

# Continuation-Passing Style

COS 326

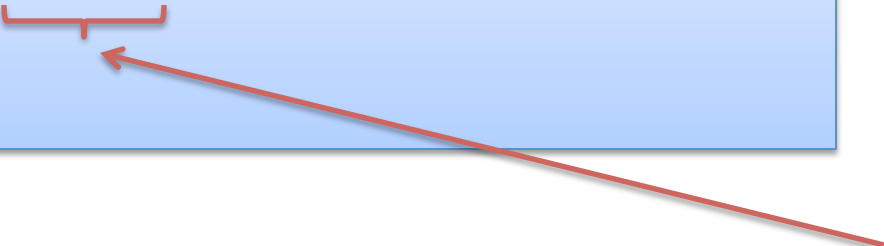
David Walker

Princeton University

# **TAIL CALLS AND CONTINUATIONS**

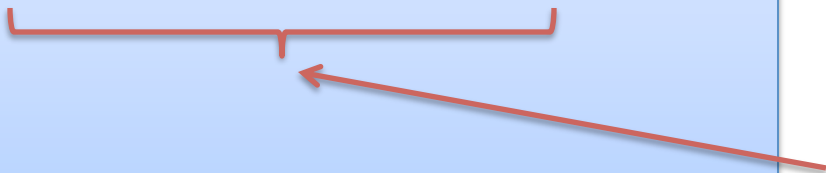
# Tail Recursion

```
let rec sum (l:int list) : int =  
  match l with  
    [] -> 0  
  | hd::tail -> hd + sum tail  
;;
```



work to do after the function call

```
let sum_tail (l:int list) : int =  
  let rec aux (l:int list) (a:int) : int =  
    match l with  
      [] -> a  
    | hd::tail -> aux tail (a + hd)  
  in  
  aux l 0  
;;
```



no work to do after the function call


# Question

We used human ingenuity to do the tail-call transform.

Is there a mechanical procedure to transform *any* recursive function in to a tail-recursive one?

```
let rec sum_to (n: int) : int =  
  if n > 0 then  
    n + sum_to (n-1)  
  else  
    0
```

```
let sum_to2 (n: int) : int =  
  let rec aux (n:int)(a:int) : int =  
    if n > 0 then  
      aux (n-1) (a+n)  
    else a  
  in  
  aux n 0
```



human  
ingenuity

# Question


We used human ingenuity to do the tail-call transform.

Is there a mechanical procedure to transform *any* recursive function in to a tail-recursive one?

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    if n > 0 then  
      aux (n-1) (a+n)  
    else a  
  in  
  aux n 0
```

human  
ingenuity



not only is sum2  
tail-recursive  
but it reimplements  
an algorithm that  
took *linear space*  
(on the stack)  
using an algorithm  
that executes in  
*constant space*!

# **CONTINUATION-PASSING STYLE**

## **CPS!**

# CPS

CPS:

- Short for *Continuation-Passing Style*
- Every function takes a *continuation* (a function) as an argument that expresses "what to do next"
- CPS functions only call other functions as the last thing they do
- All CPS functions are tail-recursive

Goal:

- Find a mechanical way to translate any function in to CPS

# Question

**Key idea:** capture the *differential* between a tail-recursive function and a non-tail-recursive one.

```
let rec sum (l:int list) : int =  
  match l with  
  | [] -> 0  
  | hd::tail -> hd + sum tail
```

Focus on **what happens after the recursive call.**



# Question

**Key idea:** capture the *differential* between a tail-recursive function and a non-tail-recursive one.

```
let rec sum (l:int list) : int =  
  match l with  
  [] -> 0  
  | hd::tail -> hd + sum tail
```

what happens  
next

Focus on **what happens after the recursive call.**

Extracting that piece:

```
hd +  
```

result of recursive  
call gets plugged in  
here

How do we capture it?

# Question

How do we capture that computation?

hd +  ←

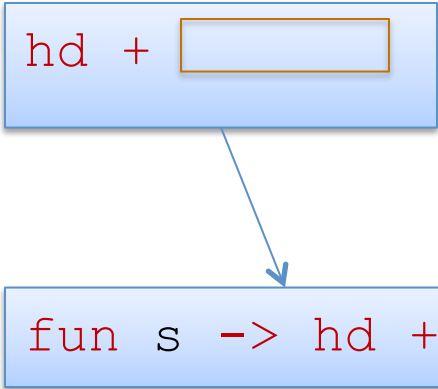
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fun s -> hd + s

# Question

How do we capture that computation?

hd +



fun s -> hd +

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let rec sum (l:int list) : int =  
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# Question

How do we capture that computation?

hd +

fun s -> hd +  s

result of non-tail call

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let rec sum (l:int list) : int =  
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```

final result

```
type cont = int -> int;;
```

```
let rec sum_cont (l:int list) (k:cont) : int =  
  match l with  
  [] -> ...  
  | hd::tail -> ...
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# Question

How do we capture that computation?

hd +

fun s -> hd +  s

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# Question

How do we capture that computation?

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let rec sum_cont (l:int list) (k:cont): int =  
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  | hd::tail -> sum_cont tail (fun s -> k (hd + s))
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# Question

How do we capture that computation?

hd +



fun s -> hd +

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  | hd::tail -> hd + 
```



```
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```

```
let rec sum_cont (l:int list) (k:cont): int =  
  match l with  
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```


```
let sum (l:int list) : int = sum_cont l ( ... )
```



# Question

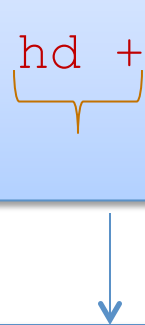
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```
let rec sum_cont (l:int list) (k:cont): int =  
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```

```
let sum (l:int list) : int = sum_cont l (fun x -> x)
```

# Execution

```
type cont = int -> int;;

let rec sum_cont (l:int list) (k:cont): int =
  match l with
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let sum (l:int list) : int = sum_cont l (fun s -> s)
```

```
sum [1;2]
```

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sum [1;2]
-->
sum_cont [1;2] (fun s -> s)
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```

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sum [1;2]
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sum_cont [1;2] (fun s -> s)
-->
sum_cont [2] (fun s -> (fun s -> s) (1 + s));;
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# Execution

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sum_cont [] (fun s -> (fun s -> (fun s -> s) (1 + s)) (2 + s))
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```



# Execution

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(fun s -> (fun s -> (fun s -> s) (1 + s)) (2 + s)) 0
-->
(fun s -> (fun s -> s) (1 + s)) (2 + 0))
-->
(fun s -> s) (1 + (2 + 0))
-->
1 + (2 + 0) --> 3
```

# Question

```
type cont = int -> int;;

let rec sum_cont (l:int list) (k:cont): int =
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-->
...
-->
3
```

Where did the stack space go?

