# **Thinking Inductively**

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- Assignments and getting help
  - don't start assignments early as there may be changes!
    - but you can start Assignment 2 now if you want (due next Wed!)
    - of course, you'll get more practice on A2 materials in precept
  - sign up for Piazza!
    - https://piazza.com/princeton/fall2016/cos326/home
  - Assignment 1 due at 11:59 tonight!
- Program style guide:
  - <u>http://www.cs.princeton.edu/courses/archive/fall15/cos326/style.php</u>
- Read notes:
  - functional basics, type-checking, typed programming
  - thinking inductively (today)
  - Real World OCaml Chapter 2, 3

# A SHORT JAVA RANT

### Definition and Use of Java Pairs

```
public class Pair {
   public int x;
   public int y;
   public Pair (int a, int b) {
      x = a;
      y = b;
   }
}
```

```
public class User {
   public Pair swap (Pair p1) {
    Pair p2 =
        new Pair(p1.y, p1.x);
        return p2;
   }
}
```

What could go wrong?

## A Paucity of Types

```
public class Pair {
   public int x;
   public int y;
   public Pair (int a, int b) {
      x = a;
      y = b;
   }
}
```

```
public class User {
   public Pair swap (Pair p1) {
    Pair p2 =
        new Pair(p1.y, p1.x);
    return p2;
   }
}
```

The input p1 to swap may be null and we forgot to check.

Java has no way to define a pair data structure that is *just a pair*.

How many students in the class have seen an accidental null pointer exception thrown in their Java code?

In O'Caml, if a pair may be null it is a pair option:

type java\_pair = (int \* int) option

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In O'Caml, if a pair may be null it is a pair option:

type java\_pair = (int \* int) option

And if you write code like this:

```
let swap_java_pair (p:java_pair) : java_pair =
   let (x,y) = p in
   (y,x)
```

In O'Caml, if a pair may be null it is a pair option:

type java\_pair = (int \* int) option

And if you write code like this:

```
let swap_java_pair (p:java_pair) : java_pair =
   let (x,y) = p in
   (y,x)
```

### You get a *helpful* error message like this:

#### type java\_pair = (int \* int) option

And what if you were up at 3am trying to finish your COS 326 assignment and you accidentally wrote the following sleep-deprived, brain-dead statement?

```
let swap_java_pair (p:java_pair) : java_pair =
  match p with
  | Some (x,y) -> Some (y,x)
```

#### type java\_pair = (int \* int) option

And what if you were up at 3am trying to finish your COS 326 assignment and you accidentally wrote the following sleep-deprived, brain-dead statement?

```
let swap_java_pair (p:java_pair) : java_pair =
  match p with
  | Some (x,y) -> Some (y,x)
```

### OCaml to the rescue!

```
..match p with
    | Some (x,y) -> Some (y,x)
Warning 8: this pattern-matching is not exhaustive.
Here is an example of a value that is not matched:
None
```

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#### type java\_pair = (int \* int) option

And what if you were up at 3am trying to finish your COS 326 assignment and you accidentally wrote the following sleep-deprived, brain-dead statement?



Moreover, your pairs are probably almost never null!

## Defensive programming & always checking for null is AnNOyinG

There just isn't always some "good thing" for a function to do when it receives a bad input, like a null pointer

In O'Caml, all these issues disappear when you use the proper type for a pair and that type contains no "extra junk"

type pair = int \* int

Once you know O'Caml, it is *hard* to write swap incorrectly Your *bullet-proof* code is much simpler than in Java.

let swap (p:pair) : pair =
 let (x,y) = p in (y,x)

## Summary of Java Pair Rant

### Java has a paucity of types

- There is no type to describe just the pairs
- There is no type to describe just the triples
- There is no type to describe the pairs of pairs
- There is no type ...

### OCaml has many more types

- use option when things may be null
- do not use option when things are not null
- OCaml types describe data structures more precisely
  - programmers have fewer cases to worry about
  - entire classes of errors just go away
  - type checking and pattern analysis help prevent programmers from ever forgetting about a case

### Summary of Java Pair Rant



## C, C++ Rant

### Java has a paucity of types

- but at least when you forget something,
- it *throws an exception* instead of silently going off the trolley!

### If you forget to check for null pointer in a C program,

- no type-check error at compile time
- no exception at run time
- it might crash right away (that would be best), or
- it might permit a buffer-overrun (or similar) vulnerability
- so the hackers pwn you!

### Summary of C, C++ rant



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# **INDUCTIVE THINKING**

## **Typed Functional Programming**

The form of a function is often governed in part by its type.

