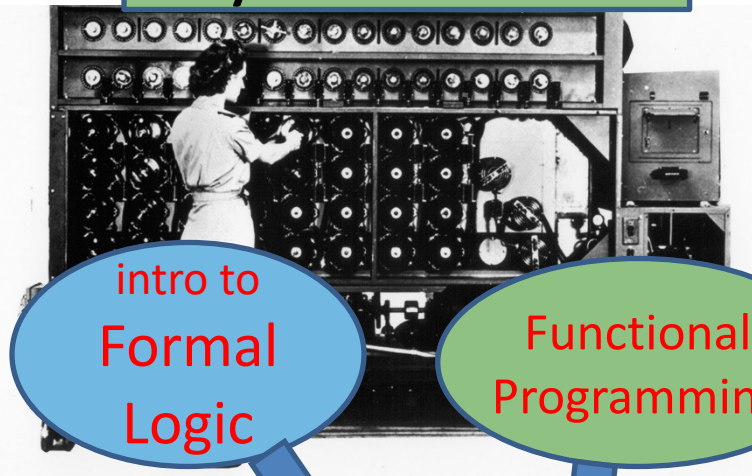
Formal reasoning about programs and programming languages



Which of these things do we do

By machine?

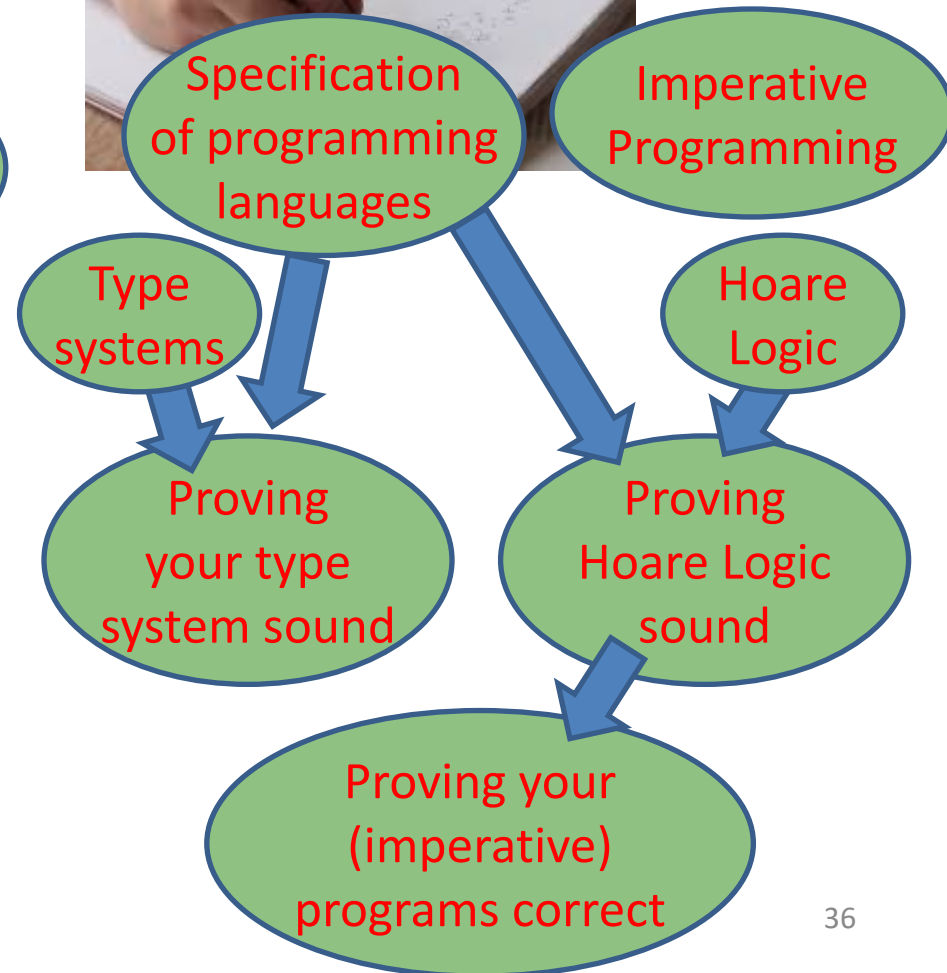
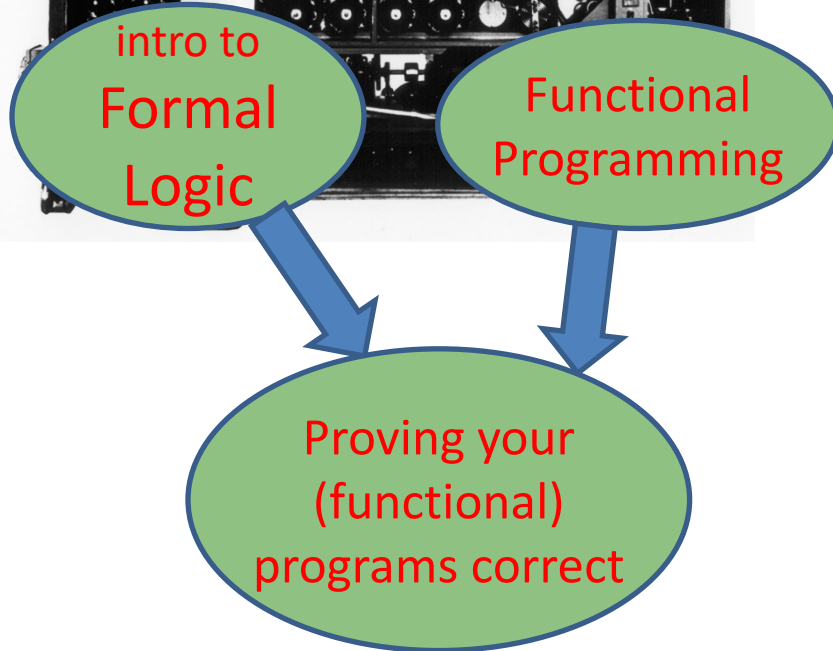
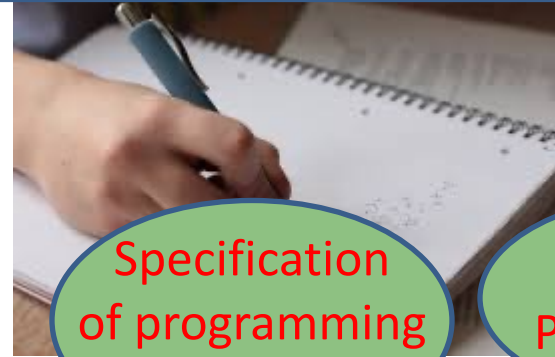
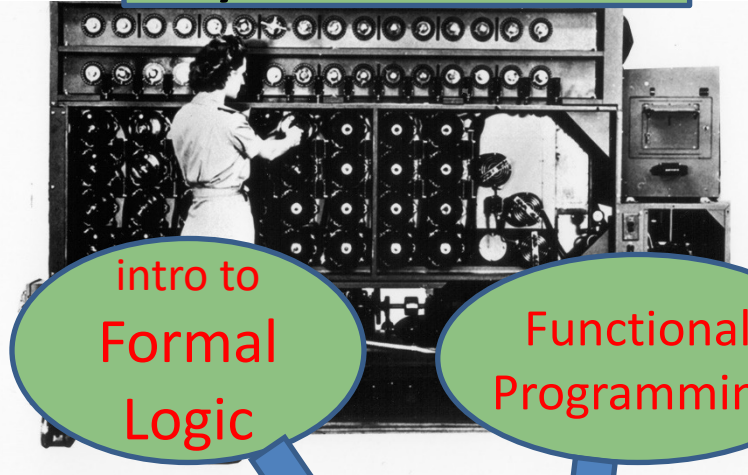
With pencil+paper?