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```

free variables stored  
in closure

Where did the stack space go?

there are 3 closures here.  
each contains an environment

# Question

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-->
...
-->
3
```

free variables stored  
in closure

is/was variable hd  
stored in closure

*every time you call a function  
you allocate a continuation to do what's next!  
the stack shows up on the heap!!!*

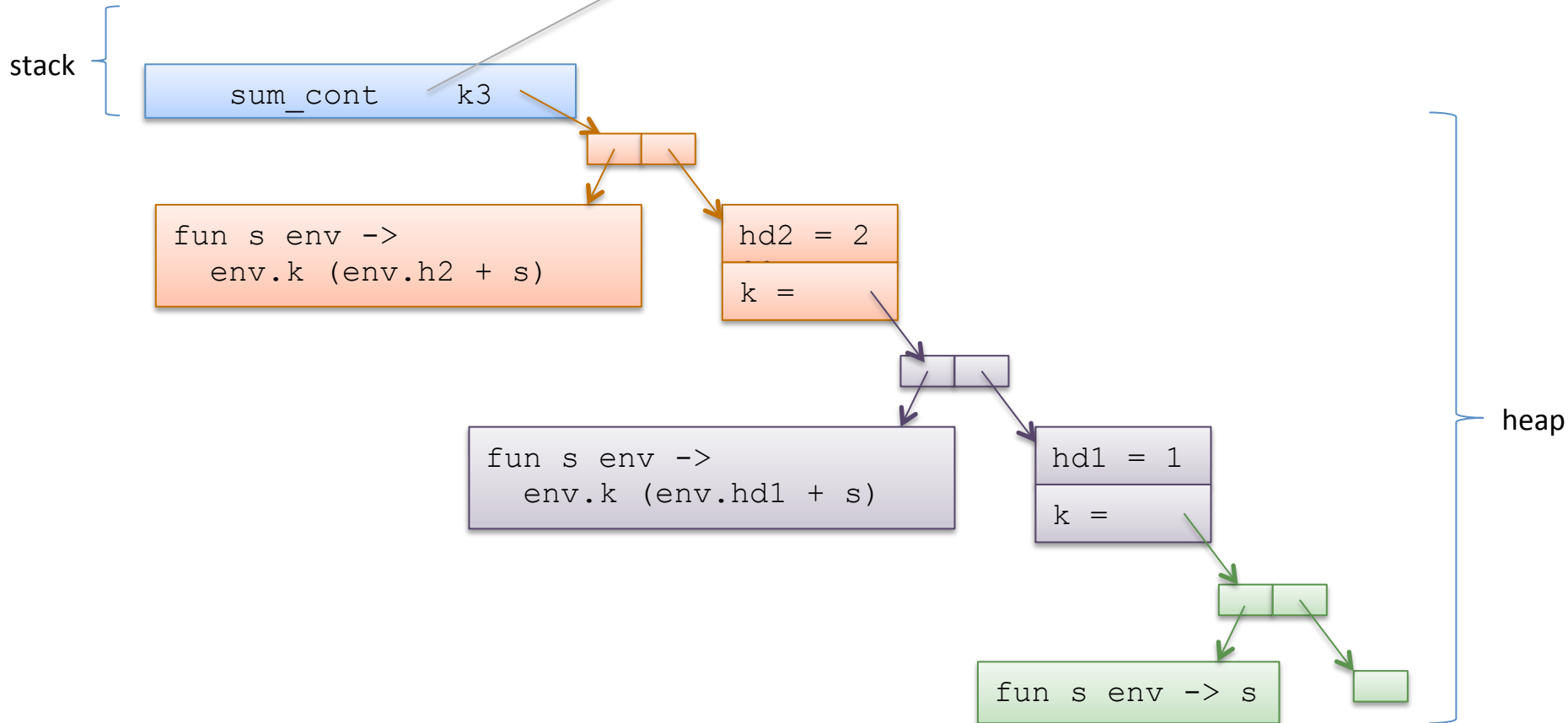
there are 3 closures here.  
each contains an environment

function inside  
function inside  
function inside  
expression



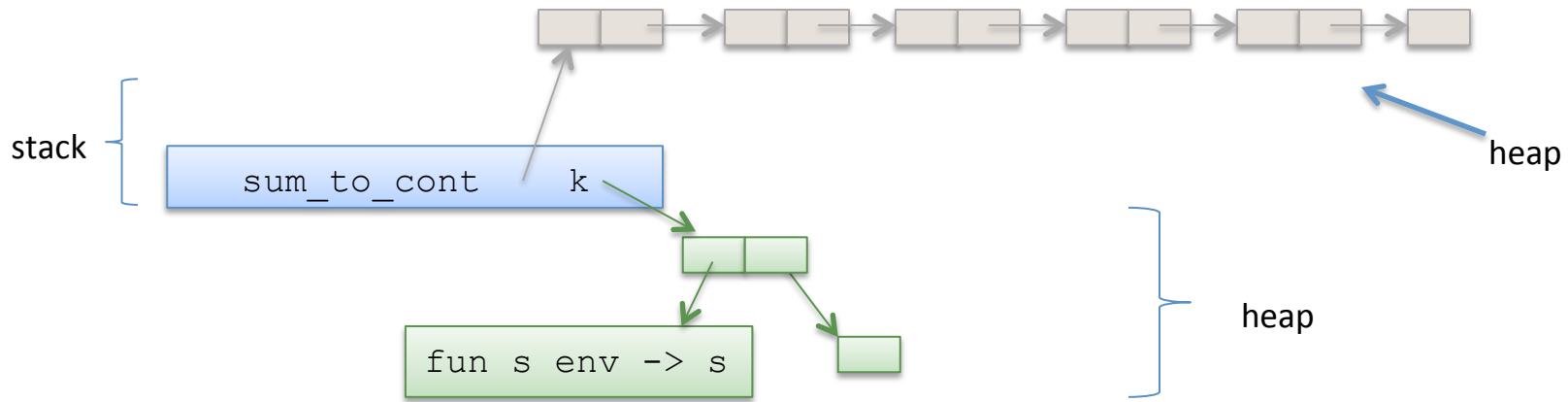
a stack of  
closures on  
the heap