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The form of a function is often governed in part by its type.

swap : int \* int -> int \* int
let swap (x,y) = (y,x)

A function from pairs to pairs has little to do:

- it extracts the elements of a pair
- builds a new pair

## **Typed Functional Programming**

The form of a function is often governed in part by its type.

swap : int \* int -> int \* int
let swap (x,y) = (y,x)

A function from pairs to pairs has little to do:

- it extracts the elements of a pair
- builds a new pair

Functions with more to do, recursive or *inductive* functions, operate over recursive or *inductive* data

## Inductive Programming and Proving

### An *inductive data type* T is a data type defined by:

- a collection of base cases
  - that don't refer to T
- a collection of inductive cases that build new values of type T from pre-existing data of type T
  - the pre-existing data is guarateed to be *smaller* than the new values

### Programming principle:

- solve programming problem for base cases
- solve programming problem for inductive cases by calling function recursively (inductively) on *smaller* data value

### Proving principle:

- prove program satisfies property P for base cases
- prove inductive cases satisfy property P assuming inductive calls on smaller data values satisfy property P

## LISTS: AN INDUCTIVE DATA TYPE

### Lists are Recursive Data



### Lists are Inductive Data

- In OCaml, a list value is:
  - [] (the empty list)
  - v :: vs (a value v followed by a shorter list of values vs)
- An example:
  - 2 :: 3 :: 5 :: [] has type int list
  - is the same as: 2 :: (3 :: (5 :: []))
  - "::" is called "cons"
- An alternative syntax ("syntactic sugar" for lists):
  - [2; 3; 5]
  - But this is just a shorthand for 2 :: 3 :: 5 :: []. If you ever get confused fall back on the 2 basic *constructors*: :: and []

## **Typing Lists**

- Typing rules for lists:
  - (1) [] may have any list type t list
  - (2) if e1 : t and e2 : t list then (e1 :: e2) : t list



e2:T list e1:T e1::e2 : T list

## **Typing Lists**

- Typing rules for lists:
  - (1) [] may have any list type t list
  - (2) if e1 : t and e2 : t list then (e1 :: e2) : t list





More examples:
 (1+2) :: (3+4) :: []: ??

(2::[])::(5::6::[])::[] :??

[[2]; [5; 6]] :??

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## **Typing Lists**

- Typing rules for lists:
  - (1) [] may have any list type t list
  - (2) if e1 : t and e2 : t list then (e1 :: e2) : t list
- More examples:

(1 + 2) :: (3 + 4) :: [] : int list

(2 :: [ ]) :: (5 :: 6 :: [ ]) :: [ ] : int list list

[[2]; [5; 6]] : int list list

(Remember that the 3<sup>rd</sup> example is an abbreviation for the 2<sup>nd</sup>)

• What type does this have?

[2]::[3]

• What type does this have?



• What type does this have?



• Give me a simple fix that makes the expression type check?

• What type does this have?



• Give me a simple fix that makes the expression type check?

Either: 2 :: [3] : int list

Or: [2]::[[3]] : int list list

• Just like options, there are two possibilities when deconstructing lists. Hence we use a match with two branches

```
(* return Some v, if v is the first list element;
  return None, if the list is empty *)
let head (xs : int list) : int option =
;;
```

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```
(* return Some v, if v is the first list element;
   return None, if the list is empty *)
let head (xs : int list) : int option =
 match xs with
   [] ->
  | hd :: ->
;;
```

we don't care about the contents of the tail of the list so we use the underscore

• Just like options, there are two possibilities when deconstructing lists. Hence we use a match with two branches

```
(* return Some v, if v is the first list element;
  return None, if the list is empty *)
let head (xs : int list) : int option =
  match xs with
  [] -> None
  | hd :: _ -> Some hd
;;
```

• This function isn't recursive -- we only extracted a small , fixed amount of information from the list -- the first element

### A more interesting example

```
(* Given a list of pairs of integers,
produce the list of products of the pairs
prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
```
```
(* Given a list of pairs of integers,
   produce the list of products of the pairs
  prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
;;
```

```
(* Given a list of pairs of integers,
   produce the list of products of the pairs
  prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
  match xs with
  | [] ->
  | (x,y) :: tl ->
;;
```

```
(* Given a list of pairs of integers,
   produce the list of products of the pairs
   prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
  match xs with
  | [] \rightarrow []
  | (x,y) :: tl ->
;;
```

```
(* Given a list of pairs of integers,
   produce the list of products of the pairs
   prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
  match xs with
  | [] \rightarrow []
  | (x,y) :: tl -> ?? :: ??
;;
                the result type is int list, so we can speculate
                that we should create a list
```