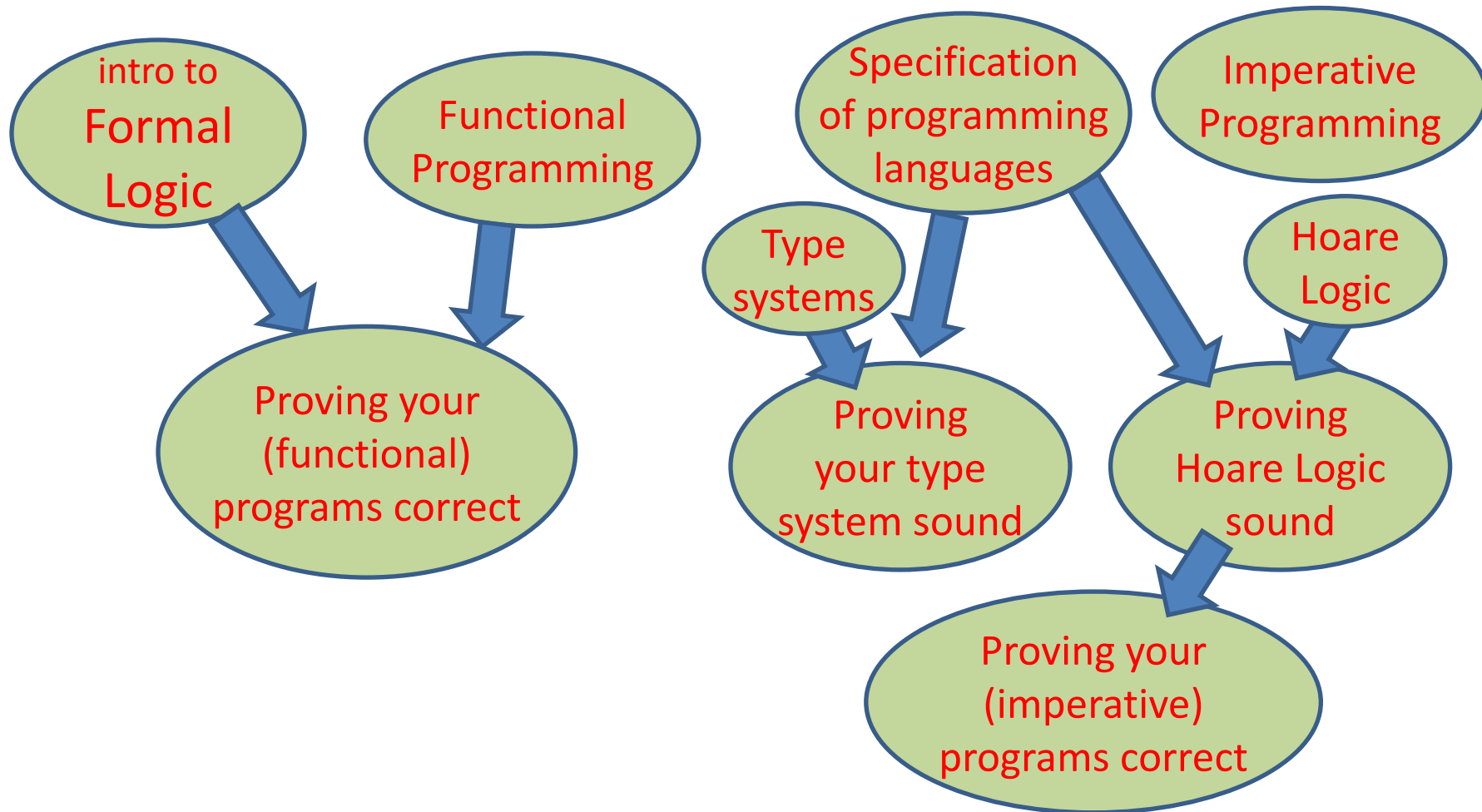
We can do all of these

By machine!

pencil+paper? Really?