```
sum_cont []  
  (fun s3 ->  
    (fun s2 ->  
      (fun s1 -> s1) (hd1 + s2)  
    ) (hd2 + s3)  
  )
```

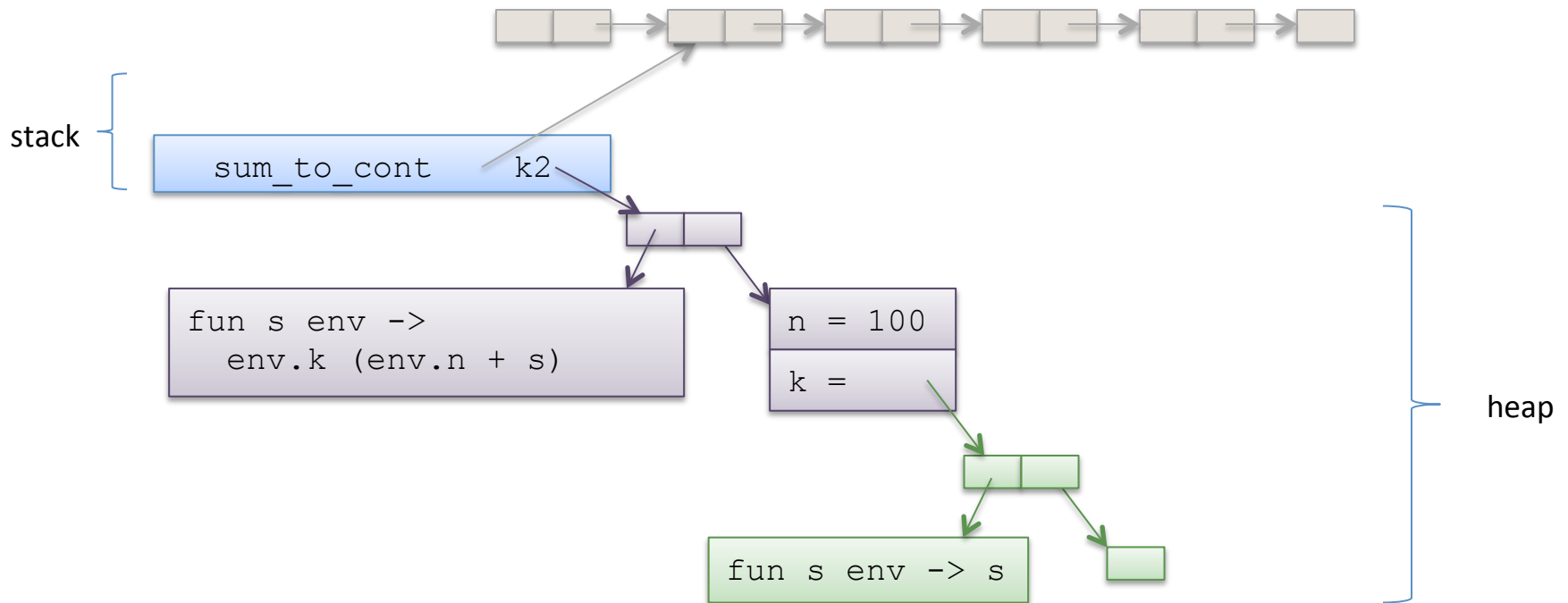


# Continuation-passing style

```
let rec sum_cont (l:int list) (k:cont): int =  
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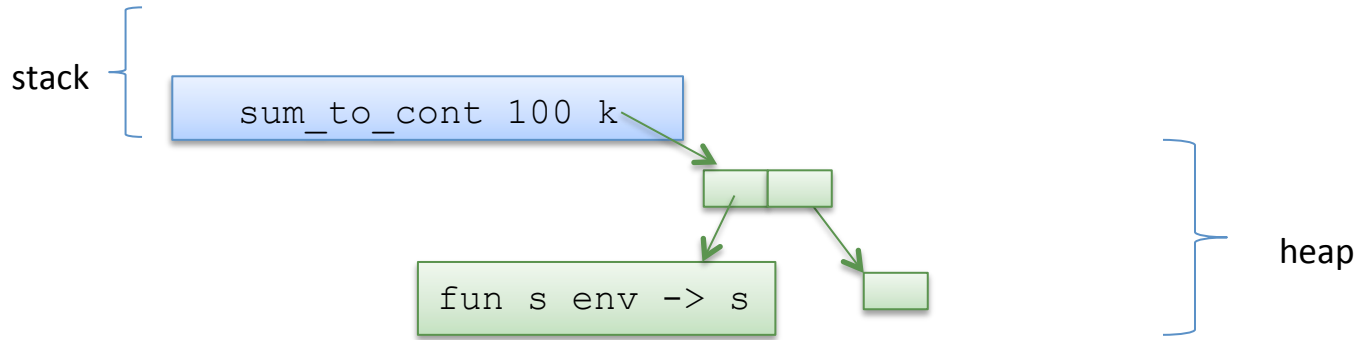


# Continuation-passing style



# Continuation-passing style

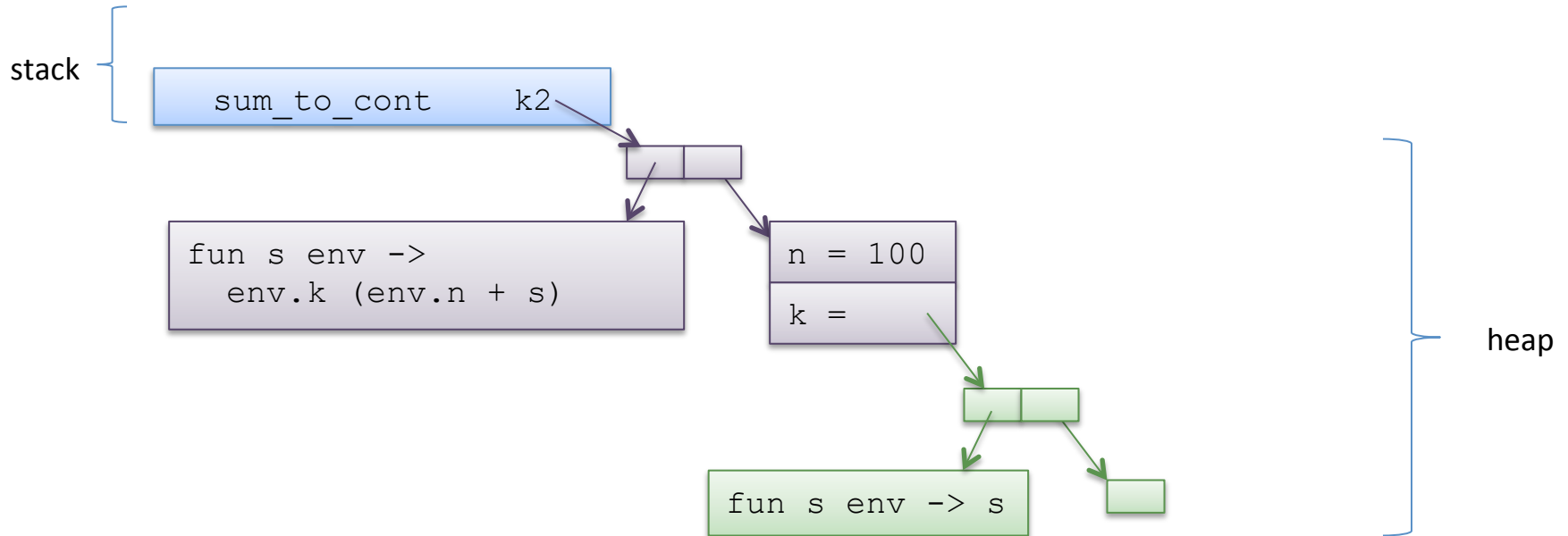
```
let rec sum_to_cont (n:int) (k:int->int) : int =  
  if n > 0 then  
    sum_to_cont (n-1) (fun s -> k (n+s))  
  else  
    k 0 ;;  
  
sum_to_cont 100 (fun s -> s)
```