```
(* Given a list of pairs of integers,
   produce the list of products of the pairs
   prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
  match xs with
  | [] \rightarrow []
  | (x,y) :: tl -> (x * y) :: ??
;;
               the first element is the product
```

```
(* Given a list of pairs of integers,
   produce the list of products of the pairs
   prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
  match xs with
  | [] \rightarrow []
  | (x,y) :: tl -> (x * y) :: ??
;;
               to complete the job, we must compute
               the products for the rest of the list
```

```
(* Given a list of pairs of integers,
   produce the list of products of the pairs
  prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
  match xs with
  | [] -> []
  | (x,y) :: tl -> (x * y) :: prods tl
;;
```

# Three Parts to Constructing a Function



(2) Assume the recursive call on smaller data is correct.

(3) Use the result of the recursive call to *build* correct answer.





```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
 match (xs, ys) with
;;
```

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) −>
  | ([], y::ys') ->
  | (x::xs', []) ->
  | (x::xs', y::ys') ->
;;
```

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') ->
  | (x::xs', []) ->
  | (x::xs', y::ys') ->
;;
```

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') -> None
  | (x::xs', []) -> None
  | (x::xs', y::ys') ->
;;
```

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') -> None
  (x::xs', []) -> None
  | (x::xs', y::ys') -> (x, y) :: zip xs' ys'
;;
                             is this ok?
```

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') -> None
  | (x::xs', []) -> None
  | (x::xs', y::ys') -> (x, y) :: zip xs' ys'
;;
```

No! zip returns a list option, not a list! ' We need to match it and decide if it is Some or None.

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') -> None
  | (x::xs', []) -> None
  | (x::xs', y::ys') ->
      (match zip xs' ys' with
        None -> None
        | Some zs \rightarrow (x, y) :: zs
;;
                      Is this ok?
```

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') -> None
  (x::xs', []) -> None
  | (x::xs', y::ys') ->
      (match zip xs' ys' with
       None -> None
       | Some zs \rightarrow Some ((x, y) :: zs)
;;
```

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | (x::xs', y::ys') ->
      (match zip xs' ys' with
         None -> None
        | Some zs \rightarrow Some ((x, y) :: zs))
  | ( , ) -> None
;;
```

Clean up. Reorganize the cases. Pattern matching proceeds in order.

### A bad list example

## A bad list example

```
let rec sum (xs : int list) : int =
  match xs with
  | hd::tl -> hd + sum tl
;;
```

# **INSERTION SORT**

## **Recall Insertion Sort**

- At any point during the insertion sort:
  - some initial segment of the array will be sorted
  - the rest of the array will be in the same (unsorted) order as it was originally



## **Recall Insertion Sort**

- At any point during the insertion sort:
  - some initial segment of the array will be sorted
  - the rest of the array will be in the same (unsorted) order as it was originally



• At each step, take the next item in the array and insert it in order into the sorted portion of the list



## Insertion Sort With Lists

 The algorithm is similar, except instead of one array, we will maintain two lists, a sorted list and an unsorted list



- We'll factor the algorithm:
  - a function to insert into a sorted list
  - a sorting function that repeatedly inserts



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```
type il = int list
```

```
insert : int -> il -> il
```

```
(* insertion sort *)
```

```
let rec insert sort(xs : il) : il =
```

```
type il = int list
insert : int -> il -> il
(* insertion sort *)
let rec insert sort(xs : il) : il =
  let rec aux (sorted : il) (unsorted : il) : il =
  in
;;
```

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```
type il = int list
insert : int -> il -> il
(* insertion sort *)
let rec insert sort(xs : il) : il =
  let rec aux (sorted : il) (unsorted : il) : il =
  in
  aux [] xs
;;
```

```
type il = int list
insert : int -> il -> il
(* insertion sort *)
let rec insert sort(xs : il) : il =
  let rec aux (sorted : il) (unsorted : il) : il =
   match unsorted with
   | [] ->
  | hd :: tl ->
  in
  aux [] xs
;;
```

```
type il = int list
insert : int -> il -> il
(* insertion sort *)
let rec insert sort(xs : il) : il =
  let rec aux (sorted : il) (unsorted : il) : il =
   match unsorted with
    | | - > sorted
  | hd :: tl -> aux (insert hd sorted) tl
  in
  aux [] xs
```

;;

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# A COUPLE MORE THOUGHTS ON LISTS
## The (Single) List Programming Paradigm

- Recall that a list is either:
  - [] (the empty list)
  - v :: vs (a value v followed by a *previously constructed list* vs)
- Some examples:

```
let 10 = [];;
let 11 = 1::10;;
let 12 = 2::11;;
let 13 = 3::12;;
...
(* length is 0 *)
(* length is 2 *)
(* length is 3 *)
```

- Consider the following picture. How long is the linked structure?
- Can we build a value with type int list to represent it?



#### **Consider This Picture**

- How long is it? Infinitely long?
- Can we build a value with type int list to represent it? No!
  - all values with type int list have finite length



#### The List Type

- Is it a good thing that the type list does not contain any infinitely long lists? Yes!
- A terminating list-processing scheme:



terminates because f only called recursively on smaller lists

#### A Loopy Program

```
let rec loop (xs : int list) : int =
   match xs with
   [] -> 0
   | hd::tail -> hd + loop (0::tail)
;;
```

Does this program terminate?

#### A Loopy Program

```
let rec loop (xs : int list) : int =
   match xs with
   [] -> []
   | hd::tail -> hd + loop (0::tail)
;;
```

Does this program terminate? No! Why not? We call loop recursively on (0::tail). This list is the same size as the original list -- not smaller.

#### Take-home Message

ML has a *strong type system* 

• ML *types say a lot* about the set of values that inhabit them

In this case, the tail of the list is *always* shorter than the whole list

This makes it easy to write functions that terminate; *it would be harder if you had to consider more cases*, such as the case that the tail of a list might loop back on itself. *Moreover OCaml hits you over the head to tell you what the only 2 cases are!* 

Note: Just because the list type excludes cyclic structures does not mean that an ML program can't build a cyclic data structure if it wants to. *ML is better than other languages* because it gives you *control* over the values you want to program with via types!

#### Rant #2: Imperative lists

- One week from today, ask yourself: Which is easier:
  - Programming with immutable lists in ML?
  - Programming with pointers and mutable
  - I guarantee you are going
    - there a
    - so many

in C/Java

# SCORE: OCAML 2, JAVA 0 C: why bother?



xkcd

#### Example problems to practice

- Write a function to sum the elements of a list
   sum [1; 2; 3] ==> 6
- Write a function to append two lists

– append [1;2;3] [4;5;6] ==> [1;2;3;4;5;6]

- Write a function to reverse a list
  rev [1;2;3] ==> [3;2;1]
- Write a function to turn a list of pairs into a pair of lists
   split [(1,2); (3,4); (5,6)] ==> ([1;3;5], [2;4;6])
- Write a function that returns all prefixes of a list
  prefixes [1;2;3] ==> [[]; [1]; [1;2]; [1;2;3]]
- suffixes...

# ANOTHER INDUCTIVE DATA TYPE: THE NATURAL NUMBERS

#### **Natural Numbers**

- Natural numbers are a lot like lists
  - both can be defined inductively
- A natural number **n** is either
  - <mark>0</mark>, or
  - m + 1 where m is a smaller natural number
- Functions over naturals n must consider both cases
  - programming the base case 0 is usually easy
  - programming the inductive case (m+1) will often involve recursive calls over smaller numbers
- OCaml doesn't have a built-in type "nat" so we will use "int" instead for now ...
  - "int" has too many values in it (and also not enough)
  - later in the course we could define an *abstract type* that contains exactly the natural numbers

```
(* precondition: n is a natural number
  return double the input *)
let rec double_nat (n : int) : int =
;;
```

- n = 0 or
- n = m+1 for some nat m



- n = 0 or
- n = m+1 for some nat m



- n = 0 or
- n = m+1 for some nat m



- n = 0 or
- n = m+1 for some nat m





### More than one way to decompose naturals

A natural n is either:

- 0,

- m+1, where m is a natural

#### A natural n is either:

0,
1,
m+2, where m is a natural

unary decomposition

unary even/odd decomposition

A natural n is either:

- 0,

- m\*2
- m\*2+1

binary decomposition (there's a little problem here with a redundant representation; what is it?)

### More than one way to decompose lists



– x :: (a@b)

of the same length; recall that @ is list-concat

#### Summary

- Instead of while or for loops, functional programmers use recursive functions
- These functions operate by:
  - decomposing the input data
  - considering all cases
  - some cases are *base cases*, which do not require recursive calls
  - some cases are *inductive cases*, which require recursive calls on *smaller* arguments
- We've seen:
  - lists with cases:
    - (1) empty list, (2) a list with one or more elements
  - natural numbers with cases:
    - (1) zero (2) m+1
  - we'll see many more examples throughout the course