COS 510: Machine-checked, formal reasoning about programs and programming languages



EXAMPLE: LENGTH, APP

CoqIde

File Edit View Navigation Templates Queries Tools Compile Windows Help

lec.v

Require Import List.

Fixpoint length {A} (xs: list A) : nat :=
match xs with
| nil => 0
| x::xs' => 1 + length xs'
end.

Eval compute in length (1::2::3::4::nil).

Fixpoint app {A} (xs ys: list A) : list A :=
match xs with
| nil => ys
| x::xs' => x :: app xs' ys
end.

Eval compute in app (1::2::3::nil) (7::8::nil).

Eval compute in length (app (1::2::3::nil) (7::8::nil)).

Messages Errors Jobs

Ready Line: 7 Char: 6 0 / 0

CoqIde

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lec.v

```
Require Import List.  
  
Fixpoint length {A} (xs: list A) : nat :=  
  match xs with  
  | nil => 0  
  | x::xs' => 1 + length xs'  
  end.  
  
Eval compute in length (1::2::3::4::nil).  
  
Fixpoint app {A} (xs ys: list A) : list A :=  
  match xs with  
  | nil => ys  
  | x::xs' => x :: app xs' ys  
  end.  
  
Eval compute in app (1::2::3::nil) (7::8::nil).  
  
Eval compute in length (app (1::2::3::nil) (7::8::nil)).
```

Messages Errors Jobs

```
= 4  
: nat
```

Ready Line: 9 Char: 42 0 / 0

CoqIde

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lec.v

```
Require Import List.  
  
Fixpoint length {A} (xs: list A) : nat :=  
  match xs with  
  | nil => 0  
  | x::xs' => 1 + length xs'  
  end.  
  
Eval compute in length (1::2::3::4::nil).  
  
Fixpoint app {A} (xs ys: list A) : list A :=  
  match xs with  
  | nil => ys  
  | x::xs' => x :: app xs' ys  
  end.  
  
Eval compute in app (1::2::3::nil) (7::8::nil).  
  
Eval compute in length (app (1::2::3::nil) (7::8::nil)).
```

Messages Errors Jobs

Ready Line: 17 Char: 1 0 / 0

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lec.v

```
Require Import List.  
  
Fixpoint length {A} (xs: list A) : nat :=  
  match xs with  
  | nil => 0  
  | x::xs' => 1 + length xs'  
  end.  
  
Eval compute in length (1::2::3::4::nil).  
  
Fixpoint app {A} (xs ys: list A) : list A :=  
  match xs with  
  | nil => ys  
  | x::xs' => x :: app xs' ys  
  end.  
  
Eval compute in app (1::2::3::nil) (7::8::nil).  
  
Eval compute in length (app (1::2::3::nil) (7::8::nil)).
```

Messages Errors Jobs

```
= 1 :: 2 :: 3 :: 7 :: 8 :: nil  
: list nat
```

Ready Line: 18 Char: 48 0 / 0

CoqIde

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lec.v

```
Require Import List.  
  
Fixpoint length {A} (xs: list A) : nat :=  
  match xs with  
  | nil => 0  
  | x::xs' => 1 + length xs'  
  end.  
  
Eval compute in length (1::2::3::4::nil).  
  
Fixpoint app {A} (xs ys: list A) : list A :=  
  match xs with  
  | nil => ys  
  | x::xs' => x :: app xs' ys  
  end.  
  
Eval compute in app (1::2::3::nil) (7::8::nil).  
  
Eval compute in length (app (1::2::3::nil) (7::8::nil)).
```

Messages Errors Jobs

```
= 5  
: nat
```

Ready Line: 13 Char: 15 0 / 0

CoqIde

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lec.v

Theorem app_length: forall {A} (xs ys: list A),
length (app xs ys) = length xs + length ys.
Proof.
Qed.