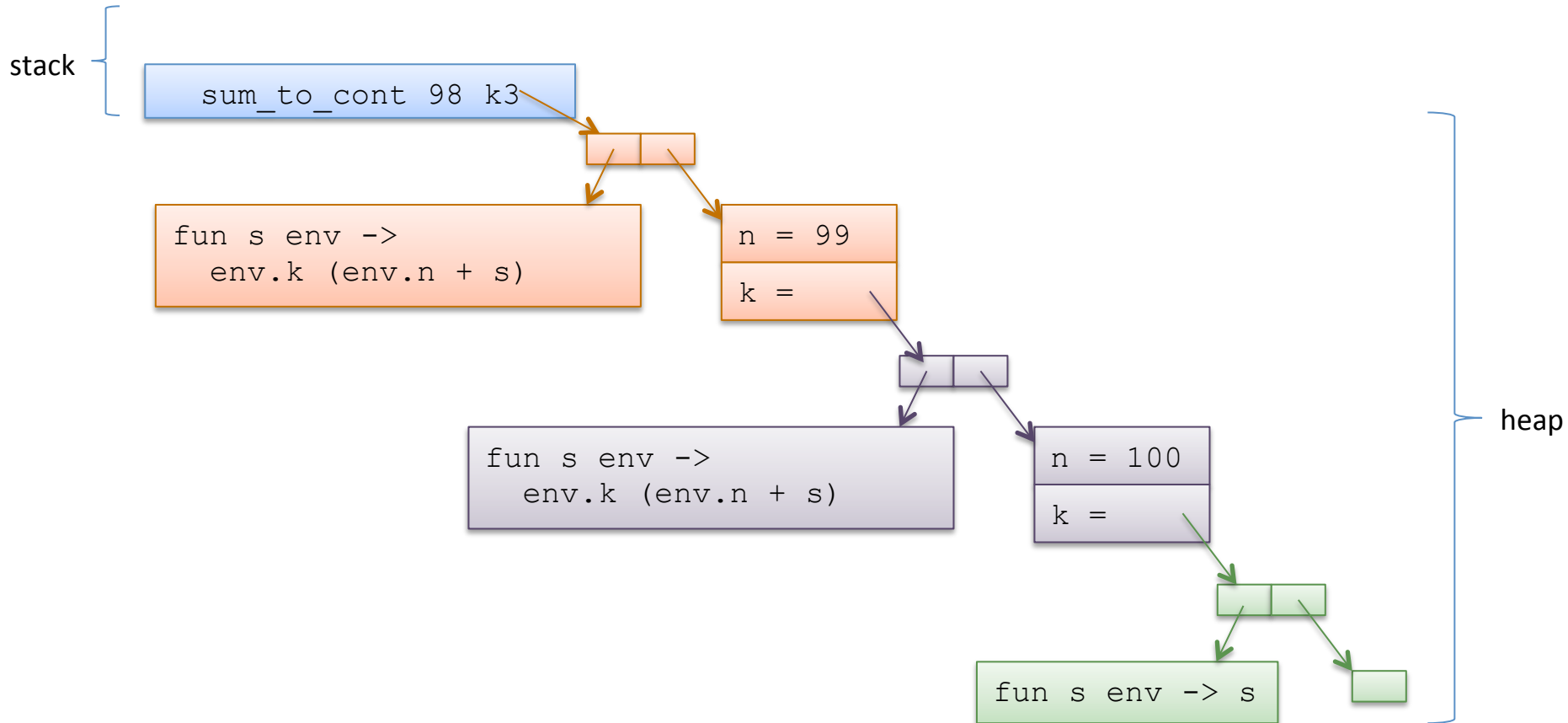
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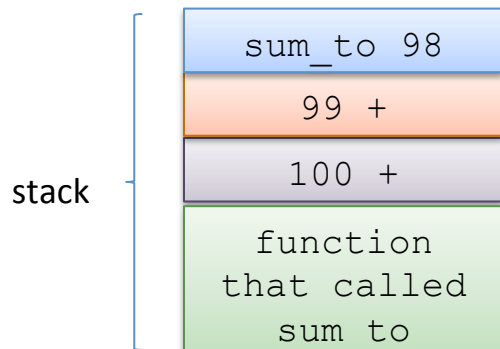
# Continuation-passing style