Messages Errors Jobs

Ready Line: 52 Char: 1 0 / 0

CoqIde

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lec.v

Theorem app_length: forall {A} (xs ys: list A),
length (app xs ys) = length xs + length ys.
Proof.
Qed.

1 subgoal
_____(1/1)
forall (A : Type) (xs ys : list A),
length (app xs ys) = length xs + length ys

Messages Errors Jobs

Ready, proving app_length

Line: 36 Char: 7 0 / 0

CoqIde

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lec.v

Theorem app_length: forall {A} (xs ys: list A),
length (app xs ys) = length xs + length ys.

Proof.
intros.
|
Qed.

1 subgoal
A : Type
xs, ys : list A
----- (1/1)
length (app xs ys) = length xs + length ys

Messages Errors Jobs

Ready, proving app_length

Line: 38 Char: 1 0 / 0

CoqIde

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lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  reflexivity.
Qed.

```

2 subgoals
A : Type
ys : list A

(1/2)
length (app nil ys) = length nil + length ys

(2/2)
length (app (a :: xs) ys) =
length (a :: xs) + length ys

Messages Errors Jobs

Ready, proving app_length

Line: 38 Char: 14 0 / 0

CoqIde

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lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  reflexivity.
Qed.

```

1 subgoal
A : Type
ys : list A

(1/1)
length (app nil ys) = length nil + length ys

Messages Errors Jobs

Ready, proving app_length

Line: 44 Char: 14 0 / 0

CoqIde

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lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  reflexivity.
Qed.

```

1 subgoal
A : Type
ys : list A

(1/1)
length ys = length ys

Messages Errors Jobs

Ready, proving app_length

Line: 42 Char: 23 0 / 0

CoqIde

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lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  reflexivity.
Qed.

```

1 subgoal
A : Type
ys : list A

(1/1)
length (app nil ys) = length nil + length ys

Messages Errors Jobs

Ready, proving app_length

Line: 44 Char: 14 0 / 0

CoqIde

File Edit View Navigation Templates Queries Tools Compile Windows Help

lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  reflexivity.
Qed.

```

1 subgoal
A : Type
ys : list A

(1/1)
length ys = length ys

Messages Errors Jobs

Ready, proving app_length

Line: 42 Char: 23 0 / 0

CoqIde

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lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  reflexivity.
Qed.

```

This subproof is complete, but there are some unfocused goals:

(1/1)

length (app (a :: xs) ys) =
length (a :: xs) + length ys

Messages Errors Jobs

Ready, proving app_length

Line: 41 Char: 15 0 / 0

CoqIde

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lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  reflexivity.
Qed.

```

1 subgoal
A : Type
a : A
xs, ys : list A
IHxs : length (app xs ys) =
length xs + length ys

(1/1)
length (app (a :: xs) ys) =
length (a :: xs) + length ys

Messages Errors Jobs

Ready, proving app_length

Line: 42 Char: 23 0 / 0

CoqIde

File Edit View Navigation Templates Queries Tools Compile Windows Help

lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  reflexivity.
Qed.

```

1 subgoal
 $A : \text{Type}$
 $a : A$
 $xs, ys : \text{list } A$
 $\text{IHxs} : \text{length (app xs ys)} = \text{length xs} + \text{length ys}$

(1/1)

$S (\text{length (app xs ys)}) = S (\text{length xs} + \text{length ys})$

Messages Errors Jobs

Ready, proving app_length

Line: 43 Char: 8 0 / 0

CoqIde

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lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  reflexivity.
Qed.

```

1 subgoal
A : Type
a : A
xs, ys : list A
IHxs : length (app xs ys) =
length xs + length ys

(1/1)
S (length (app xs ys)) =
S (length xs + length ys)

Messages Errors Jobs

In environment
A : Type
a : A
xs, ys : list A
IHxs : length (app xs ys) =
length xs + length ys
Unable to unify "S (length xs + length ys)"
with "S (length (app xs ys))".

Ready, proving app_length

Line: 43 Char: 8 0 / 0

CoqIde

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lec.v