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sum_to 100 (fun s -> s)
```



# Back to stacks

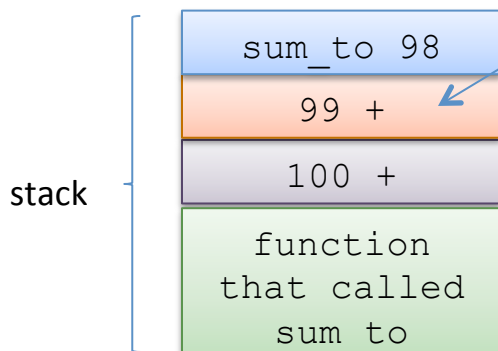
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let rec sum_to (n:int) : int =  
  if n > 0 then  
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    0  
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sum_to 100
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# Back to stacks

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let rec sum_to (n:int) : int =  
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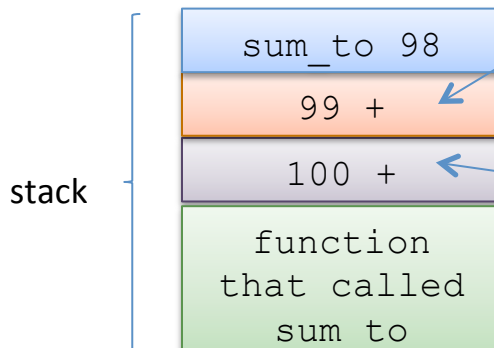
but how do you really implement that?



# Back to stacks

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but how do you really implement that?

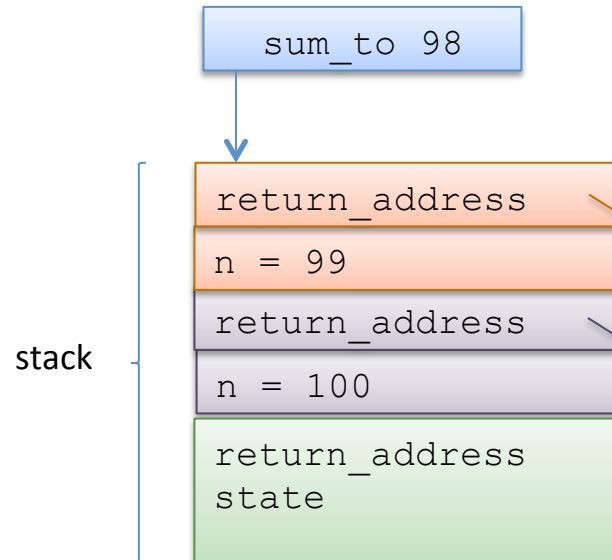
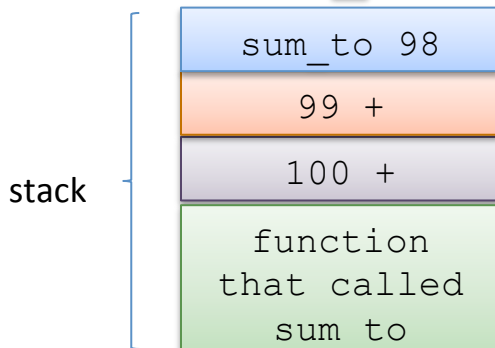
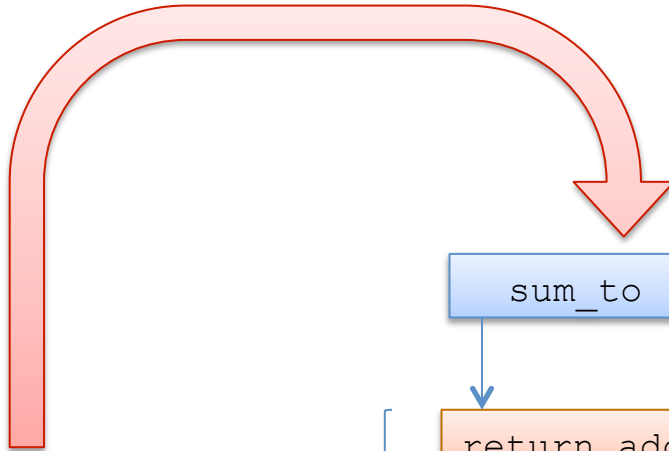


there is two bits of information here:  
(1) some state ( $n=100$ ) we had to remember  
(2) some code we have to run later

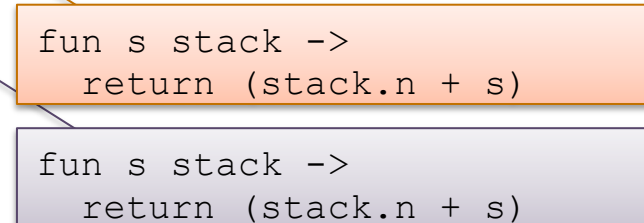
# Back to stacks

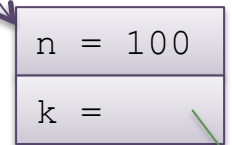
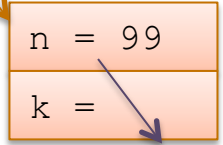
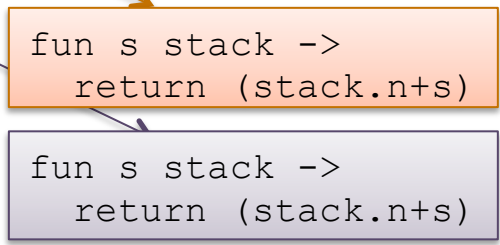
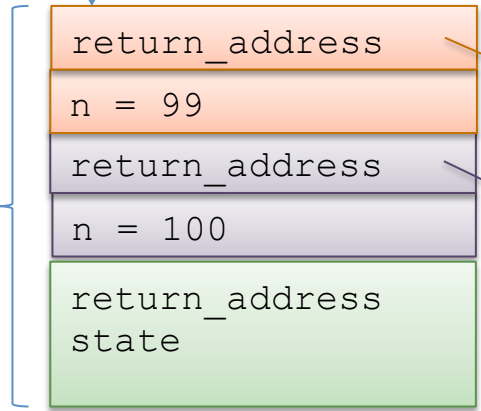
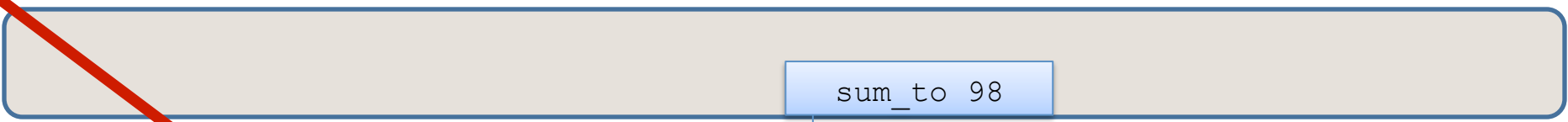
```
let rec sum_to (n:int) : int =  
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    0  
;;  
sum_to 100
```

with reality added



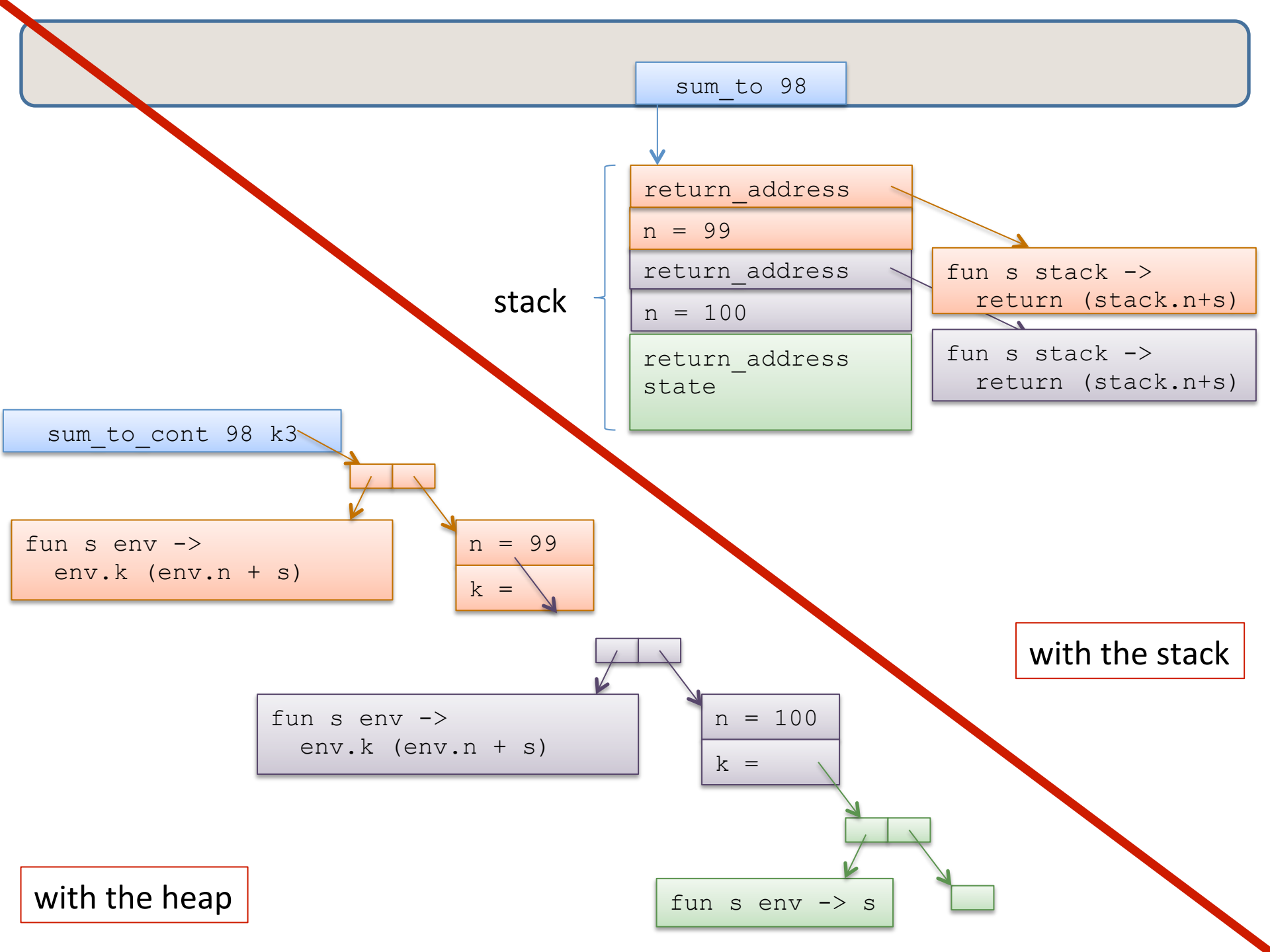
code we have to  
run next





with the stack

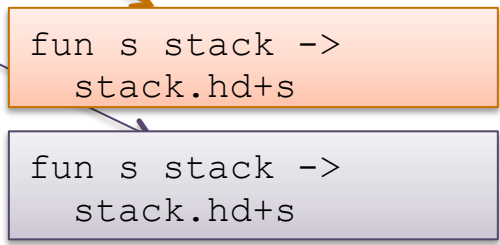
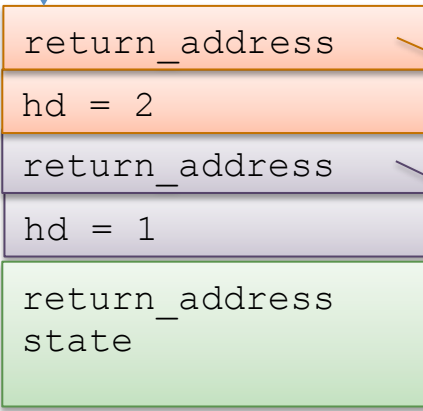
with the heap



with the stack

with the heap

sum



sum\_cont k3



fun s env -> env.k (env.hd + s)

hd = 2  
k =

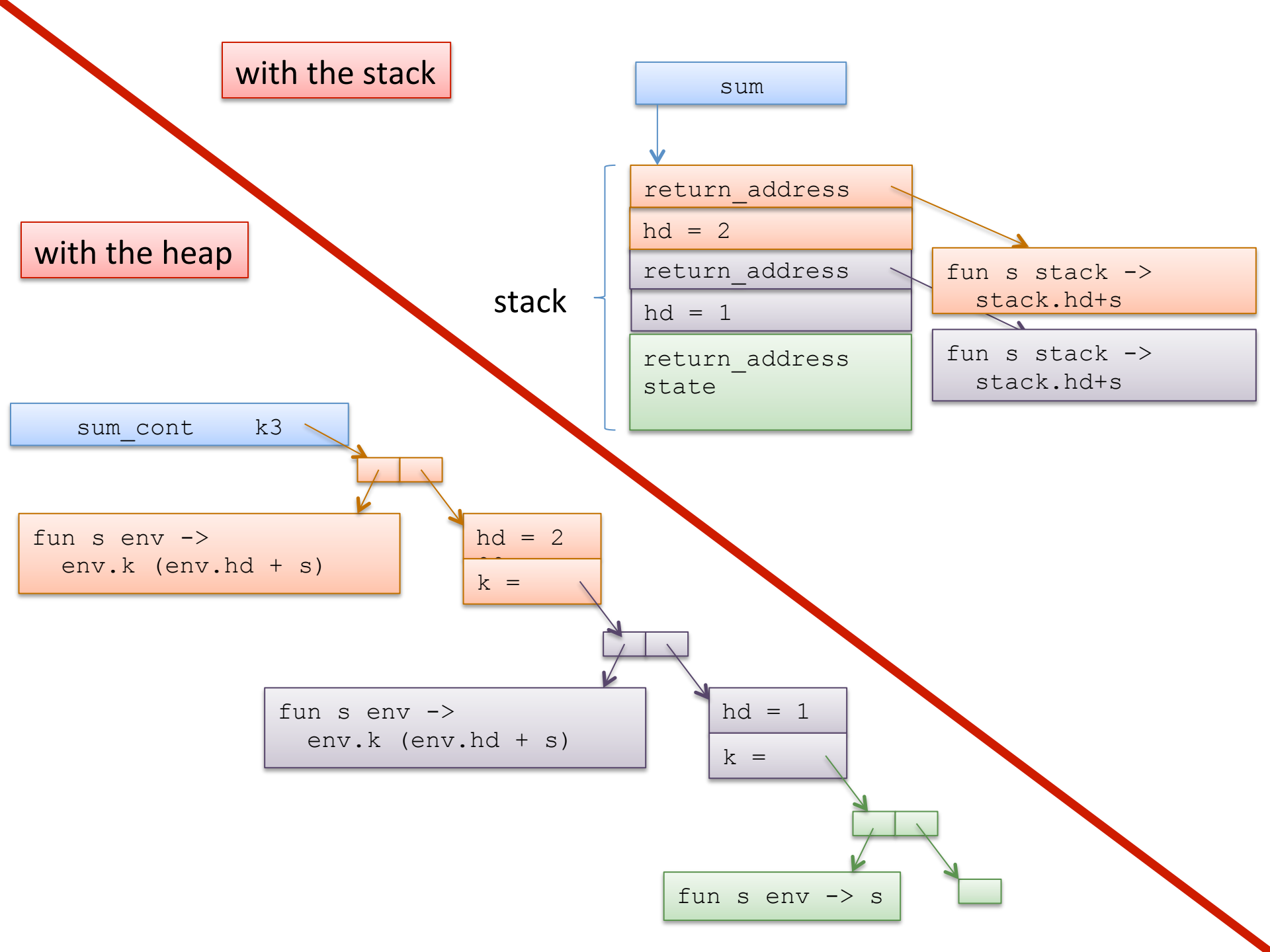


fun s env -> env.k (env.hd + s)

hd = 1  
k =



fun s env -> s





# Why CPS?

Continuation-passing style is *inevitable*.

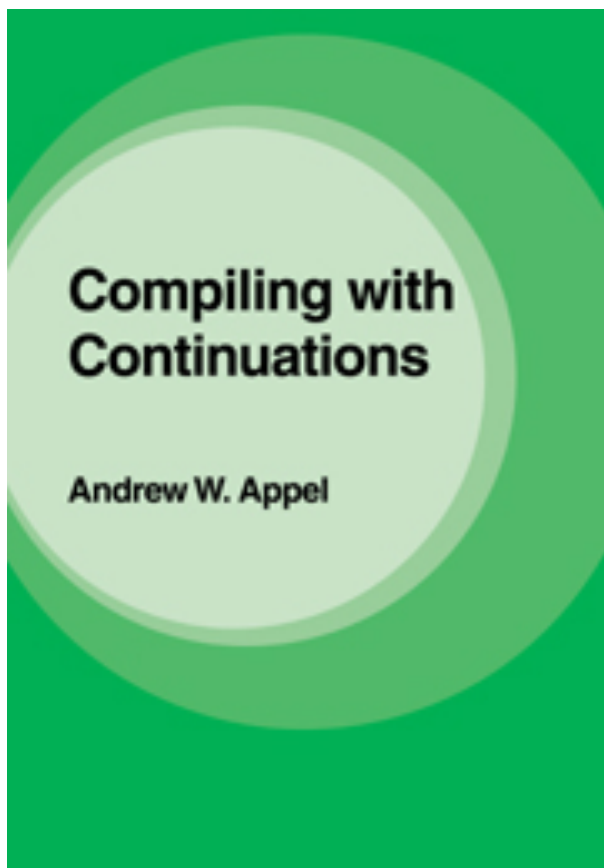
It does not matter whether you program in Java or C or OCaml -- there's code around that tells you “*what to do next*”

- If you explicitly CPS-convert your code, “*what to do next*” is stored on the heap
- If you don't, it's stored on the stack

If you take a conventional compilers class, the continuation will be called a *return address* (but you'll know what it really is!)

The idea of a *continuation* is much more general!

# Standard ML of New Jersey



Your compiler can put all the continuations in the heap so you don't have to (and you don't run out of stack space)!

Other pros:

- light-weight concurrent threads

Some cons:

- linked list of closures can be less space-efficient than stack
- hardware architectures optimized to use a stack
- see

[Empirical and Analytic Study of Stack versus Heap Cost for Languages with Closures](#). Shao & Appel

# Call-backs: Another use of continuations

## Call-backs:

```
request_url : url -> (html -> 'a) -> 'a  
request_url http://www.stuff.com/i.html  
  (fun html -> process html)
```

continuation



# Summary

CPS is interesting and important:

- *unavoidable*
  - assembly language is continuation-passing
- *theoretical ramifications*
  - fixes evaluation order
  - call-by-value evaluation == call-by-name evaluation
  - work by Gordon Plotkin
- *efficiency*
  - generic way to create tail-recursive functions
  - Appel's SML/NJ compiler based on this style
- *continuation-based programming*
  - call-backs
  - programming with "*what to do next*"
- *implementation-technique for concurrency*

**ANOTHER EXAMPLE**

## Challenge: CPS Convert the incr function

```
type tree = Leaf | Node of int * tree * tree ;;

let rec incr (t:tree) (i:int) : tree =
  match t with
  | Leaf -> Leaf
  | Node (j,left,right) ->
    Node (i+j, incr left i, incr right i)
;;
```

Hint: It is a little easier to put the continuations in the order in which they are called.

# Challenge: CPS Convert the incr function

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type tree = Leaf | Node of int * tree * tree ;;

let rec incr (t:tree) (i:int) : tree =
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    let t2 = incr right i in
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;;
```

```
type tree = Leaf | Node of int * tree * tree ;;

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    let t2 = incr right i in
    Node (i+j, t1, t2)
;;
```

.

Hint: There are 2 function calls and so you need 2 continuations. Go.



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type tree = Leaf | Node of int * tree * tree ;;

let rec incr (t:tree) (i:int) (k: tree -> tree) : tree =
  match t with
  | Leaf -> k Leaf
  | Node (j, left, right) ->
    let t1 = incr left i in
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let rec incr (t:tree) (i:int) (k: tree -> tree) : tree =
  match t with
  | Leaf -> k Leaf
  | Node (j, left, right) ->
    incr left i (fun result1 ->
      let t1 = result1 in
      let t2 = incr right i in
      Node (i+j, t1, t2))
```

# Challenge: CPS Convert the incr function

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  | Node (j, left, right) ->
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    let t2 = incr right i in
    Node (i+j, t1, t2)
;;
```

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let rec incr (t:tree) (i:int) (k: tree -> tree) : tree =
  match t with
  | Leaf -> k Leaf
  | Node (j, left, right) ->
    incr left i (fun result1 ->
      let t1 = result1 in
      incr right i (fun result2 -> let t2 = result2 in
        Node (i+j, t1, t2)))
```

# Challenge: CPS Convert the incr function

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type tree = Leaf | Node of int * tree * tree ;;

let rec incr (t:tree) (i:int) : tree =
  match t with
  | Leaf -> Leaf
  | Node (j,left,right) ->
    let t1 = incr left i in
    let t2 = incr right i in
    Node (i+j, t1, t2)
;;
```

```
type tree = Leaf | Node of int * tree * tree ;;

let rec incr (t:tree) (i:int) (k: tree -> tree) : tree =
  match t with
  | Leaf -> k Leaf
  | Node (j,left,right) ->
    incr left i (fun result1 ->
      let t1 = result1 in
      incr right i (fun result2 -> let t2 = result2 in
        k (Node (i+j, t1, t2))))
```

# **CORRECTNESS OF A CPS TRANSFORM**

# Are the two functions the same?

```
type cont = int -> int;;

let rec sum_cont (l:int list) (k:cont): int =
  match l with
  [] -> k 0
  | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;

let sum2 (l:int list) : int = sum_cont l (fun s -> s)
```

```
let rec sum (l:int list) : int =
  match l with
  [] -> 0
  | hd::tail -> hd + sum tail
```

Here, it is really pretty tricky to be sure you've done it right if you don't prove it. Let's try to prove this theorem and see what happens:

```
for all l:int list,
  sum_cont l (fun x -> x) == sum l
```

# Attempting a Proof

```
for all l:int list, sum_cont l (fun s -> s) == sum l
```

Proof: By induction on the structure of the list l.

```
case l = []
```

```
...
```

```
case: hd::tail
```

```
IH: sum_cont tail (fun s -> s) == sum tail
```

```
let rec sum_cont (l:int list) (k:cont): int =  
  match l with  
  [] -> k 0  
  | hd::tail -> sum_cont tail (fun s -> k (hd + s))
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# Attempting a Proof

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for all l:int list, sum_cont l (fun s -> s) == sum l
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Proof: By induction on the structure of the list l.

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case l = []
```

```
...
```

```
case: hd::tail
```

```
IH: sum_cont tail (fun s -> s) == sum tail
```

```
sum_cont (hd::tail) (fun s -> s)
```

```
==
```

```
let rec sum_cont (l:int list) (k:cont): int =  
  match l with  
  [] -> k 0  
  | hd::tail -> sum_cont tail (fun s -> k (hd + s))
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# Attempting a Proof

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for all l:int list, sum_cont l (fun s -> s) == sum l
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Proof: By induction on the structure of the list l.

```
case l = []
```

```
...
```

```
case: hd::tail
```

```
IH: sum_cont tail (fun s -> s) == sum tail
```

```
sum_cont (hd::tail) (fun s -> s)
== sum_cont tail (fun s' -> (fun s -> s) (hd + s')) (eval)
```

```
let rec sum_cont (l:int list) (k:cont): int =
  match l with
  [] -> k 0
  | hd::tail -> sum_cont tail (fun s -> k (hd + s))
```

# Attempting a Proof

for all `l:int list`, `sum_cont l (fun s -> s) == sum l`

Proof: By induction on the structure of the list `l`.

case `l = []`

...

case: `hd::tail`

IH: `sum_cont tail (fun s -> s) == sum tail`

```
sum_cont (hd::tail) (fun s -> s)
== sum_cont tail (fun s' -> (fun s -> s) (hd + s')) (eval)
== sum_cont tail (fun s' -> hd + s') (eval)
```