```

Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  rewrite IHxs.
  reflexivity.
Qed.

```

1 subgoal
A : Type
a : A
xs, ys : list A
IHxs : length (app xs ys) =
length xs + length ys

(1/1)
S (length xs + length ys) =
S [length xs + length ys]

Messages Errors Jobs

Ready, proving app_length

Line: 45 Char: 14 0 / 0

CoqIde

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lec.v

```
Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  rewrite IHxs.
  reflexivity.
Qed.
```

No more subgoals.

Messages Errors Jobs

Ready, proving app_length

Line: 47 Char: 1 0 / 0

CoqIde

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lec.v

```
Theorem app_length: forall {A} (xs ys: list A),
  length (app xs ys) = length xs + length ys.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  rewrite IHxs.
  reflexivity.
Qed.
```

Messages Errors Jobs

Ready Line: 41 Char: 15 0 / 0

CoqIde

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lec.v

```

Theorem app_assoc: forall {A} (xs ys zs: list A),
  app xs (app ys zs) = app (app xs ys) zs.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  rewrite IHxs.
  reflexivity.
Qed.

```

1 subgoal

(1/1)

forall (A : Type) (xs ys zs : list A),
 app xs (app ys zs) = app (app xs ys) zs

Messages Errors Jobs

Ready, proving app_assoc

Line: 64 Char: 1 0 / 0

CoqIde

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lec.v

```
Theorem app_assoc: forall {A} (xs ys zs: list A),
  app xs (app ys zs) = app (app xs ys) zs.
Proof.
intros.
induction xs.
- (* base case *)
  simpl.
  reflexivity.
- (* inductive case *)
  simpl.
  rewrite IHxs.
  reflexivity.
Qed.
```

Messages Errors Jobs

Ready Line: 82 Char: 1 0 / 0

Applications of Formal Methods

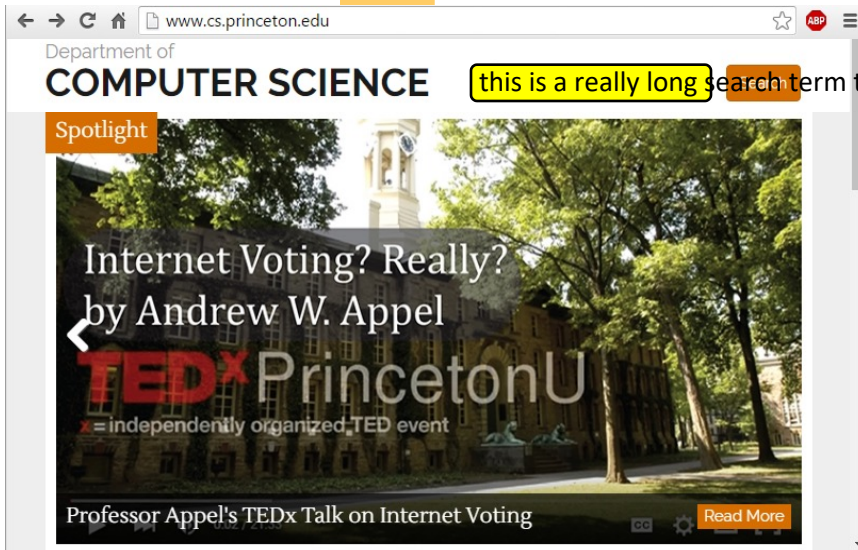
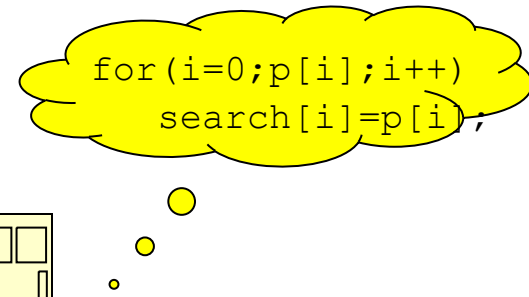
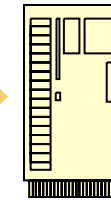
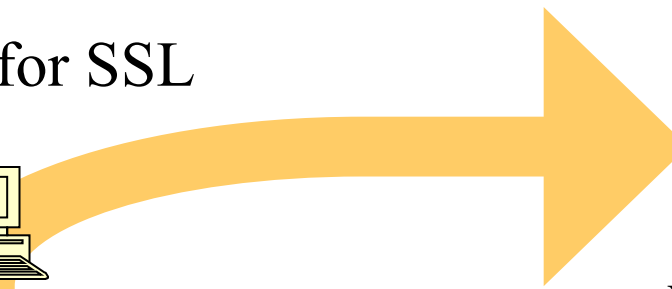
Attacking a web server

URLs

Input in web forms

Crypto keys for SSL

etc.



Attacking a web browser

HTML keywords

Images

Image names

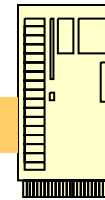
URLs

etc.