```
let rec sum_cont (l:int list) (k:cont): int =
  match l with
  [] -> k 0
  | hd::tail -> sum_cont tail (fun s -> k (hd + s))
```

# Need to Generalize the Theorem and IH

for all `l:int list`, `sum_cont l (fun s -> s) == sum l`

Proof: By induction on the structure of the list `l`.

case `l = []`

...

case: `hd::tail`

IH: `sum_cont tail (fun s -> s) == sum tail`

`sum_cont (hd::tail) (fun s -> s)`

`== sum_cont tail (fun s' -> (fun s -> s) (hd + s')) (eval)`

`== sum_cont tail (fun s' -> hd + s') (eval)`

`== darn!`

we'd like to use the IH, but we can't!  
we might like:

`sum_cont tail (fun s' -> hd + s') == sum tail`

... but that's not going to work either

not the identity continuation  
`(fun s -> s)` like the IH requires

# Need to Generalize the Theorem and IH

Original theorem:

```
for all l:int list,  
  sum_cont l (fun s -> s) == sum l
```

Specific continuation



# Need to Generalize the Theorem and IH

Original theorem:

```
for all l:int list,  
  sum_cont l (fun s -> s) == sum l
```

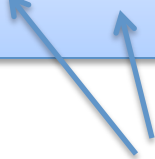
Specific continuation



New theorem:

```
for all l:int list,  
  for all k:int->int,  
    sum_cont l k == k (sum l)
```

Prove it for *all* continuations. A more general theorem.  
*Sometimes more general theorems are easier to prove.*



# Need to Generalize the Theorem and IH

```
for all l:int list,  
  for all k:int->int, sum_cont l k == k (sum l)
```

```
let rec sum_cont (l:int list) (k:cont): int =  
  match l with  
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for all l:int list,  
  for all k:int->int, sum_cont l k == k (sum l)
```

Proof: By induction on the structure of the list l.

```
case l = []
```

```
  must prove: for all k:int->int, sum_cont [] k == k (sum [])
```

```
let rec sum_cont (l:int list) (k:cont): int =  
  match l with  
  [] -> k 0  
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for all l:int list,  
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must prove: for all k:int->int, sum\_cont [] k == k (sum [])

pick an arbitrary k:

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let rec sum_cont (l:int list) (k:cont): int =  
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for all l:int list,  
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Proof: By induction on the structure of the list l.

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case l = []
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```
must prove: for all k:int->int, sum_cont [] k == k (sum [])
```

```
pick an arbitrary k:
```

```
sum_cont [] k
```

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let rec sum_cont (l:int list) (k:cont): int =  
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# Need to Generalize the Theorem and IH

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for all l:int list,  
  for all k:int->int, sum_cont l k == k (sum l)
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Proof: By induction on the structure of the list l.

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must prove: for all k:int->int, sum_cont [] k == k (sum [])
```

```
pick an arbitrary k:
```

```
  sum_cont [] k  
== match [] with [] -> k 0 | hd::tail -> ...      (eval)  
== k 0                                             (eval)
```

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let rec sum_cont (l:int list) (k:cont): int =  
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```
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  sum_cont [] k  
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== k 0                                             (eval)
```

```
== k (sum [])
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let rec sum_cont (l:int list) (k:cont): int =  
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for all l:int list,  
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Proof: By induction on the structure of the list l.

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case l = []
```

```
must prove: for all k:int->int, sum_cont [] k == k (sum [])
```

```
pick an arbitrary k:
```

```
  sum_cont [] k  
== match [] with [] -> k 0 | hd::tail -> ...      (eval)  
== k 0                                             (eval)  
== k 0                                             (equals!)  
== k (match [] with [] -> 0 | hd::tail -> ...)   (eval, reverse)  
== k (sum [])
```

```
case done!
```

```
let rec sum_cont (l:int list) (k:cont): int =  
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# Need to Generalize the Theorem and IH

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for all l:int list,  
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Proof: By induction on the structure of the list l.

case l = [] ==> done!

case l = hd::tail

IH: for all k':int->int, sum\_cont tail k' == k' (sum tail)

Must prove: for all k:int->int, sum\_cont (hd::tail) k == k (sum (hd::tail))

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let rec sum_cont (l:int list) (k:cont): int =  
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Pick an arbitrary k,

```
sum_cont (hd::tail) k
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for all l:int list,  
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case l = [] ==> done!

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Must prove: for all k:int->int, sum\_cont (hd::tail) k == k (sum (hd::tail))

Pick an arbitrary k,

```
sum_cont (hd::tail) k  
== sum_cont tail (fun s -> k (hd + s))      (eval)  
  
== (fun s -> k (hd + s)) (sum tail)          (IH with IH quantifier k'  
                                              replaced with (fun x -> k (hd+x))
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let rec sum_cont (l:int list) (k:cont): int =  
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Pick an arbitrary k,

```
sum_cont (hd::tail) k  
== sum_cont tail (fun s -> k (hd + s))      (eval)  
  
== (fun s -> k (hd + s)) (sum tail)          (IH with IH quantifier k'  
replaced with (fun x -> k (hd+x))  
(eval, since sum total and  
and sum tail valuable)
```

# Need to Generalize the Theorem and IH

```
for all l:int list,  
  for all k:int->int, sum_cont l k == k (sum l)
```

Proof: By induction on the structure of the list l.

case l = [] ==> done!

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Must prove: for all k:int->int, sum\_cont (hd::tail) k == k (sum (hd::tail))

Pick an arbitrary k,

```
sum_cont (hd::tail) k  
== sum_cont tail (fun s -> k (hd + s))      (eval)  
  
== (fun s -> k (hd + s)) (sum tail)          (IH with IH quantifier k'  
                                             replaced with (fun x -> k (hd+x))  
                                             (eval, since sum total and  
                                             and sum tail valuable)  
== k (hd + (sum tail))  
== k (sum (hd::tail))                       (eval sum, reverse)
```

case done!

QED!

# Finishing Up

Ok, now what we have is a proof of this theorem:

```
for all l:int list,  
  for all k:int->int, sum_cont l k == k (sum l)
```

Recall:

```
let sum2 (l:int list) : int = sum_cont l (fun s -> s)
```

We can use that general theorem to get what we really want:

```
for all l:int list,  
  sum2 l  
== sum_cont l (fun s -> s)      (by eval sum2)  
== (fun s -> s) (sum l)        (by theorem,  
                                instantiating k with (fun s -> s))  
== sum l
```

So, we've show that the function sum2, which is tail-recursive, is functionally equivalent to the non-tail-recursive function sum.

# **SUMMARY**

# Summary of the CPS Proof

We tried to prove the *specific* theorem we wanted:

```
for all l:int list, sum_cont l (fun s -> s) == sum l
```

But it didn't work because in the middle of the proof, *the IH didn't apply* -- inside our function we had the wrong kind of continuation -- not (fun s -> s) like our IH required. So we had to *prove a more general theorem* about *all* continuations.

```
for all l:int list,  
  for all k:int->int, sum_cont l k == k (sum l)
```

This is a common occurrence -- *generalizing the induction hypothesis* -- and it requires human ingenuity. It's why proving theorems is hard. It's also why writing programs is hard -- you have to make the proofs and programs work more generally, around every iteration of a loop.

**END**