```
for (i=0;p[i];i++)  
  gif[i]=p[i];
```



Client PC



Web Server

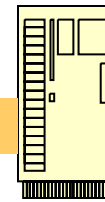
@ badguy.com



Attacking everything in sight



Client device



The Internet

@ badguy.com

E-mail client

PDF viewer

Web browser

Operating-system kernel

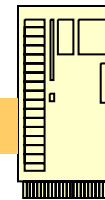
TCP/IP stack

Any application that ever sees input directly from the outside

Solution: implement the outward-facing parts of software without any bugs!



Client device



The Internet

@ badguy.com

E-mail client

PDF viewer

Web browser

Operating-system kernel

TCP/IP stack

Any application that ever sees input directly from the outside

In recent years, great progress in . . .

- Proved-correct optimizing C compiler (France)
- Proved-correct ML compiler (Sweden, Princeton)
- Proved-correct O.S. kernels (Australia, New Haven)
- Proved-correct crypto (Princeton NJ, Cambridge MA)
- Proved-correct distributed systems (Seattle, Israel)
- Proved-correct web server (Philadelphia)
- Proved-correct malloc/free library (Princeton, Hoboken)

Automated verification in industry

Amazon

Microsoft

Intel

Facebook

Google

Galois, HRL, Rockwell, Bedrock, ...

Recent Princeton JIW / Sr. Thesis

- Katherine Ye '16 verified crypto security
- Naphat Sanguansin '16 verified crypto impl'n
- Brian McSwiggen '18 verified B-trees
- Katja Vassilev '19 verified dead-var elimination
- John Li '19 verified uncurrying
- Jake Waksbaum '20 verified Burrows-Wheeler
- Anvay Grover '20 verified CPS-conversion

Verified Correctness and Security of mbedTLS HMAC-DRBG

Katherine Q. Ye '16
Princeton U., Carnegie Mellon U.

Matthew Green
Johns Hopkins University

Naphat Sanguansin '16
Princeton University

Lennart Beringer
Princeton University

Adam Petcher
Oracle

Andrew W. Appel '81
Princeton University

ABSTRACT

We have formalized the functional specification of HMAC-DRBG (NIST 800-90A), and we have proved its cryptographic security—that its output is pseudorandom—using a hybrid game-based proof. We have also proved that the mbedTLS implementation (C program) correctly implements this functional specification. That proof composes with an existing C compiler correctness proof to guarantee, end-to-end, that the machine language program gives strong pseudorandomness. All proofs (hybrid games, C program verification, compiler, and their composition) are machine-checked in the Coq proof assistant. Our proofs are modular: the hybrid game proof holds on any implementation of HMAC-DRBG that satisfies our functional specification. Therefore, our functional specification can serve as a high-assurance reference.

Prerequisites for COS 510

if you're an undergrad

1. COS 326 Functional Programming
2. Enjoy the proofs in COS 326